

CAPITAL MARKETS AND SERVICES ACT 2007

CAPITAL MARKETS AND SERVICES (FEES) REGULATIONS 2025

IN exercise of the powers conferred by paragraph 378(2)(b) of the Capital Markets and Services Act 2007 [Act 671], the Commission, with the approval of the Minister, makes the following regulations:

Citation and commencement

1. (1) These regulations may be cited as the **Capital Markets and Services (Fees) Regulations 2025**.

(2) These Regulations come into operation on 1 January 2026.

General

2. (1) Subject to subregulation (3), all fees paid pursuant to these Regulations shall not be refundable.

(2) Unless specifically provided for in these Regulations, the fees payable for—

(a) any application to the Commission shall be RM2,000.00; and

(b) any deposit or lodgement of a document with, or registration of a document by, or submission of a document to, the Commission, shall be RM3,000.00.

(3) The Commission may, on an application or on its own initiative, reduce, waive or refund any fees payable by any person or class of persons subject to any terms and conditions as may be specified by the Commission.

Fees for acts of Commission

3. (1) Unless otherwise specified by the Commission, the fees payable to the Commission under these Regulations in respect of the deposit or lodgement of a document with, or the registration of a document by, the Commission, or in respect of

any application for licence, registration, approval, authorization or recognition, as the case may be, shall be paid at the time the document is delivered to the Commission for deposit, lodgement or registration or at the time the application for licence, registration, approval, authorization or recognition is submitted to the Commission.

(2) If a fee is not paid in the manner specified in subregulation (1)—

(a) any document delivered to the Commission shall be deemed not to have been deposited, lodged or submitted for registration; or

(b) any application for licence, registration, approval, authorization or recognition submitted to the Commission shall be deemed not to have been submitted by the applicant.

Fees payable in respect of stock exchange, derivatives exchange, clearing house, exchange holding company and recognized market operator

4. The fees payable in respect of a—

(a) stock exchange, derivatives exchange, clearing house and exchange holding company are as specified in Schedule 1; and

(b) recognized market operator are as specified in Schedule 2.

Fees payable in respect of licensed person, registered person and other persons registered with the Commission

5. (1) The fees payable in respect of—

(a) a Capital Markets Services Licence and Capital Markets Services Representative's Licence are as specified in Schedule 3;

(b) a registered person under section 76 of the Act are as specified in Schedule 4;

(c) a person registered under section 76A of the Act are as specified in Schedule 5; and

(d) a person registered pursuant to any guidelines issued by the Commission under section 377 of the Act are as specified in Schedule 6.

(2) The fees payable for any other matters under Part III of the Act, other than those specified in paragraphs (1)(a) to (c), are as specified in Schedule 7.

(3) For the purposes of subregulations (1) and (2), the Commission may impose a late payment fee of the prescribed fees as set out in the following, at the rate of 8% of the prescribed fees for every day or part thereof that the payment is late:

(a) paragraphs 7 and 8 of Schedule 3; and

(b) paragraphs 1, 2, 3, 4, 5 and 31 of Part A of Schedule 7.

Fees payable in respect of proposals in relation to securities other than debentures, sukuk, unit trust schemes and prescribed investment schemes

6. The fees payable in respect of securities pursuant to Division 1A of Part VI of the Act, other than debentures, sukuk, unit trust schemes and prescribed investment schemes, are as specified in Schedule 8.

Fees payable in respect of take-overs, mergers and compulsory acquisitions

7. The fees payable in respect of take-overs, mergers and compulsory acquisition pursuant to Division 2 of Part VI of the Act are as specified in Schedule 9.

Fees payable in respect of prospectus and information memorandum

8. The fees payable pursuant to Division 3 of Part VI of the Act—

- (a) in respect of registration of prospectus and disclosure document, and deposit of information memorandum, other than in relation to unit trust scheme and prescribed investment scheme, are as specified in Schedule 10; and
- (b) in respect of registration of prospectus and deposit of information memorandum in relation to unit trust scheme and prescribed investment scheme are as specified in Schedule 11.

Fees payable in respect of business trust

9. The fees payable in respect of business trust pursuant to Divisions 1A, 3A and 3B of Part VI of the Act, other than the registration of prospectus and deposit of information memorandum, are as specified in Schedule 12.

Fees payable in respect of debentures and sukuk other than debentures and sukuk under the Lodge and Launch Framework

10. The fees payable in respect of debentures and sukuk pursuant to Divisions 1A, 3A and 4 of Part VI of the Act, other than debentures and sukuk under the Lodge and Lunch Framework, are as specified in Schedule 13.

Fees payable in respect of unit trust schemes and prescribed investment schemes other than business trust

11. The fees payable in respect of unit trust schemes and prescribed investment schemes pursuant to Divisions 1, 1A, 3A and 5 of Part VI of the Act, other than business trust, are as specified in Schedule 14.

Fees payable in respect of proposals involving assessment by experts and asset valuations

12. The fees payable in respect of any proposal referred to in section 212 of the Act involving assessment by experts and asset valuation are as specified in Schedule 15.

Fees payable in respect of deposit of disclosure document and lodgement of product highlights sheet

13. The fees payable in respect of the deposit of disclosure document and lodgement of product highlights sheet specified by the Commission are as specified in Schedule 16.

Fees payable in respect of proposals and lodgement of documents and information under the Lodge and Launch Framework

14. The fees payable in respect of proposals and lodgement of documents and information under the Lodge and Launch Framework are as specified in Schedule 17.

Other fees payable in respect of structured warrants

15. The other fees payable in respect of structured warrants are as specified in Schedule 18.

Fees payable in respect of private retirement schemes

16. The fees payable in respect of private retirement schemes are as specified in Schedule 19.

Revocation

17. The Capital Markets and Services (Fees) Regulations 2012 [*P.U. (A) 483/2012*] and the Capital Markets and Services (Private Retirement Scheme Industry) (Fees) Regulations 2012 [*P.U. (A) 211/2012*] are revoked.

SCHEDULE 1
[Paragraph 4(a)]

Fees in respect of stock exchange, derivatives exchange, clearing house and
exchange holding company

Item	(1) Description	(2) Fees
1.	Application for approval of a body corporate as a stock exchange or derivatives exchange under section 8 of the Act or as an exchange holding company under section 15 of the Act	RM100,000.00
2.	Application for approval to establish or operate a clearing house under section 38 of the Act	RM100,000.00
3.	Annual fee payable by an exchange holding company approved under section 15 of the Act	RM28 million

SCHEDULE 2
[Paragraph 4(b)]

Fees in respect of recognized market operator

Item	(1) Description	(2) Fees
Application fee		
1.	Registration as a recognized market operator under section 34 of the Act	RM20,000.00 for each type of platform to be operated by the applicant
Annual fee		
2.	Payable by a recognized market operator not registered as a digital asset exchange operator	(a) 0.3% of gross revenue earned from operating the regulated platform; or

Item	(1) Description	(2) Fees
		(b) RM20,000.00, whichever is higher, for each type of platform that the recognized market operator is registered to operate
3.	Payable by a digital asset exchange operator that is— (a) solely owned or controlled by individual; or (b) owned or controlled by one or more corporation that is not regulated by the Commission or a body that is a member of International Organization of Securities Commissions	(a) 0.3% of gross revenue earned from operating the regulated platform; or (b) RM50,000.00, whichever is higher
4.	Payable by a digital asset exchange operator that is owned or controlled by one or more corporation that is regulated by the Commission or a body that is a member of International Organization of Securities Commissions	(a) 0.3% of gross revenue earned from operating the regulated platform; or (b) RM20,000.00, whichever is higher
Trading fee		
5.	Trading of securities or derivatives on a recognized market	0.0075% of the total amount of sale and purchase of securities or derivatives transactions

