



Suruhanjaya Sekuriti
Securities Commission
Malaysia

THE WF STATISTICAL AND INVESTMENT RETURNS
REPORTING MANUAL



DOCUMENT CONTROL

Version	Date	Author	Comments
1.0	31/03/2017	SC	
3.0.1	28/06/2021	SC	Updated as per tool release v3.0.1
3.0.2	24/12/2021	SC	Updated as per tool release v3.0.2
3.0.2	14/03/2022	SC	Changes to Appendix F
3.0.3	27/06/2022	SC	Updated as per tool release v3.0.3
3.0.4	15/09/2023	SC	Updated for reporting under "Other Investment"
3.0.5	1/10/2024	SC	Updated the definition for "IUTA" under "Fund Movement" tab



TABLE OF CONTENTS

PART A: GENERAL	4
1. INTRODUCTION	4
PART B: REPORTING INFORMATION	5
2. GENERAL INFORMATION	5
3. REPORTING SUMMARY	6
FM01.M.WF	7
FM02.M.WF	24
FM04.M.WF	38
APPENDIX	47
APPENDIX A: CURRENCY ISO AND COUNTRY NAME	48
APPENDIX B: FINANCIAL ITEM	53
APPENDIX C: INVESTMENT TYPE	54
APPENDIX D: LIST OF EXCHANGES	57
APPENDIX E: OTHERS	59
APPENDIX F: ALL INVESTMENT VALUE	62



PART A: GENERAL

1. INTRODUCTION

- 1.1 This reporting manual serves as internal guidance to the preparation of Wholesale Statistical Returns, Wholesale Investment Returns and Whole Statistical Returns – Foreign (collectively referred to as “Returns”).
- 1.2 All reports submitted to SC will be in the form of XBRL instance documents via the SC Common Reporting Platform (ComRep) Submission System.
- 1.3 Fund Management Company (“FMC”) must not modify the reporting form in any way either by adding or removing rows, columns or worksheets; or changing the sequence of the worksheets; or changing the format, formulae and colors of the cells.
- 1.4 The requirements of the Capital Markets and Services Act 2007 (CMSA), the SC’s guidelines and relevant laws shall prevail in the event of any conflict of inconsistency between the Reporting Manual and the requirements of the CMSA, the SC’s guidelines and relevant laws



PART B: REPORTING INFORMATION

2. GENERAL INFORMATION

- 2.1 FMC shall report
- i) WF Statistical Returns - all funds managed by the company in one single report;
 - ii) WF Investment Returns - all funds managed by the company in reports grouped by respective Trustee/Custodian; and
 - iii) WF Statistical Returns – Foreign - all funds managed by the company in one single report.
- 2.2 Returns does not need to be sent to trustee for verification.
- 2.3 All amounts are to be reported in absolute value of Ringgit Malaysia (RM). Amounts other than RM must be translated into its RM equivalents based on the prevailing exchange rates as at the reporting date.
- 2.4 All company registration numbers should be reported without dash.
e.g.12345678X
- 2.5 IC Number column should be filled without any dash.
e.g. 800101011234
- 2.6 All field related to 'Currency' must apply the ISO code provided in [Appendix A.1](#) for each single fund, if applicable.
- 2.7 All field related to 'Country' must apply the Country Name provided in [Appendix A.2](#) for each single fund, if applicable.
- 2.8 If there is no information to be completed in any sections/tables, please leave it blank. **Do not fill in N/A or “–”.**



3. REPORTING SUMMARY

3.1 Each report is defined by the following codes;

Code	Definition
FM01.M.WF	WF Statistical Report
FM02.M.WF	WF Investment Report
FM04.M.WF	WF Statistical Report - Foreign

3.2 Summary table below is a guide for FMC to fill the required tables of each report.

Report Name		Monthly Statistical Return	Monthly Investment Return	Monthly Statistical Return - Foreign
Report Number		1	2	3
Tab ID	Tab Description	FM01.M.WF	FM02.M.WF	FM04.M.WF
000	Scoping Questions	000	000	000
010	Declaration	010.02	010.04	010.07
015	General Information	015.02		015.07
020	Fund Overview	020.02		020.07
030	Fund Managed by External FMC	030.02		
040	Number of Account	040.02		040.07
050	Unit In Circulation	050.02		050.07
060	Fund Movement	060.02		060.07
070	Fund Contribution through EPF	070.02		
080	Fund Distribution	080.02		
100	Financial	100.02		100.07
110	Financial - Others	110.02		110.07
120	Financial - Borrowings	120.02		
130	Trustee Information		130.04	
140	Total Investment		140.04	
150	Investment - Deposits		150.04	
160	Investment - Equities		160.04	
170	Investment - Fixed Income Securities		170.04	
180	Investment - Derivatives		180.04	
190	Investment - Collective Investment Scheme		190.04	
200	Investment - Structured Products		200.04	
210	Investment - Others		210.04	
240	Investment			240.07
260	Profile of Unit Holder	260.02		



3.3 FM01.M.WF comprises of the following tables.

010.02 - [01000] Declaration

Item	Definition
Reporting Period (dd/mm/yyyy)	The last calendar day of the reporting month. e.g. 31/03/2017
Fund Management Company Name	The company name of FMC as per registered with SSM
Fund Management Company Registration Number	The company registration number of FMC as per registered with SSM
Total Number Of Accounts	This item must be reported equivalent to the total number of accounts as reported in 020.02 – [02000] Fund Overview
Total Units In Circulation	This item must be reported equivalent to the total unit in circulation of as reported in 020.02 – [02000] Fund Overview
Total Subscription Volume (unit)	This item must be reported equivalent to the total subscription volume for all distribution channels as reported in 060.02 – [06000] Fund Movement
Total Redemption Volume (unit)	This item must be equivalent to the total redemption volume for all distribution channels as reported in 060.02 – [06000] Fund Movement
Total Manager Box (unit)	This item must be reported equivalent to the total unit of the following items as reported in 050.02 – [05000] Unit in Circulation <ul style="list-style-type: none"> i) Manager's Box – EPF; ii) Manager's Box - Matched Sales; and iii) Manager's Box - Units held by manager
Total Net Asset Value (RM)	This item must be equivalent to the total net asset value as reported in 020.02 – [02000] Fund Overview
Signatory of Compliance Officer	This section must be filled with Compliance Officer contact information
Signatory of CEO or person authorised by the CEO	This section must be filled with information of CEO or person authorised by the CEO



015.02 - [01050] General Information

- a) This sheet is required to be filled as and when audited financial account is issued e.g. if the audited financial account of the fund is issued in 30/06/2017, this sheet must be filled in the reporting month of June.

Item	Definition
Fund Code	Please provide the fund code as provided
Auditor Name	Please provide the appointed auditor's name of each fund
Audit Firm No.	Please provide the audit firm number (AF Number) for each auditor
Signing Partner of Last Audited Account	The signing partner name of the last audited account
Audit Opinion of Last Audited Account	The overall audit opinion on the last audited account - refer Appendix E.6
Financial Year End (dd/mm/yyyy)	The financial year end date of the fund e.g. 31/12/2017



1000.02 - [01100] Fund Information

Item	Definition
Fund Code	Please provide the fund code as provided
Closed/Open Ended Fund <dropdown>	Please choose either 'Closed-ended' or 'Open-ended' option
Fund Principle <dropdown>	Please choose either 'Conventional' or 'Shariah' option
Fund Structure <dropdown>	Please choose either 'Trustee', 'Custodian' or 'Others – For foreign WF only'
Fund Structure – Others (please specify)	Please provide the description of other fund structure only if dropdown 'Others – For foreign WF only' is chosen. Otherwise, please leave it blank.
Start Date of Closed Ended Period (dd/mm/yyyy)	Please provide start date of closed ended period
Maturity Date (dd/mm/yyyy)	Please provide maturity date of fund



020.02 - [02000] Fund Overview

Item	Definition
Fund Code	Please provide the fund code as provided
Fund Class	Please provide the class name, if applicable e.g. Case 1: ABC-Fund B-USD, please fill in 'B' in this section Case 2: ABC-Fund USD, please leave blank in this section. USD should be reflected in the Currency Class section
Currency Class <dropdown>	Please choose the currency of the class based on the ISO code provided - refer Appendix A.1
Country of Offer <dropdown>	Please choose the country where the Fund Class and Currency Class is being, or intend to be, offered. For example, if ABC-Fund B-USD can be offered in Malaysia and in Singapore, then the UTMC must submit an entry for Malaysia and another entry from Singapore (regardless if the offering in Singapore is exclusively permitted under the restricted schemes regime in Singapore). For the list of Country name – refer Appendix A.2
Units In Circulation	Refers to units created and fully paid. The total of this item must be reported equivalent to the total unit in circulation for all distribution channels as reported in 050.02 – [05000] Unit in Circulation
Net Asset Value (RM)	Please provide the total value of all the assets less the value of all the liabilities for the fund or each of the class, where applicable, as at last calendar day of the reporting month



