

List of Financial Planners With Expanded Scope

No.	Licensed Representative	CMSRL No.	Licensed Intermediary	Type of Additional Offering	Effective Date
1	Chan Ninyii	eCMSRL/B8664/2019	YES Financial Sdn Bhd	<ul style="list-style-type: none"> • Advising on equities, debentures or warrants listed on Bursa Securities • Advising on unlisted corporate bonds or sukuk 	07-May-21 07-Jan-22
2	Michele Lee Kar Mun	eCMSRL/B8985/2019	YES Financial Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	14-Jun-21
3	Chung Cheng Mun	eCMSRL/B9454/2019	iFAST Capital Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	01-Jul-21
4	Yong Chun Wah	eCMSRL/C0035/2020	UOB Kay Hian Wealth Advisors Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	30-Jun-21
6	Seng Liang An	eCMSRL/B9831/2020	Alpine Advisory Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	07-Jul-21
7	Wong Li Yui	eCMSRL/B9830/2020	Alpine Advisory Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	07-Jul-21
8	Hii Ding Leong	eCMSRL/B7447/2017	Bill Morrisons Wealth Management Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	25-Jun-21
9	Suen Kar Sin	eCMSRL/B7696/2017	Bill Morrisons Wealth Management Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	02-Jul-21
10	Ng Ee Sheng	eCMSRL/B9749/2020	YES Financial Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	04-Aug-21
11	Tan Kai Chun	eCMSRL/C0080/2020	UOB Kay Hian Wealth Advisors Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	26-Jul-21
12	Wong Yuen Hwa	eCMSRL/B9405/2019	CC Advisory Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	01-Jul-21
13	Rozanna binti Abdul Rashid	eCMSRL/B9833/2020	Alpine Advisory Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	17-Aug-21
14	Lim Syu Ying	eCMSRL/C0118/2020	Alpine Advisory Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	17-Aug-21
15	Gor Sheau Shuenn	eCMSRL/B9832/2020	Alpine Advisory Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	17-Aug-21
16	Yeoh Kean Hoe	CMSRL/B3017/2012	VKA Wealth Planners Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	19-Aug-21
17	Christopher Chew Kai Thong	eCMSRL/A9551/2008	UOB Kay Hian Wealth Advisors Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	26-Aug-21
18	Au Yong Mun Bong	eCMSRL/A3271/2007	UOB Kay Hian Wealth Advisors Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	04-Aug-21
19	Mohd Faiz bin Abdul Wahab	eCMSRL/B8913/2019	Phillip Wealth Planners Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	18-Aug-21
20	Suzardi bin Maulan	eCMSRL/B7624/2017	Phillip Wealth Planners Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	18-Aug-21

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No.	Licensed Representative	CMSRL No.	Licensed Intermediary	Type of Additional Offering	Effective Date
21	Wong Choon Hong	eCMSRL/B7625/2017	Phillip Wealth Planners Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	18-Aug-21
22	Zulkhairi bin Zulkifli	eCMSRL/B9742/2020	Wealth Vantage Advisory Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	18-Aug-21
23	Jong Hsiao Lui	eCMSRL/C0093/2020	iFAST Capital Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	01-Sep-21
24	Pong Jong Tack	eCMSRL/C0123/2020	iFAST Capital Sdn Bhd	Advising on unlisted corporate bonds or sukuk	01-Sep-21
25	Ooi Ping Ping	eCMSRL/C0675/2021	iFAST Capital Sdn Bhd	Advising on unlisted corporate bonds or sukuk	01-Sep-21
26	Phoo Weng Soong	eCMSRL/C0673/2021	iFAST Capital Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	01-Sep-21
27	Mohamad Fakhrol Radzi bin Zainudin	eCMSRL/B7910/2018	Phillip Wealth Planners Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	04-Sep-21
28	Mohd Zul Alwi bin Mat Adam	eCMSRL/B6831/2016	Phillip Wealth Planners Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	04-Sep-21
