

**SUMMARY OF AMENDMENTS**  
**REVISED GUIDELINES ON THE REGISTRATION AND CONDUCT OF CAPITAL MARKET SERVICES PROVIDERS**  
**(Date of Issuance: 19 December 2022)**

The following table provides a summary of key amendments to the revised *Guidelines on the Registration and Conduct of Capital Market Services Providers* (Guidelines) issued on 19 December 2022:

<b>A. Overview</b>			
<ol style="list-style-type: none"> <li>1. In conjunction with the rollout of the Electronic Application System (EASy) to registered persons, the Guidelines have been revised to facilitate changes in processes and submission of online forms under EASy for greater operational efficiency.</li> <li>2. Housekeeping amendments throughout the Guidelines to provide greater clarity and consistency. These amendments include stylistic or formatting changes and necessary changes of an editorial nature such as renumbering and rephrasing of certain requirements and contents, standardisation of terminology, updating of information, grammatical corrections, and alphabetical order.</li> </ol>			
<b>B. Amendment Highlights</b>			
<b>No.</b>	<b>Prior to 19 December 2022</b>	<b>Revised Version dated 19 December 2022</b>	<b>Comments</b>
<b>Chapter 1: Definitions and Interpretation</b>			
1.	Paragraph 2.01	Paragraph 2.01	Insertion of the definition of 'EASy'.
<b>Chapter 4: Requirements for Registration of Trustees</b>			
2.	Paragraph 4.19	Paragraph 4.19	Removal of 'any key management personnel' to confine the requirement to the position of chief executive.
<b>Chapter 11: Submission Procedure</b>			
3.	Paragraphs 11.01-11.05	Paragraphs 11.01-11.15	Amended to include provisions consequential to the implementation of EASy.