Item	(1) Description	(2) Fees
		effected on each type of platform that the recognized market operator is registered to operate for that year

SCHEDULE 3
[Paragraph 5(1)(a)]

Fees in respect of Capital Markets Services Licence and Capital Markets Services Representative's Licence under sections 58 and 59 of the Act

Item	(1) Description	(2) Type of regulated activity	(3) Fees
1.	Application for a new Capital Markets Services Licence (CMSL)	(a) for the first regulated activity:	
		(i) any type of dealing in securities other than dealing in securities restricted to specified securities;	RM50,000.00
		(ii) any type of dealing in derivatives other than restricted dealing in derivatives;	RM50,000.00
		(iii) any type of fund management other than boutique portfolio management;	RM50,000.00

Item	(1) Description	(2) Type of regulated activity	(3) Fees
		(iv) fund management (boutique portfolio management);	RM30,000.00
		(v) advising on corporate finance;	RM30,000.00
		(vi) investment advice;	RM10,000.00
		(vii) financial planning;	RM10,000.00
		(viii) dealing in private retirement schemes;	RM10,000.00
		(ix) any type of dealing in securities restricted to specified securities;	RM10,000.00
		(x) any type of restricted dealing in derivatives;	RM10,000.00
		(xi) clearing for securities; or	RM10,000.00
		(xii) clearing for derivatives;	RM10,000.00
		(b) for any additional regulated activity (Note 1):	
		(i) any type of dealing in securities other than dealing in securities restricted to specified securities;	RM30,000.00
		(ii) any type of dealing in derivatives other than	RM30,000.00

Item	(1) Description	(2) Type of regulated activity	(3) Fees
		restricted dealing in derivatives;	
		(iii) any type of fund management;	RM30,000.00
		(iv) advising on corporate finance;	RM30,000.00
		(v) investment advice;	RM10,000.00
		(vi) financial planning;	RM10,000.00
		(vii) dealing in private retirement schemes;	RM10,000.00
		(viii) clearing for securities;	RM10,000.00
		(ix) clearing for derivatives;	RM10,000.00
		(x) any type of dealing in securities restricted to specified securities; or	RM10,000.00
		(xi) any type of restricted dealing in derivatives;	RM10,000.00
		<p>(c) notwithstanding subitems (a) and (b), the fee payable for an application for a licence for financial planning together with—</p> <p>(i) dealing in securities restricted to unit trusts;</p> <p>(ii) dealing in private retirement schemes; or</p>	

Item	(1) Description	(2) Type of regulated activity	(3) Fees
		(iii) dealing in securities restricted to unit trusts and dealing in private retirement schemes, shall be RM10,000.00.	
2.	Application for a new Capital Markets Services Representative's Licence (CMSRL)	All types of regulated activities	RM1,000.00 per individual
3.	Application for variation of regulated activity by existing CMSL holder: Subsequent addition of regulated activity	(a) in the case of an existing CMSL holder for dealing in securities, other than dealing in securities restricted to specified securities, or dealing in derivatives, other than restricted dealing in derivatives, as the case may be, applying for any additional regulated activity as follows:	
		(i) any type of dealing in securities other than dealing in securities restricted to specified securities;	RM30,000.00
		(ii) any type of dealing in derivatives other than restricted dealing in derivatives;	RM30,000.00
		(iii) any type of fund management;	RM30,000.00

Item	(1) Description	(2) Type of regulated activity	(3) Fees
		(iv) advising on corporate finance;	RM30,000.00
		(v) any type of dealing in securities restricted to specified securities;	RM10,000.00
		(vi) any type of restricted dealing in derivatives;	RM10,000.00
		(vii) investment advice;	RM10,000.00
		(viii) financial planning;	RM10,000.00
		(ix) dealing in private retirement schemes;	RM10,000.00
		(x) clearing for securities; or	RM10,000.00
		(xi) clearing for derivatives;	RM10,000.00
		(b) in the case of an existing CMSL holder for advising on corporate finance applying for any additional regulated activity as follows:	
		(i) any type of dealing in securities other than dealing in securities restricted to specified securities;	RM35,000.00

Item	(1) Description	(2) Type of regulated activity	(3) Fees
		(ii) any type of dealing in derivatives other than restricted dealing in derivatives;	RM35,000.00
		(iii) any type of fund management other than boutique portfolio management;	RM35,000.00
		(iv) fund management (boutique portfolio management);	RM30,000.00
		(v) any type of dealing in securities restricted to specified securities;	RM10,000.00
		(vi) any type of restricted dealing in derivatives;	RM10,000.00
		(vii) investment advice;	RM10,000.00
		(viii) financial planning;	RM10,000.00
		(ix) dealing in private retirement schemes;	RM10,000.00
		(x) clearing for securities; or	RM10,000.00
		(xi) clearing for derivatives;	RM10,000.00

Item	(1) Description	(2) Type of regulated activity	(3) Fees
		(c) in the case of an existing CMSL holder for any type of fund management, other than boutique portfolio management and digital investment management, applying for any additional regulated activity as follows:	
		(i) any type of dealing in securities other than dealing in securities restricted to specified securities;	RM30,000.00
		(ii) any type of dealing in derivatives other than restricted dealing in derivatives;	RM30,000.00
		(iii) any other type of fund management;	RM30,000.00
		(iv) advising on corporate finance;	RM30,000.00
		(v) any type of dealing in securities restricted to specified securities;	RM10,000.00
		(vi) any type of restricted dealing in derivatives;	RM10,000.00
		(vii) investment advice;	RM10,000.00
		(viii) financial planning;	RM10,000.00

Item	(1) Description	(2) Type of regulated activity	(3) Fees
		(ix) dealing in private retirement schemes;	RM10,000.00
		(x) clearing for securities; or	RM10,000.00
		(xi) clearing for derivatives;	RM10,000.00
		(d) in the case of an existing CMSL holder for fund management (boutique portfolio management) applying for any additional regulated activity as follows:	
		(i) any type of dealing in securities other than dealing in securities restricted to specified securities;	RM35,000.00
		(ii) any type of dealing in derivatives other than restricted dealing in derivatives;	RM35,000.00
		(iii) any other type of fund management;	RM35,000.00
		(iv) advising on corporate finance;	RM30,000.00
		(v) any type of dealing in securities restricted to specified securities;	RM10,000.00

Item	(1) Description	(2) Type of regulated activity	(3) Fees
		(vi) any type of restricted dealing in derivatives;	RM10,000.00
		(vii) investment advice;	RM10,000.00
		(viii) financial planning;	RM10,000.00
		(ix) dealing in private retirement schemes;	RM10,000.00
		(x) clearing for securities; or	RM10,000.00
		(xi) clearing for derivatives;	RM10,000.00
		(e) in the case of an existing CMSL holder for fund management (digital investment management) applying for any additional regulated activity as follows:	
		(i) any type of dealing in securities other than dealing in securities restricted to specified securities;	RM30,000.00
		(ii) any type of dealing in derivatives other than restricted dealing in derivatives;	RM30,000.00
		(iii) any other type of fund management;	RM30,000.00