030.02 - [03000] Fund Managed by External Fund Management Company (FMC)

Item	Definition
Fund Code	Please provide the fund code as provided
Designated FMC Registration Number	The designated FMC company registration number as per registered with SSM or authorized body for company registration in respective country, if any
FMC Name	The Fund Management Company name as per registered with SSM or authorized body for company registration in respective country
Country of Incorporation <dropdown>	Please choose the country where the external fund management company is incorporated at based on the Country name provided – refer Appendix A.2
Date of Appointment (dd/mm/yyyy)	Please provide the appointment date of the FMC as external FMC of the fund
Portfolio - Net Asset Value (RM)	Please provide the net asset value of the portfolio managed by the FMC as at last calendar day of the reporting month



040.02 - [04000] Number of Account

Item	Definition
Fund Code	Please provide the fund code as provided
Fund Class	Please provide the class name, if applicable e.g. Case 1: ABC-Fund B-USD, please fill in 'B' in this section Case 2: ABC-Fund USD, please leave blank in this section. USD should be reflected in the Currency Class section
Country of Offer <dropdown>	Please choose the country where the fund is being or intending to be offered at based on the Country name provided – refer Appendix A.2
Individual HNWI	Refers to retail investor which is classified as High Net Worth Individual
Institution HNWE	Refers to institutional funds and corporate investors that are classified as High Net Worth Entity
Individual AI	Refers to individuals that are classified as Accredited Investor
Institution AI	Refers to institutional funds and corporate investors that are classified as Accredited Investor
Individual Consideration ≥RM250,000	Refers to individuals who acquire the unlisted capital market product where the consideration is not less than RM250,000 or its equivalent in foreign currencies for each transaction whether such amount is paid for in cash or otherwise
Institution Consideration ≥RM250,000	Refers to institutional funds and corporate investors who acquire the unlisted capital market product where the consideration is not less than RM250,000 or its equivalent in foreign currencies for each transaction whether such amount is paid for in cash or otherwise
Direct - Related-parties	Refers to number of account that is distributed by FMC's related parties
Direct - Non-Related-parties	Refers to number of account that is distributed by FMC's non-related-parties
IUTA – Bank; IUTA – UTMC; IUTA - Stock Broking; Company; and IUTA – Others	Refers to number of account that is distributed by IUTA members
CUTA	Refers to number of account that is distributed by CUTA



Others	Refers to number of account that is distributed through other channels besides above mentioned
Manager's Box	Refers to number of account belonging to management company or its related corporation for a fund that it manages. Please fill in "1" for funds with manager's box. Otherwise, please leave this blank.



050.02 - [05000] Units in Circulation

Item	Definition
Fund Code	Please provide the fund code as provided
Fund Class	Please provide the class name, if applicable e.g. Case 1: ABC-Fund B-USD, please fill in 'B' in this section Case 2: ABC-Fund USD, please leave blank in this section. USD should be reflected in the Currency Class section
Currency Class <dropdown>	Please choose the currency of the class based on the ISO code provided – refer Appendix A.1
Country of Offer <dropdown>	Please choose the country where the Fund Class and Currency Class is being, or intend to be, offered. For example, if ABC-Fund B-USD can be offered in Malaysia and in Singapore, then the UTMC must submit an entry for Malaysia and another entry from Singapore (regardless if the offering in Singapore is exclusively permitted under the restricted schemes regime in Singapore). For the list of Country name – refer Appendix A.2
Individual HNWI	Refers to retail investor which is classified as High Net Worth Individual
Institution HNWE	Refers to institutional funds and corporate investors that are classified as High Net Worth Entity
Individual AI	Refers to individuals that are classified as Accredited Investor
Institution AI	Refers to institutional funds and corporate investors that are classified as Accredited Investor
Individual Consideration ≥RM250,000	Refers to individuals who acquire the unlisted capital market product where the consideration is not less than RM250,000 or its equivalent in foreign currencies for each transaction whether such amount is paid for in cash or otherwise
Institution Consideration ≥RM250,000	Refers to institutional funds and corporate investors who acquire the unlisted capital market product where the consideration is not less than RM250,000 or its equivalent in foreign currencies for each transaction whether such amount is paid for in cash or otherwise
Direct - Related-parties	Refers to number of account that is distributed by FMC's related parties



Direct - Non-Related-parties	Refers to number of account that is distributed by FMC's non-related-parties
IUTA – Bank; IUTA – UTMC; IUTA - Stock Broking; Company; and IUTA – Others	Refers to units in circulation that is distributed by IUTA members
CUTA	Refers to units in circulation that is distributed by CUTA
Others	Refers to units in circulation that is distributed by other channels besides above mentioned
Manager's Box - EPF	Please provide number of units in the fund when complying with repurchase requests and/or in creating new units to meet anticipated requests for units by EPF
Manager's Box - Matched Sales	Please provide number of units created to meet confirmed requests for units
Manager's Box - Units held by manager	Please provide number of units in the fund when complying with repurchase requests and/or in creating new units to meet anticipated requests for units by investor held by manager



060.02 - [06000] Fund Movement

Item	Definition
Fund Code	Please provide the fund code as provided
Fund Class	Please provide the class name, if applicable e.g. Case 1: ABC-Fund B-USD, please fill in 'B' in this section Case 2: ABC-Fund USD, please leave blank in this section. USD should be reflected in the Currency Class section
Currency Class <dropdown>	Please choose the currency of the class based on the ISO code provided - refer Appendix A.1
Country of Offer <dropdown>	Please choose the country where the fund is offered at based on the Country name provided – refer Appendix A.2
Method of Registration	Please choose the method of registration whether the sales or repurchases transaction is registered through 'Online' or 'Offline' channel e.g. Online – through tablet/pc/machines Offline – through physical forms
Type of Investor <dropdown>	Please choose the relevant type of investor – refer Appendix E.4
Subscription	Refers to the subscription of the fund
Redemption	Refers to the redemption of the fund
Value (RM)	Refers to the total value of transactions denominated in Ringgit Malaysia (RM) for the reporting month
Volume (unit)	Refers to the total unit transacted for the reporting month
Direct	Refers to sales and repurchases transactions that are conducted by employee of the fund management company.
UTC	Refers to sales and repurchases transactions that are conducted through Unit trust consultant who is duly registered with the FIMM to market and distribute unit trust scheme
IUTA – Bank; IUTA – UTMC; IUTA - Stock Broking; Company; and IUTA – Others	Refers to subscription and redemption transaction that is conducted through IUTA members. Where the units of a fund may be transacted on the IUTA's online platform, the sales and repurchases transaction data must be differentiated by the Method of Registration i.e. the amount by which the end-investors subscribed, or redeemed, the units of the fund via the IUTA's online platform must be reported separately from transactions conducted offline.
CUTA	Refers to subscription and redemption transaction that is conducted through IUTA members
Others	Refers to subscription and redemption transaction that is conducted through other channels besides above mentioned



065.02 - [06100] Fund Movement by Transaction type (Excluding Employee Provident Fund (EPF) contribution)

Item	Definition
Fund Code	Please provide the fund code as provided
Fund Class	Please provide the specific class assigned to the fund, if applicable e.g. Case 1: ABC-Fund B-USD, please fill in 'B' in this section Case 2: ABC-Fund USD, please leave blank in this section. USD should be reflected in the Currency Class section
Currency Class <dropdown>	Please choose the currency class of the fund based on the ISO code provided - refer Appendix A.1
Country of Offer <dropdown>	Please choose the country where the fund is offered at based on the Country name provided – refer Appendix A.2
New Investment	Refers to investment from a new/existing investor who injected fresh money into the fund
Reinvestment	Refers to reinvestment from income distribution of existing investor of the fund
Switching In	Refers to switch of funds by investor from Fund A into Fund B within the same FMC. This item is reported by Fund B as the receiving end.
Switching Out	Refers to switch of funds by investor from Fund A into Fund B within the same FMC. This item is reported by Fund A as the disposing end
Cash	Refers to transaction transacted in cash term
Subscription	Refers to sales transactions
Redemption	Refers to repurchases transactions
Value (RM)	Refers to the total value of transactions denominated in Ringgit Malaysia (RM) for the reporting month
Volume (unit)	Refers to the total unit transacted for the reporting month



070.02 - [07000] Fund Contribution through Employee Provident Fund (EPF)

Item	Definition
Fund Code	Please provide the fund code as provided
Fund Class	Please provide the class name, if applicable e.g. Case 1: ABC-Fund B-USD, please fill in 'B' in this section Case 2: ABC-Fund USD, please leave blank in this section. USD should be reflected in the Currency Class section
Currency Class <dropdown>	Please choose the currency class of the fund based on the ISO code provided - refer Appendix A.1
Country of Offer <dropdown>	Please choose the country where the fund is offered at based on the Country name provided – refer Appendix A.2
New Investment	Refers to investment from a new/existing investor who injected fresh money into the fund
Reinvestment	Refers to reinvestment from income distribution of existing investor of the fund
Switching In	Refers to switch of funds by investor from Fund A into Fund B within the same FMC. This item is reported by Fund B as the receiving end.
Switching Out	Refers to switch of funds by investor from Fund A into Fund B within the same FMC. This item is reported by Fund A as the disposing end
Cash	Refers to transaction transacted in cash term
Subscription	Refers to sales transactions
Redemption	Refers to repurchases transactions
Value (RM)	Refers to the total value of transactions denominated in Ringgit Malaysia (RM) for the reporting month
Volume (unit)	Refers to the total unit transacted for the reporting month
Net Asset Value (RM)	Please provide the net asset value of the fund contributed by EPF as at last calendar day of the reporting month



080.02 - [08000] Distribution

- a) This sheet is required to be filled in the month of EX-Date e.g. If EX-Date of distribution is 30/06/2017, then this sheet is required to be filled in the month of June.

