

REGISTRATION OF DIGITAL ASSET CUSTODIAN

Important: All fields are mandatory. If not applicable, indicate "N/A".

SECTION I: TYPE OF APPLICATION

- New Application
- Notification by Registered Recognized Market Operators / Registered Trustees

SECTION II : PROFILE

Corporation Details

Name:			
Previous name <i>(if any)</i> :		Date of change: <i>(dd mmm yyyy)</i>	
Place of incorporation:			
Date of incorporation: <i>(dd mmm yyyy)</i>			
Date of Commencement: <i>(dd mmm yyyy)</i>			
Registration no.:			
Financial year end:			

Corporation Status *(Please select one only)*

- Bumiputera Non-Bumiputera Foreign
- MSC Others *(Please specify)*:

Address and Contact Detail

Registered address:			
Contact no.:	Office:	Fax:	
E-mail:			
Website:			
Business address:			
Contact no.:	Office:	Fax:	
Correspondence address: <i>(to be filled in if it is different from business address)</i>			
Contact no.:	Office:	Fax:	

Important: All fields are mandatory

SECTION III: SHARE CAPITAL

Class of shares	Share capital		
	Authorised (RM)	Issued (RM)	Paid-up (RM)
Ordinary			
Preference			
Others <i>(please specify)</i>			
Total			

Shareholder's funds (RM) /
Capital contribution (RM)
(please cross-out whichever is not applicable)

SECTION V: BOARD OF DIRECTORS / SENIOR MANAGEMENT / RESPONSIBLE PERSON*(Please also fill in Form 2, where applicable)*

Name	Role	Internal Designation / <i>(to specify if chairman)</i>

To add new rows, press **TAB** at the last column of the last row.

SECTION VII: SHAREHOLDERS AND/OR PARTNERS**A. Shareholders and/or Partners****Direct Individual**

Name (as per NRIC)	Ordinary		Preference		Others		Amount of capital contribution (RM)	% of contribution
	No.	%	No.	%	No.	%		

Direct Corporation

Name	Ordinary		Preference		Others			
	No.	%	No.	%	No.	%		

Corporation - if 5% or more of the shares in the applicant are held by one or more corporations, please provide the corporation shareholding structure by filling up and duplicating the table for each corporation

Important: All fields are mandatory

Indirect Individual								
Name (as per NRIC)	Ordinary		Preference		Others		Amount of capital contribution (RM)	% of contribution
	No.	%	No.	%	No.	%		

Indirect Corporation								
Name	Ordinary		Preference		Others			
	No.	%	No.	%	No.	%		

B: Shareholders and/or Partners Details

Direct Individual

Name (as per NRIC):						
Status:	<input type="checkbox"/> Bumiputera	<input type="checkbox"/> Non-Bumiputera	<input type="checkbox"/> Foreign			
Residential address:						
E-mail:						
Contact no.:	Home:		Handphone:			
	Office:		Fax:			
For Malaysian	Old IC no.:		NRIC no.:			
For non-Malaysian	Passport no.:		Expiry date:			
	Country of issue:					

Please provide the details for all direct shareholders by filling up and duplicating the table for each direct shareholder

Direct Corporation

Name:						
Status:	<input type="checkbox"/> Bumiputera	<input type="checkbox"/> Non-Bumiputera	<input type="checkbox"/> Foreign			
	<input type="checkbox"/> MSC	<input type="checkbox"/> Others (please verify)				
Place of incorporation:						
Registration no.:						
Business address:						
E-mail:						
Contact no.	Office:		Fax:			

Please provide the details for all direct shareholders by filling up and duplicating the table for each direct shareholder

Important: All fields are mandatory

Indirect Individual			
Name (as per NRIC):			
Status:	<input type="checkbox"/> Bumiputera	<input type="checkbox"/> Non-Bumiputera	<input type="checkbox"/> Foreign
Residential address:			
E-mail:			
Contact no.:	Home:	Handphone:	
	Office:	Fax:	
For Malaysian	Old IC no.:	NRIC no.:	
For non-Malaysian	Passport no.:	Expiry date:	
	Country of issue:		

Please provide the details for all indirect shareholders by filling up and duplicating the table for each indirect shareholder

Indirect Corporation			
Name:			
Status:	<input type="checkbox"/> Bumiputera	<input type="checkbox"/> Non-Bumiputera	<input type="checkbox"/> Foreign
	<input type="checkbox"/> MSC	<input type="checkbox"/> Others (please verify)	
Place of incorporation:			
Registration no.:			
Business address:			
E-mail:			
Contact no.:	Office:	Fax:	

Please provide the details for all indirect shareholders by filling up and duplicating the table for each indirect shareholder

Important: All fields are mandatory

SECTION VIII: DECLARATION

1) Is the business for which the registration is applied for the sole or principal business proposed to be carried on by the applicant:

Yes No (please provide details)

2) Has the applicant, in Malaysia or elsewhere, applied to be licensed or registered, or be given authority, in any capacity which is required by any law or regulations?

No Yes (please provide details)

▪ Nature of licence, registration or authority	
▪ Country of application	
▪ Date of application and approval (dd mmm yyyy) (if applicable)	
▪ Status of application (approved/withdrawn/rejected) state reasons for withdrawn/rejected	
▪ Were there conditions or restrictions attached to the licence, registration or authority? (if yes, state details of conditions or restrictions)	
▪ At any time after the approval, has the applicant been censured, fined, disciplined, or has the licence, registration or authority been suspended, revoked, denied renewal or subjected to any other form of disciplinary action? (if yes, please provide details)	
▪ Please provide the date of surrender of licence or deregistration (dd mmm yyyy) (if applicable)	
▪ During the period of participation/membership, has the applicant been censured, fined, disciplined, suspended, expelled or has the participation/membership been denied renewal? (if yes, please provide details)	

Please provide the details by filling up and duplicating the table for more than one participation/membership

3) Is the applicant a participant/member of any stock exchange and/or any professional body?