Item	(1) Description	(2) Type of regulated activity	(3) Fees
		(iv) advising on corporate finance;	RM30,000.00
		(v) any type of dealing in securities restricted to specified securities;	RM10,000.00
		(vi) any type of restricted dealing in derivatives;	RM10,000.00
		(vii) investment advice;	RM10,000.00
		(viii) financial planning;	RM10,000.00
		(ix) dealing in private retirement schemes;	RM10,000.00
		(x) clearing for securities; or	RM10,000.00
		(xi) clearing for derivatives;	RM10,000.00
		(f) in the case of an existing CMSL holder for one or a combination of the following regulated activities:	
		(i) any type of dealing in securities restricted to specified securities or any type of restricted dealing in derivatives;	
		(ii) investment advice;	
		(iii) dealing in private retirement schemes; or	

Item	(1) Description	(2) Type of regulated activity	(3) Fees
		(iv) clearing for securities or derivatives or both, applying for any additional regulated activity as follows:	
		(i) any type of dealing in securities other than dealing in securities restricted to specified securities;	RM35,000.00
		(ii) any type of dealing in derivatives other than restricted dealing in derivatives;	RM35,000.00
		(iii) any type of fund management other than boutique portfolio management;	RM35,000.00
		(iv) fund management (boutique portfolio management);	RM30,000.00
		(v) advising on corporate finance;	RM30,000.00
		(vi) any type of dealing in securities restricted to specified securities;	RM10,000.00

Item	(1) Description	(2) Type of regulated activity	(3) Fees
		(vii) any type of restricted dealing in derivatives;	RM10,000.00
		(viii) investment advice;	RM10,000.00
		(ix) financial planning;	RM10,000.00
		(x) dealing in private retirement schemes;	RM10,000.00
		(xi) clearing for securities; or	RM10,000.00
		(xii) clearing for derivatives;	RM10,000.00
		For purposes of subitems (a) to (f), in the case of an existing CMSL holder applying to add the regulated activity of financial planning together with dealing in securities restricted to unit trusts or dealing in private retirement schemes, or both, the fee payable shall be RM10,000.00.	
		(g) in the case of an existing CMSL holder solely for financial planning applying for any additional regulated activity as follows:	
		(i) any type of dealing in securities other than dealing in securities restricted to specified securities;	RM35,000.00
		(ii) any type of dealing in derivatives other than restricted dealing in derivatives;	RM35,000.00

Item	(1) Description	(2) Type of regulated activity	(3) Fees
		(iii) any type of fund management other than boutique portfolio management;	RM35,000.00
		(iv) fund management (boutique portfolio management);	RM30,000.00
		(v) advising on corporate finance;	RM30,000.00
		(vi) any type of dealing in securities restricted to specified securities;	RM10,000.00
		(vii) dealing in private retirement schemes;	RM5,000.00
		(viii) dealing in securities restricted to unit trusts and dealing in private retirement schemes (applied together);	RM10,000.00
		(ix) any type of restricted dealing in derivatives;	RM10,000.00
		(x) investment advice;	RM10,000.00
		(xi) clearing for securities; or	RM10,000.00
		(xii) clearing for derivatives;	RM10,000.00
		(h) in the case of any other variations as follows:	
		(i) existing CMSL for fund management (boutique	RM5,000.00

Item	(1) Description	(2) Type of regulated activity	(3) Fees
		portfolio management) to be converted to fund management in relation to portfolio management and <i>vice versa</i> ;	
		(ii) existing CMSL for fund management to be converted to Islamic fund management and <i>vice versa</i> ; or	RM5,000.00
		(iii) any other variations not specified in subsubitems (i) and (ii).	RM5,000.00
4.	Application for variation of regulated activity by existing CMSRL holder: Subsequent addition of regulated activity	All types of regulated activities	RM1,000.00 per application
5.	Application for variation of regulated activity by existing CMSL holder: Cessation of regulated activity	All types of regulated activities	RM500.00 per application

Item	(1) Description	(2) Type of regulated activity	(3) Fees
6.	Application for variation of regulated activity by existing CMSRL holder: Cessation of regulated activity	All types of regulated activities	RM500.00 per application
7.	Annual fees payable by CMSL holder (Note 2)	(a) any type of dealing in securities, except a CMSL solely for dealing in securities restricted to unit trusts;	(i) 1.5% of gross revenue earned from the regulated activity; or (ii) RM20,000.00, whichever is higher.
		(b) any type of dealing in derivatives, except a CMSL solely for dealing in derivatives restricted to contract for difference;	(i) 1.5% of gross revenue earned from the regulated activity; or (ii) RM20,000.00, whichever is higher.
		(c) advising on corporate finance;	(i) 1.5% of gross revenue earned from the regulated activity; or

Item	(1) Description	(2) Type of regulated activity	(3) Fees
			(ii) RM20,000.00, whichever is higher.
		(d) fund management other than fund management in relation to real estate investment trust or business trust;	<p>(i) the total fee payable for each type of funds under its management including its private mandate, as the case may be, calculated based on the following formula:</p> <p>(A) for equity funds:</p> <p>total value of net assets under management, calculated at the end of each business day of that year, divided by the number of</p>

Item	(1) Description	(2) Type of regulated activity	(3) Fees
			<p>business days of that year x 0.0125%;</p> <p>(B) for fixed income, mixed assets and any other funds:</p> <p>total value of net assets under management, calculated at the end of each business day of that year, divided by the number of business days of that year x 0.01%;</p> <p>(C) for money market funds:</p> <p>total value of net assets</p>

Item	(1) Description	(2) Type of regulated activity	(3) Fees
			<p>under management, calculated at the end of each business day of that year, divided by the number of business days of that year x 0.0025%;</p> <p>(D) for private mandates:</p> <p>total value of net assets under management, calculated at the end of each business day of that year, divided by the number of business days of that year x 0.0025%; or</p>

Item	(1) Description	(2) Type of regulated activity	(3) Fees
			(ii) RM20,000.00, whichever is higher.
		(e) fund management in relation to real estate investment trust or business trust;	(i) 0.3% of gross revenue earned from the regulated activity; or (ii) RM20,000.00, whichever is higher.
		(f) investment advice;	RM20,000.00
		(g) financial planning;	RM10,000.00
		(h) dealing in private retirement schemes:	
		(i) in the case of CMSL solely for dealing in private retirement schemes;	RM10,000.00
		(ii) in the case where the CMSL holder also carries on dealing in securities restricted to unit trusts;	RM20,000.00
		(iii) in the case where the CMSL holder also carries on any type of fund management	The annual fee payable for any type of fund management or financial planning

Item	(1) Description	(2) Type of regulated activity	(3) Fees
		or financial planning or both; or	or both, as the case may be
		(iv) in the case where the CMSL holder also carries on any other type of regulated activity other than as specified in subsubitems (ii) and (iii);	RM10,000.00 + the annual fee payable for each of the other type of regulated activity as specified in this Schedule
		<i>(i)</i> dealing in securities restricted to unit trusts:	
		(i) in the case where the CMSL holder solely holds licence for dealing in securities restricted to unit trusts;	RM10,000.00
		(ii) in the case where the CMSL holder also carries on dealing in private retirement schemes;	RM20,000.00
		(iii) in the case where the CMSL holder also carries on any type of fund management or financial planning or both; or	The annual fee payable for any type of fund management or financial planning or both, as the case may be

