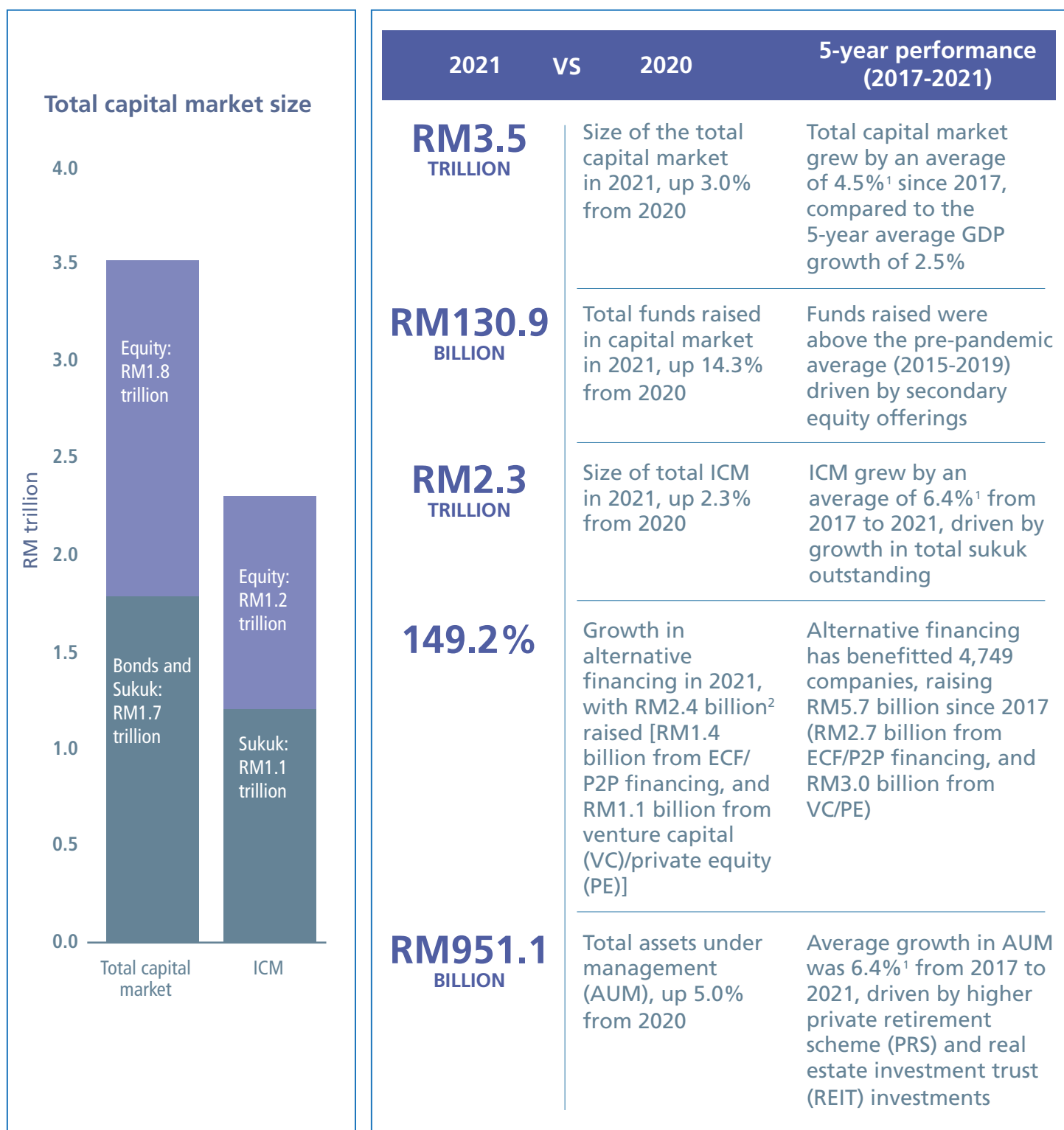


HIGHLIGHTS 2021

SUMMARY OF CAPITAL MARKET PERFORMANCE

Domestic capital market continued to support the recovery of the real economy



¹ Average growth rates stated above are compounded annual growth rates (CAGR).

² The numbers may not add up due to rounding.

SUMMARY OF REGULATORY ACTIONS

Focused on achieving swift, effective and targeted outcomes

Infringement Notices



41

Supervisory letters issued



22

Warning letters issued



42

Non-compliance letters issued



28

Cease and desist letters issued

Criminal Action



10

Criminal charges filed



3

Convictions obtained



RM7.6 mil

Court fines

Civil Action



6

Civil actions filed



721

No. of investors restituted



7

Individuals barred as directors



RM2.5 mil

Civil penalties imposed



RM16 mil

Amount disgorged/restitution order by court

Administrative Action



136

Administrative sanctions imposed



100

Reprimands issued



11

Directives issued



RM2.9 mil

Penalties imposed

KEY HIGHLIGHTS OF THE SC'S EFFORTS IN 2021



Strengthened investment and funding ecosystem

- Liberalised **alternative financing framework** and **enhanced efficiency of fundraising and take-overs** on equities market
- Revised **unit trust industry guidelines** to promote further competitiveness and innovation
- **Streamlined ACE listing procedures** by enabling Bursa Malaysia to be a one-stop centre for ACE market listings



Spurred continued growth and innovation

- Expanded **digital product and platform offerings** amid growth in digital adoption
- Enhanced capabilities through **greater digitisation** of investigation and submission processes
- Strengthened the industry's **cyber security** and technological capacity



Deepened Islamic capital market

- Facilitated **Shariah-compliant financing** for unlisted MSME
- Facilitated **Islamic fintech development** through FIKRA Islamic Fintech Accelerator Programme
- Strengthening **Shariah governance framework** through the revision of *Guidelines for Shariah Advisers*



Monitored market integrity and emerging risks

- Strengthened supervisory effectiveness via **SupTech** initiatives
- Assessed **Bursa Malaysia's technology infrastructure, critical applications and competencies**
- Continued close monitoring of **systemic risks** and surveillance of **market and corporate activities**



Broadened sustainable and responsible investment

- Enhanced the sustainable and responsible investment (SRI) ecosystem through the release of **taxonomy principles** for industry consultation
- **Widened access for sustainable MSMEs** through NaviGate programme
- Revised **Guidelines on Sustainable and Responsible Investment Funds (Guidelines on SRI funds)** to facilitate further issuance of SRI funds



Continued to drive improved corporate governance

- Encouraged **higher adoption of corporate governance** practices and culture
- Launched **new priorities** via the **Corporate Governance Strategic Priorities 2021-2023 (CG Strategic Priorities)**
- Issued the revised **Malaysian Code on Corporate Governance (MCCG)**