29	Nursyahinaz binti Hashim	eCMSRL/B8241/2018	Phillip Wealth Planners Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	04-Sep-21
30	Law Ming Wei	eCMSRL/B8744/2019	VKA Wealth Planners Sdn Bhd	<ul style="list-style-type: none"> • Advising on equities, debentures or warrants listed on Bursa Securities • Advising on unlisted corporate bonds or sukuk 	19-Aug-21
31	Lawrence Tan Sau Poh	eCMSRL/A3459/2007	UOB Kay Hian Wealth Advisors Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	10-Sep-21
32	Teoh Hock Geh	eCMSRL/A3564/2007	UOB Kay Hian Wealth Advisors Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	16-Sep-21
33	Muhammad Hanif bin Yahaya	eCMSRL/B8215/2018	VKA Wealth Planners Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	27-Sep-21
34	Teh Hoon Geok	eCMSRL/B8336/2018	UOB Kay Hian Wealth Advisors Sdn Bhd	<ul style="list-style-type: none"> • Advising on equities, debentures or warrants listed on Bursa Securities • Advising on unlisted corporate bonds or sukuk 	11-Oct-21
35	Ang Chien Mei	eCMSRL/B8205/2018	UOB Kay Hian Wealth Advisors Sdn Bhd	<ul style="list-style-type: none"> • Advising on equities, debentures or warrants listed on Bursa Securities • Advising on unlisted corporate bonds or sukuk 	11-Oct-21
36	Lai Mei Kei	eCMSRL/B8307/2018	UOB Kay Hian Wealth Advisors Sdn Bhd	Advising on unlisted corporate bonds or sukuk	22-Oct-21
37	Ng Chee Yong	eCMSRL/B7486/2017	CC Advisory Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	03-Nov-21
38	Lim Jing Kiat	eCMSRL/C1251/2021	Alpine Advisory Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	18-Nov-21
39	Lew Kai Nun	eCMSRL/B1317/2011	UOB Kay Hian Wealth Advisors Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	01-Dec-21

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No.	Licensed Representative	CMSRL No.	Licensed Intermediary	Type of Additional Offering	Effective Date
40	Chan Aun Kei	eCMSRL/C1245/2021	UOB Kay Hian Wealth Advisors Sdn Bhd	Advising on unlisted corporate bonds or sukuk	01-Dec-21
41	Kennard Khoo Kay Kjin	eCMSRL/B5707/2015	Legacy Advisory Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	14-Jan-22
42	Defri Bin Zulkarnaini	eCMSRL/B6459/2016	Legacy Advisory Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	14-Jan-22
43	Lim Gin Seng	eCMSRL/B5986/2015	Legacy Advisory Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	14-Jan-22
44	Lim Siew Hwang	CMSRL/B3512/2013	Legacy Advisory Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	14-Jan-22
45	Ahmad Zahiruddin Bin Saruji	eCMSRL/B8901/2019	Phillip Wealth Planners Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	15-Jan-22
46	Poon Yew Wai	CMSRL/B2725/2012	A.D. Financial Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	31-Jan-22
47	Razwan bin Awaludin	CMSRL/B4450/2014	Phillip Wealth Planners Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	10-Feb-22
48	Ng Seng Wah	eCMSRL/B9615/2020	Bill Morrisons Wealth Management Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	21-Dec-21
49	Wong Win Son	eCMSRL/C1573/2022	CC Advisory Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	28-Jan-22
50	Tan Chin Cherng	eCMSRL/A3749/2007	UOB Kay Hian Wealth Advisors Sdn Bhd	Advising on unlisted corporate bonds or sukuk	22-Feb-22
52	Chin Seow Yen	eCMSRL/C0986/2021	UOB Kay Hian Wealth Advisors Sdn Bhd	Advising on unlisted corporate bonds or sukuk	14-Mar-22
54	Ong Dan Lin	eCMSRL/B9451/2019	iFAST Capital Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	18-Apr-22
55	Eng Li Sian	eCMSRL/B9453/2019	iFAST Capital Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	18-Apr-22
