

**SUMMARY OF KEY AMENDMENTS TO THE  
GUIDELINES ON SALES PRACTICES OF UNLISTED CAPITAL MARKET PRODUCTS  
(Revised: 19 July 2019)**

The following table provides a summary of key amendments to *the Guidelines on Sales Practices of Unlisted Capital Market Products*, effective on **19 July 2019**:

#	Prior to 19 July 2019	Revised on 19 July 2019	Remarks
1.	<b>PART 1</b> <b>INTRODUCTION</b>	<b>PART 1</b> <b>INTRODUCTION</b>	
2.	Paragraph 1.06	Paragraph 1.06	<ul style="list-style-type: none"> <li>For corporate bonds and sukuk other than seasoned bonds, deleted "unless opt in" for High-Net-Worth Individual.</li> </ul>
3.	<b>PART 3</b> <b>PRODUCT HIGHLIGHTS SHEET</b>	<b>PART 3</b> <b>PRODUCT HIGHLIGHTS SHEET</b>	
4.	Paragraphs 3.26	Paragraph 3.26	<ul style="list-style-type: none"> <li>Insertion of the phrase "subject to the applicability of product highlights sheet in paragraph 1.06" at the start of the paragraph.</li> <li>Merged original paragraphs 3.27 and 3.28 with paragraph 3.26.</li> </ul>
5.	Paragraphs 3.26	Paragraph 3.26(a)	<ul style="list-style-type: none"> <li>Deletion of "other than an accredited investor".</li> </ul>
6.	Paragraph 3.27	Paragraph 3.26(c)	<ul style="list-style-type: none"> <li>Merged with paragraph 3.26 to become the new paragraph 3.26(c).</li> </ul>
7.	Paragraph 3.28	Paragraph 3.26(b)	<ul style="list-style-type: none"> <li>Merged with paragraph 3.26 to become the new paragraph 3.26(b).</li> <li>Deletion of "including an accredited investor".</li> </ul>

#	Prior to 19 July 2019	Revised on 19 July 2019	Remarks
8.	Paragraph 3.29	Paragraph 3.27	<ul style="list-style-type: none"> <li>Consequential renumbering.</li> </ul>
9.	Paragraph 3.30	Paragraph 3.28	<ul style="list-style-type: none"> <li>Consequential renumbering.</li> <li>Inserted the phrase ",where applicable," in the paragraph.</li> </ul>
10.	-	Paragraph 3.29	<ul style="list-style-type: none"> <li>Insertion of a new paragraph.</li> </ul>