Item	(1) Description	(2) Type of regulated activity	(3) Fees
		(iv) in the case where the CMSL holder also carries on any other type of regulated activity other than as specified in subsubitems (ii) and (iii);	RM10,000.00 + the annual fee payable for each of the other type of regulated activity as specified in this Schedule
		(j) dealing in derivatives restricted to contract for difference:	
		(i) in the case where the CMSL holder solely holds licence for dealing in derivatives restricted to contract for difference;	RM10,000.00
		(ii) in the case where the CMSL holder also carries on dealing in derivatives; or	The annual fee payable for dealing in derivatives
		(iii) in the case where the CMSL holder also carries on any other type of regulated activity other than as specified in subsubitem (ii);	RM10,000.00 + the annual fee payable for each of the other type of regulated activity as specified in this Schedule
		(k) clearing for securities or derivatives or both:	

Item	(1) Description	(2) Type of regulated activity	(3) Fees
		(i) in the case where the CMSL holder solely holds licence for clearing for securities or derivatives or both;	RM10,000.00
		(ii) in the case where the CMSL holder also carries on dealing in securities or dealing in derivatives or both; or	The annual fee payable for dealing in securities or dealing in derivatives or both, as the case may be
		(iii) in the case where the CMSL holder also carries on any other type of regulated activity other than as specified in subsubitem (ii);	RM10,000.00 + the annual fee payable for each of the other type of regulated activity as specified in this Schedule
		(l) CMSL (individual)	RM5,000.00
8.	Annual fees payable by a CMSRL holder	CMSRL	RM300.00 per individual

Note 1:

In respect of an application for a new CMSL involving multiple regulated activities except for those specified in subitem 1(c), the Commission shall determine which of the regulated activities shall be the first regulated activity.

Note 2:

Where at any time during the validity of its licence, a licence holder makes an application to vary its licence by subsequently adding a regulated activity or converting its existing regulated activity, the annual fee payable for the variation shall be prorated in accordance with the licence period for that additional or converted regulated activity which commences upon obtaining the approval of such variation.

SCHEDULE 4
[Paragraph 5(1)(b)]

Fees in respect of registered persons under section 76 of the Act

Item	(1) Description	(2) Fees
Persons specified in the third column of Part 1 of Schedule 4 of the Act to be a registered person, and their representative		
1.	Annual fee payable by a person specified in the third column of Part 1 of Schedule 4 of the Act to be a registered person	Payable for each type of regulated activity as specified in paragraph 7 of Schedule 3
2.	Application to be a representative of a person specified in the third column of Part 1 of Schedule 4 of the Act to be a registered person	RM1,000.00 per individual
3.	Annual fee payable by a representative of a person specified in the third column of Part 1 of Schedule 4 of the Act to be a registered person	RM300.00 per individual
Registration of persons pursuant to subsection 76(2) of the Act		
4.	Application for registration as a venture capital management corporation	RM5,000.00
5.	Annual fee payable by a registered venture capital management corporation	RM10,000.00

Item	(1) Description	(2) Fees
6.	Application for registration as a private equity management corporation	RM5,000.00
7.	Annual fee payable by a registered private equity management corporation	RM10,000.00
8.	Application for registration as a credit rating agency	RM20,000.00
9.	Annual fee payable by a registered credit rating agency	<p>(a) 0.3% of gross revenue earned from the regulated activity; or</p> <p>(b) RM20,000.00,</p> <p>whichever is higher.</p>
10.	Application for registration as a bond pricing agency	RM20,000.00
11.	Annual fee payable by a registered bond pricing agency	<p>(a) 0.3% of gross revenue earned from the regulated activity; or</p> <p>(b) RM20,000.00,</p> <p>whichever is higher.</p>
12.	Application for registration as a trading representative	RM1,000.00
13.	Annual fee payable by a registered trading representative	RM300.00

Item	(1) Description	(2) Fees
14.	Application for registration as a recognised representative under the ASEAN Capital Markets Forum (ACMF) Pass Professional Mobility Framework	RM1,000.00
15.	Annual fee payable by a recognised representative under the ASEAN Capital Markets Forum (ACMF) Pass Professional Mobility Framework	RM300.00
16.	Application for registration to carry on regulated activity where no fees are specifically provided	RM20,000.00 for entity and RM1,000.00 for individual
17.	Annual fee payable by a person registered to carry on regulated activity where no fees are specifically provided	RM20,000.00 for entity and RM300.00 for individual

SCHEDULE 5
[Paragraph 5(1)(c)]

Fees in respect of persons registered under section 76A of the Act

Item	(1) Description	(2) Fees
1.	Application for registration as a Shariah adviser (corporation)	RM5,000.00
2.	Application for registration as a Shariah adviser (individual)	RM 1,000.00
3.	Annual fee payable by a registered Shariah adviser (corporation) or a financial institution deemed to be a registered Shariah adviser	RM5,000.00

Item	(1) Description	(2) Fees
4.	Annual fees payable by a registered Shariah adviser (individual)	RM300.00
5.	Application for registration as a trustee	RM20,000.00
6.	Annual fees payable by a registered trustee	RM20,000.00
7.	Application for registration as an issuing house	RM20,000.00
8.	Annual fee payable by a registered issuing house	RM20,000.00
9.	Application for registration as a digital asset custodian	RM10,000.00
10.	Annual fees payable by a registered digital asset custodian	RM10,000.00
11.	Application for registration as a capital market service provider where no fees are specifically provided	RM20,000.00 for corporation and RM1,000.00 for individual
12.	Annual fee payable by a registered capital markets service provider where no fees are specifically provided	RM20,000.00 for corporation and RM300.00 for individual

SCHEDULE 6
[Paragraph 5(1)(d)]

Fees in respect of registration of persons determined by the Commission pursuant to guidelines issued under section 377 of the Act

Item	(1) Description	(2) Fees
1.	Notification to the Commission on person who has registered with a principal as a marketing representative	RM500.00 per marketing representative
2.	Annual fee payable by a marketing representative	RM300.00

SCHEDULE 7
[Subregulation 5(2)]

Other fees payable under Part III of the Act

(A) Fees in respect of documents, forms, etc. in relation to licensed person

Item	(1) Description	(2) Fees
1.	Submission of annual reporting for authorisation activity for CMSL holder	RM2,000.00
2.	Submission of annual reporting for authorisation activity for CMSL holder (individual)	RM2,000.00
3.	Submission of fit and proper compliance report	RM1,000.00
4.	Submission of monitoring officer's report for temporary licence holder	RM3,000.00
5.	Submission of auditor's report	RM200.00
6.	Application for appointment of individual specified in the guidelines relating to licensing or registration under Part III of the Act	RM2,000.00
7.	Submission of profile of individual shareholder	RM100.00
8.	Application for change of shareholders or shareholdings	RM2,000.00
9.	Application for variation of principal by representative	RM1,000.00
10.	Application for change of entity's name	RM200.00
11.	Application for change of individual's business name	RM200.00
12.	Application for the employment of non-Malaysian citizen under the Act (by the principal)	RM2,000.00
13.	Application for the employment of non-Malaysian citizen under the Act (by the applicant)	RM2,000.00
14.	Application for establishment of Islamic stockbroking window	RM1,000.00

