



### Form 20: Notice of Cessation of Capital Markets Services Representative's Licence

#### PROFILE

Personal Details	
Salutation	
Full Name (as per NRIC / passport)	
Surname / Last Name	
Given Name	
National ID	
NRIC No.	
Licence Details	
Licence No.	
Licence Anniversary Date	
Name of Principal	
Regulated Activity	
Regulated Activity	

#### ADDITIONAL INFORMATION

Additional Information	
1. Were there any internal or external adverse records or findings on your fit and proper standing, such as misconduct, investigation, disciplinary actions and bankruptcy, during your tenure with the company?	
2. Is there any other information relevant to this submission?	
3. Other relevant accompanying or supporting document(s).	

#### REGISTER OF SECURITIES

Register of Securities	
Do you have a Register of Securities?	
Place where register of securities was kept prior to cessation	
Country / Jurisdiction	
Postcode	
State	
City	
Address 1	
Address 2	
Office No.	
Fax No.	
Email	

**SUPPORTING DOCUMENT**

No.	Description
1	A copy of the representative's resignation / termination letter or relevant document (the physical licence, if applicable, shall be returned to the SC upon cessation)
2	Other relevant documents

**DECLARATION**

<b>True and Correct Information</b>	
<input type="checkbox"/> We are aware that any representation to the Securities Commission Malaysia ("SC") by whatever means or in any form that includes false or misleading statement can result in the SC taking action under section 71 or 369 of the Capital Markets and Services Act 2007, or other provisions under the securities laws. In addition, it can adversely affect our (i) application or submission; and (ii) fit and proper standing to participate in the capital market industry.	
<p><i>Section 71 is in relation to the submission of false or misleading statement with respect to an application for the granting or variation of licence. Contravention of section 71 may result in imprisonment for a term not exceeding five years and shall also be liable to a fine not exceeding one million ringgit upon conviction.</i></p> <p><i>Section 369 is in relation to the submission of any false and misleading statement to the SC. Examples of information submitted to the SC include, but not limited to, notification and reporting to the SC, provision of supplementary information or clarification regarding a submission, and any other information the SC may request. Contravention of section 369 may result in imprisonment for a term not exceeding 10 years and shall also be liable to a fine not exceeding three million ringgit upon conviction.</i></p>	
<input type="checkbox"/> We hereby declare that all information provided in this submission, including its supporting materials, is true and correct, and the original signed version of this submission reflecting the same information provided is being kept at the named entity's business address or the principal address or a designated place approved by the SC at all times.	
Signature (Named Individual)	Signature (Licensed Director / Director / Chief Executive / Authorised Signatory*)
Full Name :	Full Name :
Designation :	Designation :
Date :	Date :
*approved by the board of directors	

*Notes: Personal data provided by you in this form is required for purposes relating to the licensing, reporting or approving requirements under the Capital Markets and Services Act 2007 ("CMSA"). Failure to provide personal data required in this form will necessarily impair the issuance or continued grant of any licence or approval under the CMSA. Any personal data provided may also be disclosed to other supervisory or regulatory authorities for purposes of supervisory or regulatory oversight and compliance with the law. You are entitled, from time to time, to provide updated information relating to any personal data provided by contacting the SC's Authorisation and Licensing Department.*