No Yes (please provide details)

▪ Nature of participation/membership	
▪ Country	
▪ Date of participation/membership	
▪ Status of participation/membership (approved/withdrawn/ rejected) state reasons for withdrawn/rejected	
▪ Were there conditions or restrictions attached to the participation/membership? (if yes, state details of conditions or restrictions)	
▪ Has the applicant, at any time been subjected to any form of disciplinary action by the stock exchange and/or professional body of which the applicant is/was a participating organisation/member? (if yes, please provide details)	
▪ Please provide the date of cessation as a participating organisation/member or deregistration (dd mmm yyyy) (if applicable)	

Please provide the details by filling up and duplicating the table for more than one participation/membership

4) Has the applicant, in Malaysia or elsewhere-

Important: All fields are mandatory

<p>a. been convicted of any offence, or are there any proceedings now pending which may lead to a conviction for such an offence involving fraud or other dishonesty?</p> <p><input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please provide details)</i></p>
<p>b. had judgment involving findings of fraud or other dishonesty, or violence, misrepresentation, breach of contract, breach of fiduciary duty or professional negligence given against it in any civil proceedings, or are there any proceedings now pending that may lead to such a judgment or finding?</p> <p><input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please provide details)</i></p>
<p>c. contravened any written law for protecting members of the public against financial loss due to dishonesty, incompetence or malpractice by persons concerned in the provision of financial services or the management of companies or against financial loss due to the conduct of discharged or undischarged bankrupts?</p> <p><input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please provide details)</i></p>
<p>d. had a receiver and manager appointed in respect of any of the assets of the applicant?</p> <p><input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please provide details)</i></p>
<p>e. entered into a compromise or arrangement with creditors or members?</p> <p><input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please provide details)</i></p>
<p>f. had a petition presented in a court for its winding up?</p> <p><input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please provide details)</i></p>
<p>g. been subjected to any form of disciplinary proceedings or actions by any professional or regulatory body?</p> <p><input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please provide details)</i></p>

SECTION IX: OTHER INFORMATION

Is there any other information relevant to this application?

No Yes *(please provide details)*

Important: All fields are mandatory

SECTION X: SUPPORTING DOCUMENT

No.	Description	Submission		
		Yes	N/A	Appendix
1.	A copy of the Memorandum and Articles of Association, Limited Liability Partnership Agreement, or other constituent document (whichever applicable).	<input type="checkbox"/>		
2.	A comprehensive and detailed proposal, which should generally include, but not limited to, the following: i. Corporate profile; ii. Board composition, management and organisation structure; iii. Profile of individuals, comprising directors, senior management and proposed responsible person; iv. Business plan; v. Financial projection (a profit and loss forecast), with basis for each assumption; and vi. Demonstrate compliance to all applicable requirements of the Guidelines on Digital Assets.	<input type="checkbox"/>		
3.	Certificate of incorporation under section 17 of the <i>Companies Act 2016</i> .	<input type="checkbox"/>		
4.	Form Annual Return lodged under section 68 of the <i>Companies Act 2016</i> . If a corporation is newly incorporated and has not lodged its Annual Return, to submit the form under section 14 of the <i>Companies Act 2016</i> .	<input type="checkbox"/>		
5.	Notice of registration of new name form under section 28 or instrument transfer form under section 105 of the <i>Companies Act 2016</i> . (whichever is applicable)	<input type="checkbox"/>	<input type="checkbox"/>	
6.	Form 2 or 4 – <i>Limited Liability Partnership Act 2012</i> (whichever applicable)	<input type="checkbox"/>	<input type="checkbox"/>	
7.	A copy of the corporation's latest audited financial statements and a copy of the company's unaudited management accounts, if the audited financial statements are more than 6 months from the date of application.	<input type="checkbox"/>		

Important: All fields are mandatory

SECTION XII: TRUE AND CORRECT INFORMATION

- We are aware that any representation to the SC by whatever means or in any form that includes false or misleading statement can result in the SC taking action under section 369 of the *Capital Markets and Services Act 2007*, or other provisions under the securities laws. In addition, it can adversely affect our application and fit and proper standing to participate in the capital market industry.

Section 369 is in relation to the submission of any false and misleading statement to the SC. Examples of information submitted to the SC include, but not limited to, notification and reporting to the SC, provision of supplementary information or clarification regarding a submission, and any other information the SC may request. Contravention of section 369 may result in imprisonment for a term not exceeding ten years and shall also be liable to a fine not exceeding three million ringgit upon conviction.

- We hereby declare that all information provided in this submission including its supporting materials are true and correct, and the original signed version of this submission reflecting the same information provided is being kept at the business address.

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Signature (Director/Partner/Authorised Signatory)	Signature (Director/Partner/Authorised Signatory)
Name: Designation:	Name: Designation:
Date (dd mmm yyyy):	Date (dd mmm yyyy):

Note: Personal data provided by you in this form is required for purposes relating to the licensing, registration, reporting or approving requirements under the Capital Markets and Services Act 2007 (CMSA). Failure to provide personal data required in this form will necessarily impair the issuance or continued grant of any license or approval under the CMSA. Any personal data provided may also be disclosed to other regulatory authorities, for purposes of regulatory oversight and compliance with the law. You are entitled, from time to time, to provide updated information relating to any personal data provided by contacting the Authorisation and Licensing Department.

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