

*For optimum viewing, please view this form in **Print Layout** view ([View > Print Layout](#))*

This application is for the registration of a designated person/director*

**Delete where applicable*

If box is provided, left click on the box to mark

PROFILE	
Personal Details	
Salutation:	
Full name of applicant:	
Other name:	
Date of birth:	<i>dd mmm yyyy (eg. 01 Jan 1957)</i>
Gender:	<input type="checkbox"/> Male <input type="checkbox"/> Female
Race:	Malay If Others, please specify:
Nationality:	
Bumi Status:	<input type="checkbox"/> Bumi <input type="checkbox"/> Non-Bumi <input type="checkbox"/> Foreigner

Address & Contacts Details				
Residential address:				
Post code:		City:		State:
Country:				
Tel No.:	(Home):	(Mobile):	(Office):	
Fax No.:				
Email:				

NRIC & Passport Details	
New IC Number:	
Old IC Number:	
Do you possess a valid passport?	<input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please provide the following information)</i>
Passport number:	
Country of issue:	

Marital Status Details				
Marital Status		<i>(if "married", please provide spouse(s) details)</i>		
Name	IC No.	Passport No.	Name of Employer	Address of Employer

* To add new rows, press **TAB** at the last column of the last row.

Other Details	
Present occupation:	

QUALIFICATION & WORK EXPERIENCE

Academic or Professional Qualification			
School/College/University/Others	From (Year)	To (Year)	Certificate/Degree/Masters/Others

* To add new rows, press **TAB** at the last column of the last row.

Employment History						
From	To	Name of Employer	Self-employed? <i>- Type Y if yes - Leave blank if no</i>	Designation held	Description of Duties	Security and Investment related business? <i>- Type Y if yes - Leave blank if no</i>

* To add new rows, press **TAB** at the last column of the last row.

DECLARATION

Directorship

1. Is the applicant a director of any other corporation (wherever incorporated)?

No Yes *(please specify in the space below)*

Name of the corporation	Nature of the business	Place of its incorporation	Date of the appointment as a director <i>(dd mmm yyyy)</i>	Nature of appointment (executive or non-executive)

* To add new rows, press **TAB** at the last column of the last row.

Ownership

2. Does the applicant own, either directly or indirectly, 5% or more of the voting shares of any other corporation?

No Yes *(please specify in the space below)*

Name of the corporation	Nature of the business	Place of its incorporation	Details of the ownership	Paid up capital of the corporation

* To add new rows, press **TAB** at the last column of the last row.

Questions relating to applicant

3. Has the applicant, in Malaysia or elsewhere, been -

a. licensed or registered in any capacity under any law which requires licensing or registration to deal or trade in securities or futures?

No Yes (please specify in the space below)

b. licensed, registered or otherwise authorised to carry on any trade, business or profession?

No Yes (please specify in the space below)

c. refused the right to carry on any trade, business or profession for which a specific licence, registration or other authority is required?

No Yes (please specify in the space below)

4. Has the applicant in Malaysia or elsewhere -
a. Been convicted of any offence involving fraud or other dishonesty or violence, or are there any proceedings now pending which may lead to such a conviction?
<input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please specify in the space below)</i>
b. Had judgement involving findings of fraud or other dishonesty, or violence, misrepresentation, dishonesty, breach of contract, breach of fiduciary duty of professional negligence given against him in any civil proceedings, or are there any proceedings now pending that may lead to such a judgement?
<input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please specify in the space below)</i>
c. Contravened any written law designed for protecting members of the public against financial loss due to dishonesty, incompetence or malpractice by persons concerned in the provision of financial services or the management of companies or against financial loss due to the conduct of discharged or undischarged bankrupts?
<input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please specify in the space below)</i>
d. Been declared a bankrupt or entered into a composition or arrangement with creditors?
<input type="checkbox"/> No <input type="checkbox"/> Yes
e. Been subject to any form of disciplinary proceedings or actions by any professional or regulatory body?
<input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please specify in the space below)</i>

<p>5. Has the applicant, been involved in the management of a corporation in Malaysia or elsewhere which at the time of his involvement –</p>
<p>a. Been convicted of any offence involving fraud or other dishonesty or violence, or are there any proceedings now pending which may lead to such a conviction of any offence?</p>
<p><input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please specify in the space below)</i></p>
<p>b. Had judgement involving findings of fraud or other dishonesty, or violence, misrepresentation, dishonesty, breach of contract, breach of fiduciary duty of professional negligence given against it in any civil proceedings, or are there any proceedings now pending that may lead to such a judgement?</p>
<p><input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please specify in the space below)</i></p>
<p>c. Contravened any written law designed for protecting members of the public against financial loss due to dishonesty, incompetence or malpractice by persons concerned in the provision of financial services or the management of companies or against financial loss due to the conduct of discharged or undischarged bankrupts?</p>
<p><input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please specify in the space below)</i></p>
<p>d. Had a receiver and/or manager, been appointed in respect of any of the assets of the said corporation.</p>
<p><input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please specify in the space below)</i></p>
<p>e. Entered into a compromise or arrangement with creditors or members?</p>
<p><input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please specify in the space below)</i></p>
<p>f. Had a petition presented in a court for its winding up?</p>
<p><input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please specify in the space below)</i></p>
<p>g. Been subjected to any form of disciplinary proceedings or actions by any professional or regulatory body?</p>
<p><input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please specify in the space below)</i></p>

SUPPORTING DOCUMENTS/DETAILS & OTHER INFORMATION

Attach the following Supporting Documents

IC

Photo

Academic Certificates

Any additional information considered relevant to this application?

I hereby declare that all information provided in this application and its annexures is true and correct.

Date:

Signature:

DIRECTIONS FOR COMPLETING FORM 2 – APPLICATION FOR THE REGISTRATION OF DESIGNATED PERSON(S)/DIRECTOR(S) OF VENTURE CAPITAL CORPORATIONS AND VENTURE CAPITAL MANAGEMENT CORPORATIONS

Please fill in this form in soft copy and submit it together with a signed hard copy of the form to :

The Licensing Department
Securities Commission
No. 3 Persiaran Bukit Kiara
Bukit Kiara
50490 Kuala Lumpur