Item	Definition
Fund Code	Please provide the fund code as provided
Fund Class	Please provide the class name, if applicable e.g. Case 1: ABC-Fund B-USD, please fill in 'B' in this section Case 2: ABC-Fund USD, please leave blank in this section. USD should be reflected in the Currency Class section
Currency Class <dropdown>	Please choose the currency of the class based on the ISO code provided - refer Appendix A.1
Country of Offer <dropdown>	Please choose the country where the Fund Class and Currency Class is being, or intend to be, offered. For example, if ABC-Fund B-USD can be offered in Malaysia and in Singapore, then the UTMC must submit an entry for Malaysia and another entry from Singapore (regardless if the offering in Singapore is exclusively permitted under the restricted schemes regime in Singapore). For the list of Country name – refer Appendix A.2
EX-Date (dd/mm/yyyy)	Please provide the ex-date of distribution
Distribution per Unit - Gross (RM)	Please provide the distribution per unit value before payment of tax and other deductibles
Distribution per Unit - Net (RM)	Please provide the distribution per unit value after payment of tax and other deductibles
Total Distribution - Cash (RM)	Please provide the total cash value that is distributed to unit holders
Total Distribution - Reinvestment (RM)	Please provide the total distribution value that is reinvested back to the fund



100.02 - [09000] Financial

a) In this section, please ensure that all assets and liabilities value is reported in positive value unless there is any of the investment that may be in negative position such as derivatives.

b) In the end, the value reported shall satisfy the following equation,

$$\text{Assets} - \text{Liabilities} = \text{Net Asset Value of the fund}$$

Item	Definition
Fund Code	Please provide the fund code as provided
Item <dropdown>	Please choose the related financial items – refer Appendix B
Country <dropdown>	Please choose the country where the financial item was transacted – refer Appendix A.2
Value (RM)	Please provide the market value of financial item denominated in Ringgit Malaysia (RM) as at last calendar day of reporting month



120.02 - [09100] Financial – Borrowings

- a) This section is only applicable if there are any borrowings for the fund. If not applicable to the fund, please leave this section blank.

Item	Definition
Fund Code	Please provide the fund code as provided
Lender Name	Please provide lender's name as per registered with SSM or authorized body for company registration in respective country
Lender Registration Number	Please provide lender's company registration number as per registered with SSM or authorized body for company registration in respective country, if any
Purpose of Borrowing <dropdown>	Please choose either 'Investment', 'Redemption' or 'Other purposes'
Description of other purposes	Please provide description of other purposes if "Other purposes" is selected for "Purpose of Borrowing" above
Value (RM)	Please provide the market value of borrowings denominated in Ringgit Malaysia (RM) as at reporting date

**110.02 - [09200] Financial - Other Receivables/Liabilities**

Item	Definition
Fund Code	Please provide the fund code as provided
Description of other receivables / liabilities	Please provide description of other receivables / liabilities if it is selected in 100.02 - [09000] Financial
Other Receivables - Value (RM)	The value of this item must be reported equivalent to the value of 'Other receivables' in 100.02 - [09000] Financial
Other Liabilities - Value (RM)	The value of this item must be reported equivalent to the value of 'Other liabilities' in 100.02 - [09000] Financial



260.02 - [10000] Profile of Unit Holder (Annually) - Calendar Year End 31 December

- a) Fund Management Company is required to report profile of unit holder only at calendar year end 31 December on annual basis.

Item	Definition
Fund Code	Please provide the fund code as provided
Group of Profile	Please choose the investor group of profile– refer Appendix E.2
Country <dropdown>	The country where the investor resides in. Please select the country based on the Country name provided – refer Appendix A.2
Individual	Refers to retail investor
Institution	Refers to institutional funds and corporate investors
Manager Box	Refers to unit in the fund when complying with repurchase requests and/or in creating new units to meet anticipated requests for units by investor
Related Party	Refer to unitholders that are related to FMC
Non Related Party	Refers to unitholders that are non-related to FMC
Value (RM)	Please provide the total value of investments for each profile group as at reporting date, i.e. 31 December
Number of unit held	The total number of unit held by each profile group as at reporting date, i.e. 31 December
Number of accounts	The total number of accounts of each profile group as at reporting date, i.e. 31 December



3.4 **FM02.M.WF** comprises of the following table.

010.04 - [01000] Declaration

Item	Definition
Reporting Period (dd/mm/yyyy)	The last calendar day of the reporting month. e.g. 31/03/2017
Fund Management Company Name	The company name of FMC as per registered with SSM
Fund Management Company Registration Number	The company registration number of FMC as per registered with SSM
Total Investment (RM)	This item must be equivalent to the total investment of all funds as reported in 140.04 – [02000] Total Investment
Signatory of Compliance Officer	This item must be filled with Compliance Officer contact information
Signatory of CEO or person authorised by the CEO	This section must be filled with information of CEO or person authorised by the CEO

**130.04 - [01100] Trustee Information**

Item	Definition
Fund Code	Please provide the fund code as provided
Trustee/Custodian <dropdown>	Please choose either `Trustee` or `Custodian` from the dropdown option provided.
Trustee/Custodian Name	The trustee/custodian name as per registered with SSM
Trustee/Custodian Registration Number	The trustee/custodian registration number as per registered with SSM
Contact Person	The designated contact person name as per IC/Passport
Designation	The designation of the contact person
IC/Passport Number	The IC/Passport number of the contact person
Contact Number	The contact number of the contact person
Email	The email of the contact person



140.04 - [02000] Total Investment

Item	Definition
Fund Code	Please provide the fund code as provided
Placement of Deposits	This item must be reported equivalent to the total investment value of all funds as reported in 150.04 – [02100] Investment – Deposit
Equities	This item must be reported equivalent to the total investment value of all funds as reported in 160.04 – [02200] Investment – Equities
Debentures/Money Market Instruments/Government Securities	This item must be reported equivalent to the total investment value for fixed income of all funds as reported in 170.04 – [02300] Debentures/Money Market Instruments/Government Securities
Derivatives	This item must be reported equivalent to the total investment value of all funds as reported in 180.04 – [02400] Investment - Derivatives
Collective Investment Scheme	This item must be reported equivalent to the total investment value of all funds as reported in 190.04 – [02500] Investment - Collective Investment Schemes
Structured Products	This item must be reported equivalent to the total investment value of all funds as reported in 200.04 – [02600] Investment - Structured Product
Other Investment	This item must be reported equivalent to the total investment value of all funds as reported in 210.04 – [02900] Other Investment



150.04 - [02100] Investment – Deposit

- a) FMC is required to provide **all investment** in deposit.

Item	Definition
Fund Code	Please provide the fund code as provided
Name of Financial Institution	The company name of financial institution as per registered with SSM or any other authorized body in Malaysia, or authorized body for company registration in respective country
Financial Institution Company Registration Number	The company registration number of financial institution as per registered with SSM or any other authorized body in Malaysia, or authorized body for company registration in respective country, if any
Financial Institution Group	The ultimate holding company of financial institution. If the financial institution is the financial institution group itself, you may repeat it as financial institution group e.g. XYZ Group Bhd
Financial Institution Group Company Registration Number	The company registration number of financial institution group as per registered with SSM or any other authorized body in Malaysia, or authorized body for company registration in respective country, if any
Is issuer a related party to the reporting entity?	Please choose either 'Yes' or 'No'
Investment Type <dropdown>	Please choose the investment type in deposits related to each fund– refer Appendix C.1
Other Investment Type (please specify)	Please provide the description of other investment type only if dropdown `Deposits - Others` is chosen. Otherwise, please leave it blank.
Country of Placement <dropdown>	Please choose country of placement based on the Country name provided – refer Appendix A.2
Currency of Placement <dropdown>	Please choose the currency of placement based on the ISO code provided - refer Appendix A.1
Investment Value -Remaining Maturity Period of <365 Days (RM)	Please provide the investment value of deposit maturing (legal maturity date) in less than 365 days
Investment Value -Remaining Maturity Period of 365-732 Days (RM)	Please provide the investment value of deposit maturing (legal maturity date) in between 365 days to 732 days



Investment Value -Remaining Maturity Period of >732 Days (RM)	Please provide the investment value of deposit maturing (legal maturity date) in more than 732 days
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160.04 - [02200] Investment – Equities

- a) FMC is required to provide information for investments in both **listed and unlisted** equities.
- b) Please refer [Appendix F](#) for detailed example.