Item	(1) Description	(2) Fees
15.	Application for establishment of new business or acquisition of shares or interest within or outside Malaysia (for capital market-based activities)	RM5,000.00
16.	Application for temporary licence	RM5,000.00
17.	Notification of appointment of individual specified in the guidelines relating to licensing or registration under Part III of the Act	RM500.00
18.	Notification of change of shareholders or shareholdings	RM500.00
19.	Notification of establishment of new business or acquisition of shares or interest within or outside Malaysia (for non-capital market-based activities)	RM1,000.00
20.	Notification of cessation of CMSL	RM500.00
21.	Notification of cessation of CMSL (individual)	RM500.00
22.	Notification of cessation of CMSRL	RM200.00
23.	Notification of cessation of individual appointment specified in the guidelines relating to licensing or registration under Part III of the Act	RM200.00
24.	Notification of cessation of a recognised representative under the ASEAN Capital Markets Forum (ACMF) Pass Professional Mobility Framework	RM200.00
25.	Notification of place at which register of securities is kept	RM200.00
26.	Notification of change of place of register of securities kept or cessation of the keeping of register of securities	RM200.00
27.	Notification of cessation of trading representative	RM200.00

Item	(1) Description	(2) Fees
28.	Request for certified true copy of a licence or any other document	RM100.00 per copy
29.	Request for copy of an extract of the register required to be kept by the Commission under section 77 of the Act	RM10.00 per copy
30.	Request for copy of an extract of the register supplied by the Commission under section 88 of the Act	RM10.00 per copy
31.	Lodgement of any other document with the Commission where no fees are specifically provided	RM500.00 per document
32.	Notification of change of individual particulars	RM100.00
33.	Application for exemption from Securities Commission Licensing Exam requirement	RM2,000.00 per module
34.	Application for extension of time for compliance with conditions imposed on licence that is approved in principle	RM5,000.00 per application
35.	Application for extension of time other than for compliance with conditions imposed on licence that is approved in principle	RM2,000.00 for the first application and RM3,000.00 for each subsequent application
36.	Application for exemption or variation from any requirement imposed by the Commission pursuant to the Act or guidelines relating to licensing or registration under Part III of the Act	RM5,000.00 per exemption or variation
37.	Appeal against the Commission's decision in respect of the grant of licence	10% of the fee payable for the application for the grant of license

(B) Fees in respect of documents, forms, etc. in relation to registered person or person registered under section 76A of the Act

Item	(1) Description	(2) Fees
1.	Submission of annual report by capital market service provider	RM2,000.00
2.	Submission of audited financial statements or auditor's report or other reporting	RM200.00
3.	Submission of annual or semi-annual or quarterly reporting	RM200.00
4.	Submission of fit and proper declaration	RM1,000.00
5.	Application for appointment of individual specified in the guidelines relating to licensing or registration under Part III of the Act	RM2,000.00
6.	Submission of profile of individual shareholder	RM100.00
7.	Notification of appointment of individual specified in the guidelines relating to licensing or registration under Part III of the Act	RM500.00
8.	Notification of cessation of individual appointment specified in the guidelines relating to licensing or registration under Part III of the Act	RM200.00
9.	Application for change in ownership	RM2,000.00
10.	Application for reappointment of director or committee member	RM500.00
11.	Application for variation of product type by registered trustee	RM5,000.00
12.	Notification of change in ownership	RM500.00
13.	Notification of cessation of registered person	RM500.00
14.	Notification of reappointment of director or committee member	RM500.00
15.	Notification of change of corporate profile	RM100.00

Item	(1) Description	(2) Fees
16.	Notification of change of individual particulars	RM100.00
17.	Notification of establishment of new business or acquisition of shares or interest within or outside Malaysia (for capital market-based activities)	RM5,000.00
18.	Notification of establishment of new business or acquisition of shares or interest within or outside Malaysia (for non-capital market-based activities)	RM1,000.00
19.	Notification of vacancy of individual specified in the guidelines relating to licensing or registration under Part III of the Act	RM200.00
20.	Lodgement of any other document with the Commission where no fees are specifically provided	RM500.00 per document
21.	Application for extension of time for compliance with conditions imposed on registration that is approved in principle	RM5,000.00 per application
22.	Application for extension of time other than for compliance with conditions imposed on registration that is approved in principle	RM2,000.00 for the first application and RM3,000 for each subsequent application
23.	Application for exemption or variation from any requirement imposed by the Commission pursuant to the Act or guidelines relating to licensing or registration under Part III of the Act	RM5,000.00 per exemption or variation
24.	Appeal against the Commission's decision in respect of registration under section 76 or 76A of the Act (except for Shariah adviser)	10% of the fee payable for application for registration
25.	Notice of withdrawal of registration as a Shariah adviser (individual)	RM200.00
26.	Notice of withdrawal of registration as a Shariah adviser (corporation)	RM500.00

Item	(1) Description	(2) Fees
27.	Notice of change in Shariah committee members or Shariah officer of Shariah adviser (corporation)	RM100.00
28.	Appeal against the Commission's decision in respect of registration as Shariah adviser	RM500.00

(C) Fees in respect of documents, forms, etc. in relation to recognized market operator

Item	(1) Description	(2) Fees
1.	Application for change of controller of recognized market operator	RM2,000.00
2.	Application for review of any proposed rules, or any proposed amendments to existing rules of a recognized market operator	RM1,000.00
3.	Application to operate secondary market platform	RM2,000.00
4.	Application for providing or carrying out other business model activities by digital asset exchange operator	RM2,000.00
5.	Application for introduction or offering of new products	RM2,000.00
6.	Notification of change of individual specified in guidelines relating to recognized market operator	RM500.00
7.	Notification for establishment of new business or acquisition of shares or interests within or outside Malaysia (for capital market-based activities)	RM5,000.00

Item	(1) Description	(2) Fees
8.	Notification for establishment of new business or acquisition of shares or interests within or outside Malaysia (for non-capital market-based activities)	RM1,000.00
9.	Notification on change in particulars of recognized market operator	RM100.00
10.	Notification on vacancy of the position of individual specified in the guidelines relating to recognized market operator	RM200.00
11.	Notification on withdrawal of registration as a recognized market operator	RM500.00
12.	Notification on change, amendment, variation or deletion of rulebook of recognized market operator	RM100.00
13.	Application for extension of time for compliance with conditions imposed on registration that is approved in principle	RM5,000.00 per application
14.	Application for extension of time other than for compliance with conditions imposed on registration that is approved in principle	RM2,000.00 for the first application and RM3,000.00 for each subsequent application
15.	Application for exemption or variation from requirements under the guidelines relating to recognized market operator	RM5,000.00 per exemption or variation
16.	Furnishing of white paper for the Commission's review	RM1,000.00
17.	Furnishing of supplementary white paper for the Commission's review	RM500.00
18.	Lodgement of white paper with the Commission	RM500.00

Item	(1) Description	(2) Fees
19.	Lodgement of supplementary white paper with the Commission	RM500.00
20.	Furnishing of prospectus registered with an equity crowdfunding operator to the Commission for lodgement	RM500.00
21.	Furnishing of supplementary prospectus registered with an equity crowdfunding operator with the Commission for lodgement	RM500.00
22.	Regulatory filing – audited financial statement	RM200.00
23.	Regulatory filing – annual compliance report	RM2,000.00
24.	Lodgement of any other document with the Commission where no fees are specifically provided	RM500.00 per document
25.	Appeal against the Commission's decision in respect of registration as recognized market operator	10% of the fee payable for application for registration

SCHEDULE 8
[Regulation 6]

Fees in respect of proposals in relation to securities other than debentures, sukuk, unit trust schemes and prescribed investment schemes