56	Lee Kim Fatt	eCMSRL/C0955/2021	UOB Kay Hian Wealth Advisors Sdn Bhd	<ul style="list-style-type: none"> Advising on equities, debentures or warrants listed on Bursa Securities Advising on unlisted corporate bonds or sukuk 	06-May-22
57	Lim Yun Nee	eCMSRL/A3686/2007	UOB Kay Hian Wealth Advisors Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	19-May-22
58	Chong Jik Huang	eCMSRL/C1855/2022	iFAST Capital Sdn Bhd	Advising on unlisted corporate bonds or sukuk	12-May-22
59	Tan Yean See	eCMSRL/C1902/2022	UOB Kay Hian Wealth Advisors Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	26-May-22
61	May Lee Kwok Lin	eCMSRL/C1891/2022	UOB Kay Hian Wealth Advisors Sdn Bhd	Advising on unlisted corporate bonds or sukuk	06-Jun-22
62	Chang Swee Sum	eCMSRL/C0985/2021	UOB Kay Hian Wealth Advisors Sdn Bhd	Advising on unlisted corporate bonds or sukuk	06-Jun-22
63	Ikhwan Hafiz Bin Hassan	eCMSRL/C1967/2022	Kenanga Investors Berhad	Advising on equities, debentures or warrants listed on Bursa Securities	22-Jul-22

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No.	Licensed Representative	CMSRL No.	Licensed Intermediary	Type of Additional Offering	Effective Date
64	Wong Xi Wen	eCMSRL/C2096/2022	UOB Kay Hian Wealth Advisors Sdn Bhd	<ul style="list-style-type: none"> • Advising on equities, debentures or warrants listed on Bursa Securities • Advising on unlisted corporate bonds or sukuk 	01-Aug-22
65	Lau Keng Lim	eCMSRL/A9383/2008	UOB Kay Hian Wealth Advisors Sdn Bhd	Advising on unlisted corporate bonds or sukuk	01-Aug-22
66	Chee Kim Ping	eCMSRL/C0933/2021	YES Financial Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	07-Sep-22
67	Teoh Hock Geh	eCMSRL/A3564/2007	UOB Kay Hian Wealth Advisors Sdn Bhd	Advising on unlisted corporate bonds or sukuk	31-Oct-22
68	Chen Shing Yieng	eCMSRL/C2190/2022	UOB Kay Hian Wealth Advisors Sdn Bhd	Advising on unlisted corporate bonds or sukuk	02-Nov-22
69	Pong Siew Jee	eCMSRL/B4385/2014	UOB Kay Hian Wealth Advisors Sdn Bhd	Advising on unlisted corporate bonds or sukuk	31-Jan-23
70	Lee Bo Jian	eCMSRL/C2227/2022	Phillip Wealth Planners Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	16-Dec-22
71	Sabestiano Mike Anak Atet	eCMSRL/C2442/2022	Phillip Wealth Planners Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	16-Dec-22
72	Tan Kai Chun	eCMSRL/C0080/2020	UOB Kay Hian Wealth Advisors Sdn Bhd	Advising on unlisted corporate bonds or sukuk	27-Dec-22
73	Yong Chun Wah	eCMSRL/C0035/2020	UOB Kay Hian Wealth Advisors Sdn Bhd	Advising on unlisted corporate bonds or sukuk	27-Dec-22
74	Yeo Fong Shee	eCMSRL/C2252/2022	Kenanga Investors Berhad	Advising on equities, debentures or warrants listed on Bursa Securities	18-Jan-23
75	Wong Wei Lian	eCMSRL/C2254/2022	Kenanga Investors Berhad	Advising on equities, debentures or warrants listed on Bursa Securities	18-Jan-23
76	Afida Liyana Binti Yahya	eCMSRL/C2195/2022	Phillip Wealth Planners Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	10-Feb-23
77	Tee Sui Sin	eCMSRL/C2521/2022	A.D. Financial Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	03-Feb-23
78	Chee Pei Chi	eCMSRL/B9440/2019	Kenanga Investors Berhad	Advising on equities, debentures or warrants listed on Bursa Securities	07-Feb-23
79	Lee Foong Kuen	eCMSRL/B9331/2019	Kenanga Investors Berhad	Advising on equities, debentures or warrants listed on Bursa Securities	07-Feb-23
80	Wong Wai Kheng	eCMSRL/B9328/2019	Kenanga Investors Berhad	Advising on equities, debentures or warrants listed on Bursa Securities	14-Feb-23
81	Terence Liu Min Wah	eCMSRL/C2599/2023	UOB Kay Hian Wealth Advisors Sdn Bhd	Advising on unlisted corporate bonds or sukuk	25-May-23
82	Muhammad Saiful 'Ariffin Bin Hamid	eCMSRL/C1837/2022	Phillip Wealth Planners Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	10-Jun-23