Item	Definition
Fund Code	Please provide the fund code as provided
Name of Issuer	The company name of issuer as per registered with SSM or any other authorized body in Malaysia, or authorized body for company registration in respective country e.g. XYZ Capital Sdn Bhd.
Issuer Company Registration Number	The company registration number of issuer as per registered with SSM or any other authorized body in Malaysia, or authorized body for company registration in respective country, if any
Issuer Group	The ultimate holding company of the issuer. If the issuer is the group itself, you may repeat the issuer name as issuer group e.g. XYZ Group Bhd.
Issuer Group Company Registration Number	The company registration number of issuer group as per registered with SSM or any other authorized body in Malaysia, or authorized body for company registration in respective country, if any
Stock Name	The stock name as per listed in the exchange
Stock ISIN Code	Please provide the stock ISIN Code. If it is unavailable, please key in 12 "0" in the field.
Sector of the Issuer <dropdown>	Please choose the sector of the issuer as per Appendix E.7
Sector of the Issuer - Others (please specify)	Please provide the description of other sector of the issuer only if dropdown 'Others' is chosen for 'Sector of the Issuer'. Otherwise, please leave it blank.
Is issuer a related party to the reporting entity?	Please choose either 'Yes' or 'No'
Investment Class <dropdown>	Please choose the investment class of the equity which are either 'Listed' or 'Unlisted' from the dropdown option
Investment Type <dropdown>	Please choose the investment type of equities related – refer Appendix C.2
Other Investment Type (please specify)	Please provide the description of other investment type if dropdown 'Equities - Others' and/or 'Hybrid – Others' is chosen for 'Investment Type'



Listed Shares - Exchange	Please provide the exchange name, as per Appendix D
Listed Shares – Other Exchange (please specify)	Please provide the description of other listed share – exchange only if dropdown ‘Others’ is chosen. Otherwise, please leave it blank.
Country of Issuance <dropdown>	Please choose the country of issuance based on the Country name provided – Appendix A.2
Currency <dropdown>	Please choose the currency of the equities in which it resides in based on the ISO code provided as per Appendix A.1
Securities in Issue (unit)	Please provide the total units of securities in issue
Securities held by fund (unit)	Please provide the total units of securities held by fund
Investment value (RM)	Please provide the total investment value of the equities



170.04 - [02300] Debentures/Government Securities/Money Market

Instruments

- a) FMC is required to provide full investment information on Debentures, Money Market and Government Securities;
- b) Please refer [Appendix F](#) for detailed example.

Item	Definition
Fund Code	Please provide the fund code as provided
Name of Issuer	The company name of issuer as per registered with SSM or any other authorized body in Malaysia, or authorized body for company registration in respective country e.g. XYZ Capital Sdn Bhd
Issuer Company Registration Number	The company registration number of issuer as per registered with SSM or any other authorized body in Malaysia, or authorized body for company registration in respective country, if any
Issuer Group	The ultimate holding company of the issuer. If the issuer is the group itself, you may repeat the issuer name as issuer group e.g. XYZ Group Bhd
Issuer Group Company Registration Number	The company registration number of issuer group as per registered with SSM or authorized body for company registration in respective country, if any
Product ISIN Code	The 12-character product ISIN where one exists, at trading and settlement, if applicable e.g. MYL1234O1234, If it is unavailable, please key in 12 "0" in the field.
Sector of the Issuer <dropdown>	Please choose the sector of the issuer as per Appendix E.8
Sector of the Issuer - Others (please specify)	Please provide the description of other sector of the issuer only if dropdown 'Others' is chosen for 'Sector of the Issuer'. Otherwise, please leave it blank.
Is issuer a related party to the reporting entity?	Please choose either 'Yes' or 'No'
Investment Type <dropdown>	Please choose the investment type for each investment group as per Appendix C.3
Other Investment Type	Please provide the description of other investment type only if dropdown 'Debentures – Others' and/or 'Money Market – Others' and/or 'Malaysian Government Securities - Others' is chosen. Otherwise, please leave it blank.



Guarantee Type	Please choose the guarantee type as per Appendix E.9
Product Rated/Unrated <dropdown>	Please choose if the product is rated or unrated. If the securities are unrated, please leave blank on other rating details – refer Appendix E.1
Product Rating	Please provide the latest rating given by the Rating Agency to the product, if applicable e.g. AAA, AA, B
Product Rating - Others (Please specify)	Please provide the description of other product ratings only if dropdown `Others` is chosen. Otherwise, please leave it blank.
Issuer Rated/Unrated <dropdown>	Please choose if the issuer is rated or unrated. If the issuer is unrated, please leave blank on other rating details – refer Appendix E.1
Issuer Rating Agency	Please provide the rating agency name, if applicable e.g. RAM, MARC, S&P, Moody`s, Fitch and Others
Issuer rating	Please provide the latest rating given by the Rating Agency to the issuer, if applicable e.g. AAA, AA, B
Comply with the minimum credit rating requirement if single issuer limit exceed, as specified in the respective guideline	Please choose either option – `Yes` or `No`
Country of Issuance <dropdown>	Please choose country of issuance based on the Country name provided – refer Appendix A.2
Currency of Issuance <dropdown>	Please choose the currency of issuance based on the ISO code provided - refer Appendix A.1
Securities in Issue (RM)	Please provide the total value of the securities in issue
Investment Value -Remaining Maturity Period of <365 Days (RM)	Please provide the investment value of the securities maturing in less than 365 days
Investment Value -Remaining Maturity Period of 365-732 Days (RM)	Please provide the investment value of the securities maturing in between 365 days to 732 days
Investment Value -Remaining Maturity Period of >732 Days (RM)	Please provide the investment value of the securities maturing in more than 732 days



180.04 - [02400] Investment – Derivatives

- a) FMC is required to provide information for investments in derivatives.
 b) Please refer [Appendix F](#) for detailed example.

Item	Definition
Fund Code	Please provide the fund code as provided
Product Name	The name of the derivatives product
Product ISIN Code	The 12-character product ISIN where one exists, at trading and settlement, if any e.g. MYL1234O1234
Counterparty Name	The company name of counter party as per registered with SSM or any other authorized body in Malaysia, or authorized body for company registration in respective country, if applicable e.g. XYZ Commodities Sdn Bhd
Counterparty Company Registration Number	The company registration number of counterparty with SSM or any other authorized body in Malaysia, or authorized body for company registration in respective country, if any
Counterparty Group	The ultimate holding company of the counterparty (. If the counterparty is the group itself, you may repeat the counterparty name as counterparty group e.g. XYZ Group Sdn Bhd
Investment Class <dropdown>	Please choose the investment class of the derivatives which are either `Exchange traded` or `Over the counter (OTC)`
Investment Type <dropdown>	Please choose the investment type of the derivatives as per Appendix C.4
Other Investment Type (please specify)	Please provide the description of other investment type only if dropdown `Derivatives - Others` is chosen for `Investment type`. Otherwise, please leave it blank.
Underlying Asset <dropdown>	Please choose the type of underlying asset of the derivatives – refer Appendix E.3
Other Underlying Asset (please specify)	Please provide the description of other underlying asset only if dropdown `Others` is chosen. Otherwise, please leave it blank.
Exchange	Please provide the exchange name, if applicable, as per Appendix D
Country of Counterparty /Exchange <dropdown>	Please choose the country of counterparty based on the Country name provided as per Appendix A.2
Currency of Product <dropdown>	Please choose the currency of the derivatives based on the ISO code provided - refer Appendix A.1



Notional Value (RM)	Please provide the total value of the derivative's underlying assets at the current (spot) price e.g. One XYZ Index futures contract obligates the buyer to 250 units of the ABC Index. If the index is trading at RM1, 000, then the single futures contract is similar to investing RM250, 000 (250 x RM1, 000). Therefore, RM250, 000 is the notional value underlying the futures contract
Investment value (RM)	Please provide the investment value of derivatives



190.04 - [02500] Investment - Collective Investment Schemes

- a) FMC is required to provide the details for full investment in CIS.
 b) Please refer [Appendix F](#) for detailed example.

