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**Form 2: Application for change of controller of a Recognized Market Operator (RMO)**

|  |  |
| --- | --- |
| **Name of RMO** |  |
| **Registration No** |  |
| **Type of RMO** |  |
| **Brief details of the proposed change** |  |
| **Reason for change in the shareholding structure** |  |

**SUPPORTING DOCUMENTS**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Individual | Current | | | Proposed | | |
| Name | Number of Shares | Percentage (%) | Type of Shares (Ordinary or Preference Shares) | Number of Shares | Percentage (%) | Type of Shares (Ordinary or Preference Shares) |
|  |  |  |  |  |  |  |

|  |  |
| --- | --- |
| **No.** | **Description** |
| 1 | Describe your overall ownership structure before and after the proposed change in the form of a tree diagram depicting the following details:   1. Shareholding structure; and 2. Percentage of shareholdings of each shareholder. |
| 2 | Detailed write-up, which covers, among others—   1. purchase consideration, source of funding, and other transaction details; and 2. elaboration on how it may impact board composition, management, strategic direction, operations, reporting structure, and staff composition of the RMO. |
| 3 | Other relevant documents |

**ADDITIONAL INFORMATION**

|  |  |
| --- | --- |
| 1. Is there any other information relevant to this submission? |  |
| 1. Other relevant accompanying or supporting document(s). |  |

**DECLARATION**

|  |  |
| --- | --- |
| **True and Correct Information** | |
| We are aware that any representation to the Securities Commission Malaysia (SC) by whatever means or in any form that includes false or misleading statement can result in the SC taking action under section 369 of the *Capital Markets and Services Act 2007* (CMSA), or other provisions under the securities laws. In addition, it can adversely affect our (i) application or submission; and (ii) fit and proper standing to participate in the capital market industry.  *Section 369 is in relation to the submission of any false and misleading statement to the SC. Examples of information submitted to the SC include, but not limited to, notification and reporting to the SC, provision of supplementary information or clarification regarding a submission, and any other information the SC may request. Contravention of section 369 may result in imprisonment for a term not exceeding 10 years and shall also be liable to a fine not exceeding three million ringgit upon conviction.*  We hereby declare that all information provided in this submission, including its supporting materials, is true and correct, and the original signed version of this submission reflecting the same information provided is being kept at the named entity’s business address or the principal address or a designated place approved by the SC at all times. | |
|  |  |
| Signature (Director / Authorised Signatory\*) | Signature (Chief Executive / Responsible Person) |
| Full Name: | Full Name: |
| Designation: | Designation: |
| Date: | Date: |
|  |  |
| \*approved by the board of directors | |

Notes: Personal data provided by you in this form is required for purposes relating to the licensing, reporting or approving requirements under the CMSA. Failure to provide personal data required in this form will necessarily impair your registration status or any approval under the CMSA. Any personal data provided may also be disclosed to other supervisory or regulatory authorities for purposes of supervisory or regulatory oversight and compliance with the law. You are entitled, from time to time, to provide updated information relating to any personal data provided by contacting the SC’s Institution Supervision Department.