83	Kee Vern Nee	eCMSRL/C2611/2023	UOB Kay Hian Wealth Advisors Sdn Bhd	Advising on unlisted corporate bonds or sukuk	21-Jun-23
84	Chan Tsun Hong	eCMSRL/B9591/2020	Bill Morrisons Wealth Management Sdn Bhd	Advising on unlisted corporate bonds or sukuk	18-May-23
85	Desmond Lee Guo Zhang	eCMSRL/C1016/2021	Bill Morrisons Wealth Management Sdn Bhd	Advising on unlisted corporate bonds or sukuk	18-May-23

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86	Ahmad Zakwan Bin Abd Rahman	eCMSRL/B9286/2019	Phillip Wealth Planners Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	12-Jul-23
87	Go Chuan Aik	eCMSRL/C2322/2022	iFAST Capital Sdn Bhd	Advising on unlisted corporate bonds or sukuk	25-Jul-23
88	Cheah Yee Nin	eCMSRL/B6690/2016	FA Advisory Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	17-Jul-23
89	Chow Phooi Phooi	eCMSRL/B9550/2019	FA Advisory Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	17-Jul-23
90	Ng Chiah Shen	eCMSRL/B8869/2019	FA Advisory Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	17-Jul-23
91	Khairunnisa binti Mohd Rodzi	eCMSRL/B9977/2020	MULA Advisory Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	15-Jul-23
92	Priscilla Yong Su Vern	eCMSRL/C0833/2021	MULA Advisory Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	15-Jul-23
93	Zeng Zi-Hao	eCMSRL/A8939/2008	FA Advisory Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	24-Jul-23
94	Leong Kar Yan	eCMSRL/C2715/2023	UOB Kay Hian Wealth Advisors Sdn Bhd	Advising on unlisted corporate bonds or sukuk	12-Sep-23
95	Chuah Geok Lan	eCMSRL/B9684/2020	UOB Kay Hian Wealth Advisors Sdn Bhd	Advising on unlisted corporate bonds or sukuk	17-Oct-23
96	Tiong Lih Joe	eCMSRL/C0212/2020	UOB Kay Hian Wealth Advisors Sdn Bhd	Advising on unlisted corporate bonds or sukuk	26-Oct-23
97	Pon Soh Fen	eCMSRL/C1333/2021	UOB Kay Hian Wealth Advisors Sdn Bhd	Advising on unlisted corporate bonds or sukuk	30-Oct-23
98	Lim Hui Wen	eCMSRL/C2335/2022	UOB Kay Hian Wealth Advisors Sdn Bhd	Advising on unlisted corporate bonds or sukuk	24-Nov-23
99	Looi Pei Min	eCMSRL/C0099/2020	CC Advisory Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	28-Nov-23
100	Tan Yi Shin	eCMSRL/C0050/2020	CC Advisory Sdn Bhd	Advising on equities, debentures or warrants listed on Bursa Securities	29-Nov-23
101	Yeoh Suan Ling	eCMSRL/C3164/2023	Bill Morrisons Wealth Management Sdn Bhd	Advising on unlisted corporate bonds or sukuk	04-Dec-23
102	Wong Zhi Xiong	eCMSRL/C3282/2023	Bill Morrisons Wealth Management Sdn Bhd	Advising on unlisted corporate bonds or sukuk	04-Dec-23
103	Tee Huay Siang	eCMSRL/C3402/2024	Kenanga Investors Berhad	Advising on unlisted corporate bonds or sukuk	12-Jan-24
104	Tan Hooi Leng	eCMSRL/B8944/2019	Bill Morrisons Wealth Management Sdn Bhd	Advising on unlisted corporate bonds or sukuk	26-Jan-24
105	Chia Kui Huan	eCMSRL/B8045/2018	Bill Morrisons Wealth Management Sdn Bhd	Advising on unlisted corporate bonds or sukuk	24-Nov-23
106	Eileen Tan Hwee Lin	eCMSRL/B3458/2013	Bill Morrisons Wealth Management Sdn Bhd	Advising on unlisted corporate bonds or sukuk	18-Jan-24
107	Siew Voon Fei	eCMSRL/B2958/2012	Bill Morrisons Wealth Management Sdn Bhd	Advising on unlisted corporate bonds or sukuk	24-Nov-23
108	Luu Geok Chean	eCMSRL/B1114/2010	Bill Morrisons Wealth Management Sdn Bhd	Advising on unlisted corporate bonds or sukuk	25-Nov-23
109	Cheah Pui Mun	eCMSRL/C2877/2023	Bill Morrisons Wealth Management Sdn Bhd	Advising on unlisted corporate bonds or sukuk	30-May-23
110	Nicholas Lau Hao Keat	eCMSRL/C3181/2023	Bill Morrisons Wealth Management Sdn Bhd	Advising on unlisted corporate bonds or sukuk	15-Oct-20