Item	Definition
Fund Code	Please provide the fund code as provided
Name of issuer	The company name of issuer as per registered with SSM or any other authorized body in Malaysia, or authorized body for company registration in respective country
Issuer Company Registration Number	The company registration number of issuer as per registered with SSM or any other authorized body in Malaysia, or authorized body for company registration in respective country, if any
Issuer Group	The ultimate holding company of the issuer. If the issuer is the group itself, you may repeat the issuer name as issuer group e.g. XYZ Group Sdn Bhd
Name of Collective Investment Scheme Product	The name of collective investment scheme product
Collective Investment Scheme Product Code	The 12-character product ISIN where one exists, at trading and settlement, if any e.g. MYL1234O1234
Investment Type <dropdown>	Please choose the investment type in collective investment schemes as per Appendix C.5
Other Investment Type (please specify)	Please provide the description of other investment type only if dropdown `CIS – Others` is chosen for `Investment Type`. Otherwise, please leave it blank.
Exchange	Please provide the exchange name, if applicable, as per Appendix D
Country of Issuance <dropdown>	Please choose the country where the CIS is issued based on the Country name provided – refer Appendix A.2
Currency of Issuance <dropdown>	Please choose the currency of the CIS in which it resides in based on the ISO code provided - refer Appendix A.1
Securities in Issue (unit)	Please provide the total units of the securities in issue
Securities held by fund (unit)	Please provide the total units of the securities held by fund
Investment value (RM)	Please provide the investment value of CIS



200.04 - [02600] Investment - Structured Products

- a) FMC is required to provide information for investments in structured product.
 b) Please refer [Appendix F](#) for detailed example.

Item	Definition
Fund Code	Please provide the fund code as provided
Name of issuer	The company name of the issuer as per registered with SSM or any other authorized body in Malaysia, or authorized body for company registration in respective country
Issuer Company Registration Number	The company registration number of the issuer with SSM or any other authorized body in Malaysia, or authorized body for company registration in respective country, if any
Issuer Group	The ultimate holding company of the issuer. If the issuer is the group itself, you may repeat the issuer name as issuer group e.g. XYZ Group Bhd
Name of Structured Product	The name of structured product
Structured Product Code	The 12-character product ISIN where one exists, at trading and settlement, if any e.g. MYL1234O1234
Investment Type <dropdown>	Please choose the investment type in structured product as per Appendix C.6
Other Investment Type (please specify)	Please provide the description of other investment type only if dropdown 'Structured Products – Others' is chosen for 'Investment Type'. Otherwise, please leave it blank.
Issuer Rated/Unrated <dropdown>	Please choose if the issuer is rated or unrated. If the issuer is unrated, please leave blank on other rating details – refer Appendix E.1
Issuer Rating Agency	Please provide the rating agency name, if applicable e.g. RAM, MARC, S&P, Moody`s, Fitch and Others.
Issuer Rating	Please choose either option – 'Yes', 'No' or 'Not Applicable'
Exchange	Please provide the exchange name, if applicable, as per Appendix D
Country of Issuance <dropdown>	Please choose the country of issuance based on the Country name provided – refer Appendix A.2
Currency of Issuance <dropdown>	Please choose the currency of issuance based on the ISO code provided - refer Appendix A.1
Securities in Issue (unit)	Please provide the total units of the securities in issue
Securities Held by fund (unit)	Please provide the total units of the securities held by fund
Investment Value (RM)	Please provide the investment value of the structured product



210.04 - [02900] Other Investment

- a) FMC is required to provide all information in other investments, including digital currency, if any
- b) For investments in digital currency, the following fields must be filled; Fund code, Investment Type, Exchange, and Investment Value.

Item	Definition
Fund Code	Please provide the fund code as provided
Issuer Name	The company name of the issuer as per registered with SSM or any other authorized body in Malaysia, or authorized body for company registration in respective country e.g. XYZ Capital Sdn Bhd
Issuer Company Registration Number	The company registration number of issuer with SSM or any other authorized body in Malaysia, or authorized body for company registration in respective country, if any
Issuer Group	The ultimate holding company of the issuer. If the issuer is the group itself, you may repeat the issuer name as issuer group e.g. XYZ Group Bhd
Product ISIN Code	The 12-character product ISIN where one exists, at trading and settlement, if any e.g. MYL1234O1234
Investment Type (please specify)	Please provide the description of the investment type For investment in digital currency to indicate all the names and tickers (e.g. BitCoin, BTC).
Exchange	Please provide the exchange name, if applicable, as per Appendix D For investment in digital currency, please indicate the name of the DAX or where the transactions were carried out over the counter, to indicate as "OTC". (e.g. ABC DAX, OTC)
Country of Issuance <dropdown>	Please choose the country of issuance based on the Country name provided – refer Appendix A.2
Currency of Issuance <dropdown>	Please choose the currency of issuance based on the ISO code provided – refer Appendix A.1
Investment value (RM)	Please provide the investment value of the securities For investment in digital currency to indicate the value of investment transacted on the respective DAX and OTC.



3.5 **FM04.M.WF** is to be reported by the foreign fund operator or its representative. The report comprises of the following.

010.07 – [01000] Declaration

Item	Definition
Reporting Period (dd/mm/yyyy)	The last calendar day of the reporting month. E.g. 31/03/2017
Foreign Fund Representative Name	The company name of representative for the foreign fund as per registered with SSM
Foreign Fund Representative Company Registration Number	The company registration number of representative for the foreign fund as per registered with SSM
Foreign Fund Operator Name	The company name of the foreign fund operator as per registered authorized body for company registration in respective country
Foreign Fund Operator Company Registration Number	The company registration number of the foreign fund operator as per registered with authorized body for company registration in respective country
Foreign Fund Operator Country	The country of the foreign fund operator based on the ISO codes provided – refer Appendix A.2
Total Number Of Accounts	This item must be reported equivalent to the total number of account of all funds as reported in 020.07 – [02000] Fund Overview
Total Units In Circulation	This item must be reported equivalent to the total unit in circulation of all funds as reported in 020.07 – [02000] Fund Overview
Total Subscription Volume (unit)	This item must be reported equivalent to the total subscription volume for all distribution channels of all funds as reported in 060.07 – [05000] Fund Movement
Total Redemption Volume (unit)	This item must be reported equivalent to the total redemption volume for all distribution channels of all funds as reported in 060.07 – [05000] Fund Movement
Total Manager Box (unit)	This item must be reported equivalent to the total of Manager's Box of all funds as reported in 050.07 – [04000] Unit in Circulation Breakdown
Total Net Asset Value (RM)	This item must be reported equivalent to the total net asset value of all funds as reported in 020.07 – [02000] Fund Overview
Signatory of Foreign Fund Operator/Representative	This section must be filled with the Foreign Fund Operator/Representative contact information
Signatory of CEO or person authorised by the CEO of Foreign Fund Representative	This section must be filled with information of CEO of Foreign Fund Representative or person authorised by the CEO of Foreign Fund Representative



015.07 – [01050] General Information

- a) This sheet is required to be filled as and when audited financial account is issued e.g. if the audited financial account of the fund is issued in 30/06/2017, this sheet must be filled in the reporting month of June.

Item	Definition
Fund Code	Please provide the fund code as provided
Auditor Name	Please provide the appointed auditor's name of each fund
Audit Firm No.	Please provide the audit firm number (AF Number) for each auditor
Signing Partner of Last Audited Account	The signing partner name of the last audited account
Audit Opinion of Last Audited Account	The overall audit opinion on the last audited account – refer Appendix E.6
Financial Year End (dd/mm/yyyy)	The financial year end date of the fund. E.g. 31/12/2017



020.07 – [02000] Fund Overview

Item	Definition
Fund Code	Please provide the fund code as provided
Fund Class	Please provide the class name, if applicable e.g. Case 1: ABC-Fund B-USD, please fill in 'B' in this section Case 2: ABC-Fund USD, please leave blank in this section. USD should be reflected in the Currency Class section
Currency Class <dropdown>	Please choose the currency of the class based on the ISO code provided – refer Appendix A.1
Country of Offer	Please choose the country where the fund is offered at based on the Country name provided – refer Appendix A.2
Units In Circulation	The total of this item must be reported equivalent to the total unit in circulation for all distribution channels as reported in 050.07 – [04000] Units in Circulation
Net Asset Value (RM)	Please provide the total net asset value as at last day of each month

040.07 – [03000] Number of Accounts

Item	Definition
Fund Code	Please provide the fund code as provided
Country of Offer <dropdown>	Please choose the country where the fund is being or intending to be offered at based on the Country name provided – refer Appendix A.2
Individual	Refers to retail investor
Institution	Refers institutional funds and corporate investors
IUTA	Refers to number of account that is distributed by IUTA members
CUTA	Refers to number of account that is distributed by CUTA
Others	Refers to number of account that is distributed by other channels besides above mentioned