Item	(1) Description	(2) Fees
1.	Initial public offering or initial listing	RM100,000.00 + 0.05% of the total market value of securities to be listed and nominal value of any additional securities issued or to be issued but not listed, subject to maximum amount of

Item	(1) Description	(2) Fees
		RM3 million* less amount paid for submission of preliminary application pack under item 2 <i>*Excluding the fees paid for review of assessment by experts and asset valuation</i>
2.	Submission of preliminary application pack for initial public offering or initial listing	RM50,000.00 per submission
3.	Acquisition or restructuring scheme resulting in significant change in business direction or policy of a corporation including where such acquisition or restructuring is in conjunction with transfer of listing of corporation listed on alternative market to main market of the stock exchange	RM100,000.00 + 0.05% of the total market value of equity or convertible securities to be issued and where market value is not available, the nominal value, subject to maximum amount of RM3 million* less amount paid for submission of preliminary application pack under item 4 <i>*Excluding the fees paid for review of assessment by experts and asset valuation</i>
4.	Submission of preliminary application pack for acquisition or restructuring scheme resulting in significant change in business direction or policy of a corporation including where such acquisition or restructuring is in conjunction with transfer of listing of the corporation listed	RM50,000.00 per submission

Item	(1) Description	(2) Fees
	on alternative market to main market of the stock exchange	
5.	Transfer of listing of corporation listed on alternative market to main market of the stock exchange except where the transfer is in conjunction with item 3	<p>RM100,000.00 + 0.05% of the total market value of securities to be listed and nominal value of any additional securities issued or to be issued but not listed, subject to maximum amount of RM3 million*</p> <p><i>*Excluding the fees paid for review of assessment by experts and asset valuation</i></p>
6.	Amalgamation of two or more listed corporations	<p>RM100,000.00 + 0.05% of the total market value of securities to be listed and nominal value of any additional securities issued or to be issued but not listed, subject to maximum amount of RM3 million* less amount paid for submission of preliminary application pack under item 7</p> <p><i>*Excluding the fees paid for review of assessment by experts and asset valuation</i></p>
7.	Submission of preliminary application pack for amalgamation of two or more listed corporations	RM50,000.00 per submission

Item	(1) Description	(2) Fees
8.	Secondary listing of foreign corporation in Malaysia	RM100,000.00
9.	Offering of unlisted public company shares	RM50,000.00 + 0.025% of the total value of the shares to be issued
10.	Application for clearance of circular or introductory document	RM30,000.00
11.	Application for establishment of new closed-end fund	RM10,000.00 + 0.01% of paid-up capital
12.	Application for increase in paid-up capital for closed-end fund	RM2,000.00 per fund
13.	Application for exemption or variation from requirements under the guidelines on—	
	(a) form and content in relation to submission to the Commission; or	RM1,000.00 per exemption or variation
	(b) requirements other than form and content under subitem (a)	RM5,000.00 per exemption or variation
14.	Application for extension of time	RM5,000.00 per application
15.	Application to revise a proposal which has been submitted to the Commission and the Commission's decision has yet to be made	RM2,000.00 per application
16.	Application to revise a proposal which has been submitted to the Commission and Commission's approval has been granted	RM5,000.00 per application
17.	Application for the Commission to make a determination on whether a proposal is considered an acquisition resulting in a significant change in business direction or policy of the corporation	RM2,000.00

Item	(1) Description	(2) Fees
18.	Application to be a principal adviser specified by the Commission, for submission of proposals to the Commission	RM250,000.00 per application
19.	Notification on the appointment of qualified person	RM2,000.00 per notification
20.	Appeal against the Commission's decision in respect of any proposal in relation to securities other than debentures, sukuk, unit trust schemes and prescribed investment schemes under Division 1A of Part VI of the Act	RM5,000.00 per application

SCHEDULE 9
[Regulation 7]

Fees in respect of take-overs, mergers and compulsory acquisitions

Item	(1) Description	(2) Fees
1.	Clearance of offer document	RM30,000.00 + 0.075% of offer value
2.	Clearance of whitewash circular	RM30,000.00
3.	Clearance of independent advice circular	RM20,000.00
4.	Clearance of supplementary documents	RM10,000.00
5.	Application for exemption from mandatory take-over offer obligation	RM50,000.00 per exemption
6.	Application for exemption from take-over, merger or compulsory acquisition provisions other than for item 5	RM20,000.00 per exemption
7.	Application for ruling	RM30,000.00
8.	Application for extension of time	RM5,000.00 per application

Item	(1) Description	(2) Fees
9.	Appeal against the Commission's decision in respect of any proposal relating to take-overs, mergers and compulsory acquisitions under Division 2 of Part VI of the Act	RM5,000.00 per application

SCHEDULE 10
[Paragraph 8(a)]

Fees in respect of registration of prospectus and disclosure document, and deposit of information memorandum, other than in relation to unit trust schemes and prescribed investment schemes

Item	(1) Description	(2) Fees
1.	Registration of:	
	(a) prospectus;	RM30,000.00
	(b) abridged prospectus;	RM20,000.00
	(c) supplementary or replacement prospectus;	RM10,000.00
	(d) base prospectus;	RM30,000.00
	(e) supplementary or replacement base prospectus;	RM6,000.00
	(f) term sheet; or	RM2,500.00
	(g) pricing supplement	RM500.00
2.	Deposit of information memorandum	RM3,000.00
3.	Registration of disclosure document for offering by unlisted public company	RM10,000.00
4.	Application for exemption or variation from requirements under the guidelines	RM1,000.00 per exemption or variation

SCHEDULE 11
[Paragraph 8(b)]

Fees in respect of registration of prospectus and deposit of information memorandum in relation to unit trust scheme and prescribed investment scheme

Item	(1) Description	(2) Fees
1.	Registration of prospectus for unit trust scheme and prescribed investment scheme other than business trust and real estate investment trust	RM4,000.00 + RM200.00 per scheme
2.	Registration of supplementary or replacement prospectus for unit trust scheme and prescribed investment scheme other than business trust and real estate investment trust	RM4,000.00 + RM200.00 per scheme
3.	Registration of prospectus for business trust and real estate investment trust	RM30,000.00
4.	Registration of abridged prospectus for business trust and real estate investment trust	RM20,000.00
5.	Registration of supplementary or replacement prospectus for business trust and real estate investment trust	RM10,000.00
6.	Deposit of information memorandum	RM500.00
7.	Application for exemption or variation from requirements under the guidelines	RM1,000.00 per exemption or variation

SCHEDULE 12
[Regulation 9]

Fees in respect of business trust

Item	(1) Description	(2) Fees
1.	Registration or recognition of business trust	RM3,000.00

Item	(1) Description	(2) Fees
2.	Submission of deed (for listed business trust)	RM4,000.00
3.	Registration of deed:	
	(a) unlisted scheme; or	RM4,000.00
	(b) listed scheme	RM1,500.00
4.	Submission of supplementary deed	RM4,000.00
5.	Application for listing of business trust	<p>RM100,000.00 + 0.05% of market capitalisation, subject to maximum amount of RM3 million* less amount paid for submission of preliminary application pack under item 6</p> <p><i>*Excluding the fees paid for review of assessment by experts and asset valuation</i></p>
6.	Submission of preliminary application pack for application for listing of business trust	RM50,000.00 per submission
7.	Acquisition or restructuring scheme resulting in significant change in business direction or policy of a listed business trust	<p>RM100,000.00 + 0.05% of total market value of the units or convertible securities to be issued and where market value is not available, the nominal value, subject to maximum amount of RM3 million* less amount paid for submission of preliminary application pack under item 8</p>