050.07 – [04000] Units in Circulation

Item	Definition
Fund Code	Please provide the fund code as provided
Fund Class	Please provide the class name, if applicable e.g. Case 1: ABC-Fund B-USD, please fill in 'B' in this section Case 2: ABC-Fund USD, please leave blank in this section. USD should be reflected in the Currency Class section
Currency Class <dropdown>	Please choose the currency of the class based on the ISO code provided – refer Appendix A.1
Country of Offer <dropdown>	Please choose the country where the fund is being or intending to be offered. For example, if ABC-Fund B-USD can be offered in Malaysia and in Singapore, then the UTMC must submit an entry for Malaysia and another entry from Singapore (regardless if the offering in Singapore is exclusively permitted under the restricted schemes regime in Singapore). For the list of Country name– refer Appendix A.2
Individual	Refers to retail investor.
Institution	Refers institutional funds and corporate investors.
IUTA	Refers to number of units in circulation that is distributed by IUTA
CUTA	Refers to number of units in circulation that is distributed by CUTA
Others	Refers to number units in circulation that is distributed by other channels besides above mentioned
Manager`s Box	Please provide the number of unit in the fund when complying with repurchase requests and/or in creating new units to meet anticipated requests for units by investor



060.07 – [05000] Fund Movement – Applicable to units in Malaysia only

Item	Definition
Fund Code	Please provide the fund code as provided
Fund Class	Please provide the class name, if applicable e.g. Case 1: ABC-Fund B-USD, please fill in 'B' in this section Case 2: ABC-Fund USD, please leave blank in this section. USD should be reflected in the Currency Class section
Currency Class <dropdown>	Please choose the currency of the class based on the ISO code provided – refer Appendix A.1
Country of Offer <dropdown>	Please choose the country where the fund is offered at based on the Country name provided – refer Appendix A.2
Method of Registration	Please choose the method of registration whether the sales or repurchases transaction is completed through 'Online' or 'Offline' channel
Subscription	Refers to subscription of the fund
Redemption	Refers to redemption of the fund
Value (RM)	Refers to the total value of transactions denominated in Ringgit Malaysia (RM) for the reporting month
Volume (unit)	Refers to the total unit transacted for the reporting month
IUTA – Bank IUTA – UTMC	Refers to subscription transaction that is conducted through IUTA members. Where the units of a fund may be transacted on the IUTA's online platform, the sales and repurchases transaction data must be differentiated by the Method of Registration i.e. the amount by which the end-investors subscribed, or redeemed, the units of the fund via the IUTA's online platform must be reported separately from transactions conducted offline.
CUTA	Refers to subscription transaction that is conducted through CUTA
Others	Refers to subscription transactions that is conducted through other channels besides above mentioned



100.07 – [06000] Financial

- a) In this section, please ensure that all value is reported in positive value
- b) The Total NAV calculated from this table must be equivalent to the Total NAV of the fund

Item	Definition
Fund Code	Please provide the fund code as provided
Item <dropdown>	Please choose items related to each fund– refer Appendix B
Country <dropdown>	Please choose the country where the fund is based in on the Country name provided – refer Appendix A.2
Value (RM)	Please provide the value of financial item denominated in Ringgit Malaysia (RM) for the reporting month

**110.07 – [06100] Financial – Others**

Item	Definition
Fund Code	Please provide the fund code as provided
Description of other receivables / liabilities	Please provide the description of other receivables / liabilities if it is selected in 100.07 – [06000] Financial
Other Receivables – Value (RM)	The value of this item must be reported equivalent to the value of other receivables in 100.07 – [06000] Financial
Other Liabilities – Value (RM)	The value of this item must be reported equivalent to the value of other liabilities in 100.07 – [06000] Financial



240.07 – [06200] Investment

Item	Definition
Fund Code	Please provide the fund code as provided
Asset Class <dropdown>	Please choose the classification of asset held by the fund – refer Appendix E.5
Investment type (please specify)	Please provide the description of investment type only if dropdown `Others` is chosen. Otherwise, please leave it blank.
Exchange	Please provide the exchange name, if applicable, as per Appendix D
Country <dropdown>	Please choose the country where the fund is offered at based on the Country name provided – refer Appendix A.2
Value (RM)	Please provide the total value of investment denominated in Ringgit Malaysia (RM) as at last calendar day of the reporting month



APPENDIX



APPENDIX A: Currency ISO and Country Name

A.1 Currency

Currency	ISO Code	Currency	ISO Code
UAE Dirham	AED	Moldovan Leu	MDL
Afghani	AFN	Malagasy Ariary	MGA
Lek	ALL	Denar	MKD
Armenian Dram	AMD	Kyat	MMK
Netherlands Antillean Guilder	ANG	Tugrik	MNT
Kwanza	AOA	Pataca	MOP
Argentine Peso	ARS	Ouguiya	MRO
Australian Dollar	AUD	Mauritius Rupee	MUR
Aruban Florin	AWG	Rufiyaa	MVR
Azerbaijani Manat	AZN	Malawi Kwacha	MWK
Convertible Mark	BAM	Mexican Peso	MXN
Barbados Dollar	BBD	Mexican Unidad de Inversion (UDI)	MXV
Taka	BDT	Malaysian Ringgit	MYR
Bulgarian Lev	BGN	Mozambique Metical	MZN
Bahraini Dinar	BHD	Namibia Dollar	NAD
Burundi Franc	BIF	Naira	NGN
Bermudian Dollar	BMD	Cordoba Oro	NIO
Brunei Dollar	BND	Norwegian Krone	NOK
Boliviano	BOB	Nepalese Rupee	NPR
Mvdol	BOV	New Zealand Dollar	NZD
Brazilian Real	BRL	Rial Omani	OMR
Bahamian Dollar	BSD	Balboa	PAB
Ngultrum	BTN	Sol	PEN
Pula	BWP	Kina	PGK
Belarusian Ruble	BYR	Philippine Peso	PHP
Belize Dollar	BZD	Pakistan Rupee	PKR
Canadian Dollar	CAD	Zloty	PLN
Congolese Franc	CDF	Guarani	PYG
WIR Euro	CHE	Qatari Rial	QAR
Swiss Franc	CHF	Romanian Leu	RON
WIR Franc	CHW	Serbian Dinar	RSD
Unidad de Fomento	CLF	Russian Ruble	RUB
Chilean Peso	CLP	Rwanda Franc	RWF
Yuan Renminbi	CNY	Saudi Riyal	SAR
Colombian Peso	COP	Solomon Islands Dollar	SBD
Unidad de Valor Real	COU	Seychelles Rupee	SCR
Costa Rican Colon	CRC	Sudanese Pound	SDG
Peso Convertible	CUC	Swedish Krona	SEK
Cuban Peso	CUP	Singapore Dollar	SGD
Cabo Verde Escudo	CVE	Saint Helena Pound	SHP
Czech Koruna	CZK	Leone	SLL
Djibouti Franc	DJF	Somali Shilling	SOS
Danish Krone	DKK	Surinam Dollar	SRD
Dominican Peso	DOP	South Sudanese Pound	SSP
Algerian Dinar	DZD	Dobra	STD
Egyptian Pound	EGP	El Salvador Colon	SVC
Nakfa	ERN	Syrian Pound	SYR
Ethiopian Birr	ETB	Lilangeni	SZL
Euro	EUR	Baht	THB



Fiji Dollar	FJD	Somoni	TJS
Falkland Islands Pound	FKP	Turkmenistan New Manat	TMT
Pound Sterling	GBP	Tunisian Dinar	TND
Lari	GEL	Pa'anga	TOP
Ghana Cedi	GHS	Turkish Lira	TRY
Gibraltar Pound	GIP	Trinidad and Tobago Dollar	TTD
Dalasi	GMD	New Taiwan Dollar	TWD
Guinea Franc	GNF	Tanzanian Shilling	TZS
Quetzal	GTQ	Hryvnia	UAH
Guyana Dollar	GYD	Uganda Shilling	UGX
Hong Kong Dollar	HKD	US Dollar	USD
Lempira	HNL	US Dollar (Next day)	USN
Kuna	HRK	Uruguay Peso en Unidades Indexadas (URUIURUI)	UYI
Gourde	HTG	Peso Uruguayo	UYU
Forint	HUF	Uzbekistan Sum	UZS
Rupiah	IDR	Bolívar	VEF
New Israeli Sheqel	ILS	Dong	VND
Indian Rupee	INR	Vatu	VUV
Iraqi Dinar	IQD	Tala	WST
Iranian Rial	IRR	CFA Franc BEAC	XAF
Iceland Krona	ISK	Silver	XAG
Jamaican Dollar	JMD	Gold	XAU
Jordanian Dinar	JOD	Bond Markets Unit European Composite Unit (EURCO)	XBA
Yen	JPY	Bond Markets Unit European Monetary Unit (E.M.U.-6)	XBB
Kenyan Shilling	KES	Bond Markets Unit European Unit of Account 9 (E.U.A.-9)	XBC
Som	KGS	Bond Markets Unit European Unit of Account 17 (E.U.A.-17)	XBD
Riel	KHR	East Caribbean Dollar	XCD
Comoro Franc	KMF	SDR (Special Drawing Right)	XDR
North Korean Won	KPW	CFA Franc BCEAO	XOF
Won	KRW	Palladium	XPD
Kuwaiti Dinar	KWD	CFP Franc	XPF
Cayman Islands Dollar	KYD	Platinum	XPT
Tenge	KZT	Sucre	XSU
Kip	LAK	Codes specifically reserved for testing purposes	XTS
Lebanese Pound	LBP	ADB Unit of Account	XUA
Sri Lanka Rupee	LKR	The codes assigned for transactions where no currency is involved	XXX
Liberian Dollar	LRD	Yemeni Rial	YER
Loti	LSL	Rand	ZAR
Libyan Dinar	LYD	Zambian Kwacha	ZMW
Moroccan Dirham	MAD	Zimbabwe Dollar	ZWL



A.2 Country Name

Country Name	
AFGHANISTAN	LIBERIA
ÅLAND ISLANDS	LIBYAN ARAB JAMAHIRIYA
ALBANIA	LIECHTENSTEIN
ALGERIA	LITHUANIA
AMERICAN SAMOA	LUXEMBOURG
ANDORRA	MACAO
ANGOLA	MACEDONIA, THE FORMER YUGOSLAV REPUBLIC OF
ANGUILLA	MADAGASCAR
ANTARCTICA	MALAWI
ANTIGUA AND BARBUDA	MALAYSIA
ARGENTINA	MALDIVES
ARMENIA	MALI
ARUBA	MALTA
AUSTRALIA	MARSHALL ISLANDS
AUSTRIA	MARTINIQUE
AZERBAIJAN	MAURITANIA
BAHAMAS	MAURITIUS
BAHRAIN	MAYOTTE
BANGLADESH	MEXICO
BARBADOS	MICRONESIA, FEDERATED STATES OF
BELARUS	MOLDOVA, REPUBLIC OF
BELGIUM	MONACO
BELIZE	MONGOLIA
BENIN	MONTENEGRO
BERMUDA	MONTSERRAT
BHUTAN	MOROCCO
BOLIVIA, PLURINATIONAL STATE OF	MOZAMBIQUE
BONAIRE, SINT EUSTATIUS AND SABA	MYANMAR
BOSNIA AND HERZEGOVINA	NAMIBIA
BOTSWANA	NAURU
BOUVET ISLAND	NEPAL
BRAZIL	NETHERLANDS
BRITISH INDIAN OCEAN TERRITORY	NEW CALEDONIA
BRUNEI DARUSSALAM	NEW ZEALAND
BULGARIA	NICARAGUA
BURKINA FASO	NIGER
BURUNDI	NIGERIA
CAMBODIA	NIUE
CAMEROON	NORFOLK ISLAND
CANADA	NORTHERN MARIANA ISLANDS
CAPE VERDE	NORWAY
CAYMAN ISLANDS	OMAN
CENTRAL AFRICAN REPUBLIC	PAKISTAN
CHAD	PALAU
CHILE	PALESTINIAN TERRITORY, OCCUPIED
CHINA	PANAMA
CHRISTMAS ISLAND	PAPUA NEW GUINEA
COCOS (KEELING) ISLANDS	PARAGUAY
COLOMBIA	PERU
COMOROS	PHILIPPINES
CONGO, THE DEMOCRATIC REPUBLIC OF THE	PITCAIRN
COOK ISLANDS	POLAND



COSTA RICA	PORTUGAL
CÔTE D'IVOIRE	PUERTO RICO
CROATIA	QATAR
CUBA	RÉUNION
CURAÇAO	ROMANIA
CYPRUS	RUSSIAN FEDERATION
CZECH REPUBLIC	RWANDA
DENMARK	SAINT BARTHÉLEMY
DJIBOUTI	SAINT HELENA, ASCENSION AND TRISTAN DA CUNHA
DOMINICA	SAINT KITTS AND NEVIS
DOMINICAN REPUBLIC	SAINT LUCIA
ECUADOR	SAINT MARTIN (FRENCH PART)
EGYPT	SAINT PIERRE AND MIQUELON
EL SALVADOR	SAINT VINCENT AND THE GRENADINES
EQUATORIAL GUINEA	SAMOA
ERITREA	SAN MARINO
ESTONIA	SAO TOME AND PRINCIPE
ETHIOPIA	SAUDI ARABIA
FALKLAND ISLANDS (MALVINAS)	SENEGAL
FAROE ISLANDS	SERBIA
FIJI	SEYCHELLES
FINLAND	SIERRA LEONE
FRANCE	SINGAPORE
FRENCH GUIANA	SINT MAARTEN (DUTCH PART)
FRENCH POLYNESIA	SLOVAKIA
FRENCH SOUTHERN TERRITORIES	SLOVENIA
GABON	SOLOMON ISLANDS
GAMBIA	SOMALIA
GEORGIA	SOUTH AFRICA
GERMANY	SOUTH GEORGIA AND THE SOUTH SANDWICH ISLANDS
GHANA	SOUTH SUDAN
GIBRALTAR	SPAIN
GREECE	SRI LANKA
GREENLAND	SUDAN
GRENADA	SURINAME
GUADELOUPE	SVALBARD AND JAN MAYEN
GUAM	SWAZILAND
GUATEMALA	SWEDEN
GUERNSEY	SWITZERLAND
GUINEA	SYRIAN ARAB REPUBLIC
GUINEA-BISSAU	TAIWAN, PROVINCE OF CHINA
GUYANA	TAJIKISTAN
HAITI	TANZANIA, UNITED REPUBLIC OF
HEARD ISLAND AND MCDONALD ISLANDS	THAILAND
HOLY SEE (VATICAN CITY STATE)	TIMOR-LESTE
HONDURAS	TOGO
HONG KONG	TOKELAU
HUNGARY	TONGA
ICELAND	TRINIDAD AND TOBAGO
INDIA	TUNISIA
INDONESIA	TURKEY
IRAN, ISLAMIC REPUBLIC OF	TURKMENISTAN
IRAQ	TURKS AND CAICOS ISLANDS
IRELAND	TUVALU



ISLE OF MAN	UGANDA
ISRAEL	UKRAINE
ITALY	UNITED ARAB EMIRATES
JAMAICA	UNITED KINGDOM
JAPAN	UNITED STATES
JERSEY	UNITED STATES MINOR OUTLYING ISLANDS
JORDAN	URUGUAY
KAZAKHSTAN	UZBEKISTAN
KENYA	VANUATU
KIRIBATI	VENEZUELA, BOLIVARIAN REPUBLIC OF
KOREA, DEMOCRATIC PEOPLE'S REPUBLIC OF	VIET NAM
KOREA, REPUBLIC OF	VIRGIN ISLANDS, BRITISH
KUWAIT	VIRGIN ISLANDS, U.S.
KYRGYZSTAN	WALLIS AND FUTUNA
LAO PEOPLE'S DEMOCRATIC REPUBLIC	WESTERN SAHARA
LATVIA	YEMEN
LEBANON	ZAMBIA
LESOTHO	ZIMBABWE



APPENDIX B: Financial Item

Sub Item	FM01.M.WF	FM04.M.WF
Placement of Deposits	✓	
Equities	✓	
Debentures	✓	
Government Securities	✓	
Money Market Instruments	✓	
Derivatives	✓	
CIS	✓	
Structured Products	✓	
Other Investment	✓	
Total Investment		✓
Cash at bank	✓	✓
Profit receivables	✓	✓
Interest receivables	✓	✓
Dividend receivables	✓	✓
Amount due from brokers	✓	✓
Amount due from FMC	✓	✓
Other receivables	✓	✓
Borrowings	✓	
Amount due to brokers	✓	✓
Amount due to FMC	✓	✓
Distribution payable	✓	✓
Other liabilities	✓	✓



APPENDIX C: Investment Type

C.1 Type of Deposit

Investment type
Fixed Deposit
General Investment Account
Islamic Fixed Deposit
Deposits – Others (please specify)
Deposits - Aggregated

C.2 Type of Equities

Investment Type
Ordinary shares
Preference shares
Rights
Equities linked investments - Warrants (issued by PLC)
Equities linked investments - Others (to specify)
Hybrid - Convertible bond (rated)
Hybrid - Convertible bond (unrated)
Hybrid - Others (to specify)
Equities - Others (please specify)
Equities - Aggregated

C.3 Type of Debentures, Money market and Government Securities

Investment Type
<u>DEBENTURES</u>
Medium term notes
Corporate Bond
Cagamas bonds
Khazanah bonds
Asset backed securities
Negotiable instrument of deposit (>5 year)
Zero-coupon negotiable instrument of deposits
Commercial Paper (>1 year)
Loan notes