Item	(1) Description	(2) Fees
		<i>*Excluding the fees paid for review of assessment by experts and asset valuation</i>
8.	Submission of preliminary application pack for acquisition or restructuring scheme resulting in significant change in business direction or policy of a listed business trust	RM50,000.00 per submission
9.	Authorisation or recognition of unlisted business trust	RM100,000.00 + 0.05% of the offer price of the units to be issued, subject to maximum amount of RM3 million* <i>*Excluding the fees paid for review of assessment by experts and asset valuation</i>
10.	Secondary listing of recognised business trust	RM100,000.00
11.	Application to revise a proposal which has been submitted to the Commission and the Commission's decision has yet to be made	RM2,000.00 per application
12.	Application to revise a proposal which has been submitted to the Commission and Commission's approval has been granted	RM5,000.00 per application
13.	Application for exemption or variation from requirements under the guidelines on—	
	(a) form and content in relation to submission to the Commission; or	RM1,000.00 per exemption or variation
	(b) requirements other than form and content under subitem (a)	RM5,000.00 per exemption or variation
14.	Application for extension of time	RM5,000.00 per application

Item	(1) Description	(2) Fees
15.	Lodgement of documents	RM500.00 per document
16.	Application for clearance of circular or introductory document	RM30,000.00
17.	Appeal against the Commission's decision in respect of any proposal relating to business trust under Divisions 1A, 3A and 3B of Part VI of the Act	RM5,000.00 per application

SCHEDULE 13
[Regulation 10]

Fees in respect of debentures and sukuk other than debentures and sukuk under the Lodge and Launch Framework

Item	(1) Description	(2) Nominal value of securities to be issued	(3) Fees
1.	Application for: (a) programme or one-off issuance facility of ringgit-denominated debentures or sukuk; or	Less than RM50 million	RM10,000.00
		RM50 million to less than RM100 million	RM30,000.00
		RM100 million and more	RM50,000.00
	(b) programme or one-off issuance facility of ringgit-denominated debentures or sukuk that are non-tradable and non-transferable on a private placement basis	Less than RM1 billion	RM100,000.00
		RM1 billion and more	RM300,000.00
2.	Issuance of ringgit-denominated debentures or sukuk:		

Item	(1) Description	(2) Nominal value of securities to be issued	(3) Fees
	(a) for each issuance of commercial paper or Islamic commercial paper; or	-	0.01% of issuance amount prorated based on tenure of the commercial paper or Islamic commercial paper (in days) and divided by 365 days
	(b) for each issuance of debenture or sukuk other than commercial paper or Islamic commercial paper	-	0.02% of issuance amount
3.	Application for programme or one-off issuance facility of foreign currency denominated debentures or sukuk	-	RM10,000.00
4.	Application for extension of time	-	RM5,000.00 per application
5.	Application to revise terms and conditions of debentures or sukuk which has been submitted to the Commission for approval and the Commission's decision has yet to be made	-	RM2,000.00 per application
6.	Application to revise terms and conditions of debentures	-	RM5,000.00 per application

Item	(1) Description	(2) Nominal value of securities to be issued	(3) Fees
	or sukuk which has been approved by the Commission and the debentures or sukuk have not yet been issued		
7.	Application for exemption or variation from requirements under the guidelines on—		
	(a) form and content in relation to submission to the Commission; or	-	RM1,000.00 per exemption or variation
	(b) requirements other than form and content under subitem (a)	-	RM5,000.00 per exemption or variation
8.	Lodgement of trust deed	-	RM3,000.00
9.	Notification of an offering of debentures or sukuk under the Seasoned Bonds Framework for the initial 12-month period (“Initial Notification”)	-	RM10,000.00 per notification
10.	Notification of each subsequent offering of debentures or sukuk under the Seasoned Bonds Framework after the Initial Notification	-	RM1,000.00 per tranche, subject to maximum amount of RM10,000.00 within any 12-month period
11.	Appeal against the Commission’s decision in respect of debentures and sukuk, other than debentures	-	RM5,000.00 per application

Item	(1) Description	(2) Nominal value of securities to be issued	(3) Fees
	and sukuk under the Lodge and Launch Framework, under Divisions 1A, 3A and 4 of Part VI of the Act		

SCHEDULE 14
[Regulation 11]

Fees in respect of unit trust schemes and prescribed investment schemes other than business trust

Item	(1) Description	(2) Fees
1.	Submission of deed (for listed scheme)	RM4,000.00 + RM200.00 per listed scheme
2.	Registration of deed:	
	(a) unlisted scheme; or	RM4,000.00 + RM200.00 per scheme
	(b) listed scheme	RM1,500.00 + RM200.00 per scheme
3.	Registration of supplementary deed	RM4,000.00 + RM200.00 per scheme
4.	Lodgement of documents	RM100.00 per document
5.	Establishment of scheme or recognition of foreign scheme (excluding real estate investment trust and exchange traded fund)	RM10,000.00 per unlisted scheme
6.	Establishment of real estate investment trust:	
	(a) unlisted real estate investment trust; or	RM15,000.00
	(b) listing of real estate investment trust	RM100,000.00 + 0.05% of

Item	(1) Description	(2) Fees
		market capitalisation or offer price of the units to be issued in a listed real estate investment trust, subject to maximum amount of RM3 million* <i>*Excluding the fees paid for review of asset valuation</i>
7.	Recognition of foreign real estate investment trust	RM15,000.00 per unlisted scheme
8.	Establishment of exchange traded fund	RM15,000.00 per fund
9.	Recognition of foreign exchange traded fund	RM15,000.00 per unlisted fund
10.	Application for exemption or variation from requirements under the guidelines on—	
	(a) Form and content in relation to submission to the Commission; or	RM1,000.00 per exemption or variation
	(b) requirements other than form and content under subitem (a)	RM5,000.00 per exemption or variation
11.	Application for extension of time other than real estate investment trust	RM2,000.00 per application
12.	Application for extension of time for real estate investment trust	RM5,000.00 per application
13.	Application to revise a proposal in relation to real estate investment trust which has been submitted to the Commission and the Commission's decision has yet to be made	RM2,000.00 per application
14.	Application to revise a proposal in relation to real estate investment trust which has been	RM5,000.00 per application

Item	(1) Description	(2) Fees
	submitted to the Commission and Commission's approval has been granted	
15.	Secondary listing of foreign listed schemes	RM15,000.00 per scheme
16.	Appeal against the Commission's decision in respect of unit trust scheme and prescribed investment scheme, other than business trust, under Divisions 1, 1A, 3A and 5 of Part VI of the Act	RM5,000.00 per application
17.	Annual fees payable by unlisted schemes other than real estate investment trust:	
	(a) scheme which has been launched for a period of five years or more;	RM7,500.00
	(b) scheme which has been launched for a period of less than five years and with fund size of RM30 million or more; or	RM5,000.00
	(c) scheme which has been launched for a period of less than five years and with fund size of less than RM30 million	RM2,000.00

SCHEDULE 15
[Regulation 12]

Fees in respect of proposals involving assessment by experts and asset valuations

Item	(1) Description	(2) Fees
1.	Review of asset valuation	RM10,000.00 + 0.01% of market value* Subject to maximum amount of RM250,000.00

Item	(1) Description	(2) Fees
		<i>*Based on the total market value of all the assets included in each submission or application</i>
2.	Review of competent person's report relating to mineral, oil and gas resources	Cost incurred by the Commission, subject to maximum amount of RM200,000.00 per report
3.	Application for exemption or variation from requirements under the guidelines on—	
	(a) form and content in relation to submission to the Commission; or	RM1,000.00 per exemption or variation
	(b) requirements other than form and content under subitem (a)	RM5,000.00 per exemption or variation
4.	Appeal against the Commission's decision in respect of review of assessment by experts and asset valuations	RM5,000.00 per application

SCHEDULE 16
[Regulation 13]

Fees in respect of deposit of disclosure document and lodgement of product highlights sheet

Item	(1) Description	(2) Fees
1.	Deposit of disclosure document	RM3,000.00
2.	Lodgement of product highlights sheet:	
	(a) unit trust scheme; or	RM500.00 for initial lodgement and RM100.00 for lodgement of each replacement
	(b) other than unit trust scheme and private retirement scheme	RM3,000.00 per lodgement

Item	(1) Description	(2) Fees
3.	Application for exemption or variation from requirements under the guidelines	RM1,000.00 per exemption or variation

SCHEDULE 17
[Regulation 14]

Fees in respect of the Lodge and Launch Framework

Item	(1) Description	(2) Nominal value of securities	(3) Fees
1.	Debentures and sukuk		
	<i>(a)</i> lodgement of programme or one-off issuance facility of—		
	(i) ringgit-denominated debentures or sukuk;	Less than RM50 million	RM10,000.00
		RM50 million to less than RM100 million	RM30,000.00
		RM100 million and more	RM50,000.00
	(ii) ringgit-denominated debentures or sukuk that are non-tradable and non-transferable on a private placement basis; or	Less than RM1 billion	RM100,000.00
		RM1 billion and more	RM300,000.00
	(iii) foreign currency-denominated debentures or sukuk;	-	RM10,000.00

Item	(1) Description	(2) Nominal value of securities	(3) Fees
	<i>(b)</i> issuance of ringgit-denominated debentures or sukuk for—		
	(i) each issuance of commercial paper or Islamic commercial paper; or	-	0.01% of issuance amount prorated based on the tenure of the commercial paper or Islamic commercial paper (in days) and divided by 365 days
	(ii) each issuance of debentures or sukuk other than commercial paper or Islamic commercial paper;	-	0.02% of issuance amount
	<i>(c)</i> lodgement pursuant to a revision to terms and conditions of debentures or sukuk prior to issuance;	-	RM5,000.00
	<i>(d)</i> lodgement of trust deed	-	RM3,000.00
	2. Asset-backed securities		
	<i>(a)</i> lodgement of programme or one-off issuance facility of—		
	(i) asset-backed securities; or	Less than RM100 million	RM50,000.00
		RM100 million and more	RM100,000.00
	(ii) asset-backed securities that are non-tradable and non-transferable on a	Less than RM1 billion	RM100,000.00
		RM1 billion and more	RM300,000.00

Item	(1) Description	(2) Nominal value of securities	(3) Fees
	private placement basis;		
	(b) issuance of ringgit- denominated asset- backed securities;	-	0.02% of issuance amount
	(c) lodgement pursuant to a revision to terms and conditions of an asset- backed securities prior to issuance;	-	RM5,000.00
	(d) lodgement of trust deed	-	RM3,000.00
3.	Structured products		
	(a) lodgement of programme and issuance of structured products under a programme;	-	RM50,000.00 per programme lodged + 0.01% of issuance amount
	(b) lodgement of trust deed	-	RM3,000.00
4.	Wholesale funds		
	(a) lodgement for establishment of wholesale funds;	-	RM5,000.00 per fund
	(b) revision to the lodgement form prior to launch;	-	RM500.00
	(c) annual fee for—		
	(i) fund which has been launched for a period of five years or more;	-	RM7,500.00
	(ii) fund which has been launched for a period	-	RM5,000.00

Item	(1) Description	(2) Nominal value of securities	(3) Fees
	of less than five years and with fund size of RM30 million or more; or		
	(iii) fund which has been launched for a period of less than five years and with fund size of less than RM30 million	-	RM2,000.00
5.	Foreign exempt scheme		
	(a) lodgement of foreign exempt scheme;	-	RM1,000.00
	(b) annual fee payable by foreign exempt scheme	-	RM500.00
6.	General		
	(a) lodgement of disclosure document or deposit of information memorandum, if any, for—		
	(i) wholesale fund; or	-	RM500.00 per lodgement
	(ii) capital market products other than wholesale fund;	-	RM3,000.00 per lodgement or deposit
	(b) lodgement of product highlights sheet for—		
	(i) wholesale fund; or	-	RM500.00 for initial lodgement and RM100.00 for lodgement of each replacement

Item	(1) Description	(2) Nominal value of securities	(3) Fees
	(ii) capital market products other than wholesale fund;		RM3,000.00 per lodgement
	(c) application for exemption or variation from requirements under the guidelines on—		
	(i) form and content in relation to lodgement or submission to the Commission; or	-	RM1,000.00 per exemption or variation
	(ii) requirements other than form and content under subsubitem (i) for—		
	(A) wholesale funds; or	-	RM5,000.00 per exemption or variation
	(B) capital market products other than wholesale funds;	-	RM5,000.00 per exemption or variation
	(d) appeal against the Commission's decision in respect of proposals and lodgement of documents and information under the Lodge and Launch Framework	-	RM5,000.00 per application

SCHEDULE 18
[Regulation 15]

Other fees in respect of structured warrants

Item	(1) Description	(2) Fees
1.	Clearance of issuer eligibility	RM5,000.00
2.	Application for exemption or variation from requirements under the Issuer Eligibility Guidelines – Structured Warrants	RM5,000.00 per exemption or variation
3.	Appeal against the Commission's decision in respect of structured warrants	RM5,000.00 per application

SCHEDULE 19
[Regulation 16]

Fees in respect of private retirement scheme

Item	(1) Description	(2) Fees
1.	Establishment of private retirement scheme	RM10,000.00 per scheme + RM5,000.00 per fund
2.	Authorisation of additional funds under an approved private retirement scheme	RM5,000.00 per fund
3.	Annual fee for private retirement scheme	RM10,000.00 per scheme
4.	Registration of deed	RM1,500.00 + RM100.00 per fund
5.	Registration of supplementary deed	RM1,000.00 per fund
6.	Registration of disclosure document	RM5,000.00 + RM500.00 per fund
7.	Registration of supplementary or replacement disclosure document	RM2,500.00 + RM100.00 per fund

Item	(1) Description	(2) Fees
8.	Application for exemption or variation from requirements under guidelines	RM500.00 per exemption or variation
9.	Application for extension of time	RM1,000.00 per application
10.	Lodgement of product highlights sheet	RM100.00 per lodgement
11.	Lodgement of document	RM100.00 per document
12.	Application to change the name of private retirement scheme or fund	RM1,000.00 per application
13.	Appeal against the Commission's decision in respect of private retirement scheme	RM5,000.00 per application

Made 30 December 2025
[SC.D35.100-1/3/1; PN(PU2)662/JLD.15]

DATO' MOHAMMAD FAIZ AZMI
Chairman
Securities Commission Malaysia

Approved 31 December 2025
[MOF.SID(S)700-1/2/36 JLD.2; PN(PU2)662/JLD.15]

ANWAR BIN IBRAHIM
Minister of Finance