Loan stocks
Corporate sukuk
Cagamas sukuk
Khazanah sukuk
Islamic negotiable instrument of deposit (>5 year)
Islamic Commercial Paper (>1 year)
Treasury Bills - Non Malaysia Government
Debentures - Others (to specify)
<u>MONEY MARKET INSTRUMENTS</u>
Banker's acceptance
Repurchase agreement
Negotiable instrument of deposit (<1 year)
Negotiable instrument of deposit (>1-4 years)
Islamic negotiable instrument of deposit (<1 year)
Islamic negotiable instrument of deposit (>1-4 years)
Negotiable certificate of deposit
Negotiable Islamic debt certificate
Commercial paper
Islamic commercial paper
Money Market - Others (please specify)
<u>GOVERNMENT SECURITIES</u>
Malaysian Government Securities
Government Investment Issues
Treasury bills - Malaysian govt
Malaysian Government Securities – Others (please specify)

C.4 Type of Derivatives

Investment Type
Futures
Swap
Option
Forwards
Derivatives – Others (please specify)
Derivatives – Aggregated



C.5 Type of Collective Investment Scheme

Investment Type
Closed-end fund
Exchange-traded fund
Real-estate investment trust
Unit trust fund
Wholesale fund
CIS - Others (please specify)
CIS - Aggregated

C.6 Type of Structured Product

Investment Type
Credit-linked notes
Equity-linked notes
Bond-linked notes
Index-linked notes
Currency-linked notes
Interest rate-linked notes
Commodity-linked notes
Structured warrants (listed instrument issued by investment banks)
Structured Products - Others (please specify)
Structured Products - Aggregated

C.7 Investment Group for Fixed Income Securities

Investment Group
Debentures
Money Market
Malaysian Government Securities



APPENDIX D: List of Exchanges

List of Exchanges	
Abu Dhabi SE	Ljubljana SE
American SE	London Metal Exchange
Amman SE	London SE
ASX Derivatives Trading	Luxembourg SE
ASX SFE Derivatives Trading	Malta SE
Athens Derivatives Exchange	Mauritius SE
Athens Exchange	MexDer
Australian SE	Mexican Exchange
Bahrain Bourse	MICEX
Barbados SE	MICEX / RTS
BATS Chi-x Europe	Moscow Exchange
BATS Global Markets - US	MSCI Asia EM
Beirut SE	MSCI Asia Pacific
Belarusian Currency & Stock Exchange	MSCI EAFE
Bermuda SE	MSCI Europe
BM&FBOVESPA	MSCI Malaysia
BME Spanish Exchanges	MSCI South East Asia
BME Spanish Exchanges Barcelona	MSCI World AC
BME Spanish Exchanges Bilbao	MSCI World EM
BME Spanish Exchanges Madrid	Multi Commodity Exchange of India
BME Spanish Exchanges Valencia	Muscat Securities Market
Bolsa de Valores de Colombia	Nairobi SE
Bombay SE	Namibian SE
Borsa Istanbul	NASDAQ OMX
Borsa Italiana	NASDAQ OMX (US)
Bourse de Montreal	NASDAQ OMX Nordic Copenhagen
BRVM	NASDAQ OMX Nordic Exchange
BSE India	NASDAQ OMX Nordic Helsinki
Bucharest SE	NASDAQ OMX Nordic Iceland
Budapest SE	NASDAQ OMX Nordic Stockholm
Buenos Aires SE	NASDAQ OMX PHLX
Bursa Malaysia	National Stock Exchange India
Bursa Malaysia Derivatives	New Zealand
Casablanca SE	New Zealand Exchange
CBOE EMERG	Nigerian Stock Exchange
CBOE Futures Exchange	NYSE
CBOE SPX	NYSE Euronext (Europe)
Chicago Board of Trade	NYSE Euronext (US)
Chicago Board Options Exchange	NYSE Euronext Amsterdam
Chicago Mercantile Exchange Group	NYSE Euronext Brussels
China Financial Futures Exchange	NYSE Euronext Lisbon
Chittagong SE	NYSE Euronext Paris
CME Group	NYSE Liffe (US)



Colombia SE	NYSE.Liffe Europe
Colombo SE	OMX Nordic Exchange
Copenhagen - Futop	Osaka SE
Costa Rica SE	Oslo Børs
Cyprus SE	Palestine Exchange
Dalian Commodity Exchange	Panama SE
Deutsche Börse	Philippine SE
Dhaka SE	Port Moresby SE
Dubai Financial Market	Prague SE
Egyptian Exchange	Qatar Stock
EUREX	Qatar Stock Exchange
Euro Stoxx	RTS Exchange
Euronext	Santiago SE
Euronext Amsterdam	Saudi Stock Exchange - Tadawul
Euronext Brussels	Seychelles SE
Euronext Lisbon	Shanghai Futures Exchange
Euronext Paris	Shanghai SE
GreTai Securities Market	Shenzhen SE
Hanoi SE	Singapore Exchange
HEX	SIX Swiss Exchange
HoChiMinh SE	Sydney SE
Hong Kong Exchanges	TAIFEX
ICE Futures Canada	Taipei Exchange
ICE Futures Europe	Taiwan SE Corp.
ICE Futures US	Tehran SE
Indonesia SE	Tel Aviv SE
International Securities Exchange	Thailand Futures Exchange
Irish SE	The Nigerian Stock Exchange
Jamaica SE	The Stock Exchange of Thailand
Japan Exchange Group - Osaka	TMX Group
Japan Exchange Group - Tokyo	Tokyo SE Group
Jasdaq	Toronto SE
Johannesburg SE	Tunis SE
Kazakhstan SE	Ukrainian Exchange
Korea Exchange	Warsaw SE
Kuwait Stock Exchange	Wiener Börse
Liffe	Zagreb SE
Lima SE	Zhengzhou Commodity Exchange

*this list provided is for example purpose. If the exchanges are not in the list, please fill in accordingly



APPENDIX E: Others

E.1 Rating Indicator

Product Rated/Unrated
Rated
Unrated

E.2 Group Profile of Unit Holders

Group of Profile
Bumiputera
Non-bumiputera (Chinese)
Non-bumiputera (Indian)
Non-bumiputera (Others)
Foreigner
Manager box

E.3 Type of underlying asset in Derivatives

Underlying Asset
Equities
Debentures
Interest Rate
Exchange Rate
Commodities
Index
Others

E.4 Type of Investor of Wholesale Fund

Type of Investor
Individual – Accredited Investor
Individual – High Net Worth Individual
Individual – Consideration \geq RM250,000
Institution – Accredited Investor
Institution – High Net Worth Entity
Institution – Consideration \geq RM250,000

E.5 Asset Class of Foreign Fund

Asset Class
Equities
Fixed Income Securities
Collective Investment Scheme



Others

E.6 Audit Opinion

Audit Opinion of Last Audited Account
Unqualified Opinion
Unqualified Opinion with Emphasis of Matter
Qualified Opinion
Disclaimer of Opinion
Adverse Opinion

E.7 Sector of the Issuer (For 160.04)

Sector of the Issuer
Bond Islamic
Closed End Fund
Construction
Consumer Products & Services
Energy
Exchanged Traded Fund-Bond
Exchanged Traded Fund-Commodity
Exchanged Traded Fund-Equity
Financial Services
Health Care
Industrial Products & Services
Plantation
Property
Real Estate Investment Trusts
Special Purpose Acquisition Company
Structured Warrants
Technology
Telecommunications & Media
Transportation & Logistics
Utilities
Others

E.8 Sector of the Issuer (For 170.04)

Sector of the Issuer
Agriculture, Forestry and Fishing
Construction
Electricity, Gas and Water Supply
Financing, Insurance, Real Estate and Business Services
Government and other services
Manufacturing
Mining and Quarrying



Transportation, Storage and Communications
Wholesale, Retail Trade, Restaurant and Hotels
Others

E.9 Guarantee Type

Guarantee Type
Government guarantee (home country)
Corporate Guarantee
Financial guarantee
Individual guarantee
No guarantee



APPENDIX F: All Investment Value

FMC are required to report all listed and unlisted securities as per the template.

For example, if FMC has the following investment;

Table 1

No.	Issuer/Counterparty Group	Name of Issuer/Counterparty	Investment (RM)
1	A	AA	500000
2	B	BA	300000
3	C	CA	200000
4	K	KA	175000
5	D	DA	150000
6	F	FA	125000
7	G	GA	85000
8	E	EA	25000
9	E	EB	25000
10	L	LA	23000
11	J	JA	15000
12	M	ME	11500
13	A	AB	10000
14	H	HB	10000
15	M	MD	9500
16	H	HD	9000
17	E	EC	7500
18	M	MC	6500
19	H	HE	5000
20	I	IA	5000
21	M	MB	1500
22	H	HA	1000
23	H	HC	500
24	M	MA	500
25	M	MF	500
Total Investment (RM)			<u>17,010,000</u>