



Form 35: ACMF - Application for Registration of Recognised Representative

LICENSED ENTITY INFORMATION

Application Details	
Application Type	
Licensed Entity Information	
Name of Principal	
Registration No.	
Location	
Other Details (Proposed position & description of duties)	

PROFILE OF APPLICANT

Personal Details	
Salutation	
Full Name (as per NRIC / passport)	
Surname / Last Name	
Given Name	
Other Name	
Gender	
Status	
Nationality	
National ID	
NRIC No.	
Contact Information	
Country / Jurisdiction	
Postcode	
State	
City	
Address 1	
Mobile No. (e.g. 01x-1234 1234) Include country code for overseas number	
Email	

DETAILS OF AUTHORISATION IN HOME JURISDICTION

Contact Information (in Malaysia)	
Country / Jurisdiction	
Name of Principal	
Type of Principal's Licence / Registration / Approval / Authorisation (Please state the Regulated Activity)	
Type of Applicant's Licence / Registration / Approval / Authorisation (Please state the Regulated Activity)	
Licence / Registration / Approval / Authorisation Number Granted	
Licence / Registration / Approval / Authorisation Date Granted	
Scope of Activity in Home Jurisdiction	

Other Disclosure

1) Has the individual been refused the right to carry on any trade, business or profession for which a specific licence, registration, authorization or approval is required, in Malaysia or elsewhere?
2) Has the individual, in Malaysia or elsewhere—
* To indicate the date of conviction / judgement / contravention / bankruptcy / discharged, nature of offence, jurisdiction where the offence was committed, and any other relevant information (where applicable)
a) been convicted of any offence, or are there any proceedings now pending that may lead to a conviction of any offence, involving bribery, fraud, dishonesty, mismanagement of a entity or violence?
b) had judgment involving findings of fraud, dishonesty, violence, misrepresentation, breach of contract, breach of fiduciary duty or professional negligence given against it in any civil proceedings, or are there any proceedings now pending that may lead to such a judgment or finding?
c) contravened any written law for protecting members of the public against financial loss due to dishonesty, incompetence, or malpractice by persons concerned in the provision of financial services or the management of companies, or against financial loss due to the conduct of discharged or undischarged bankrupts?
d) been declared a bankrupt or entered into a composition or arrangement with creditors?
e) been subjected to any form of disciplinary proceedings or actions by, or, entered into a settlement with, any regulatory, supervisory or professional body?
3) Has the individual been involved in the management of a entity, in Malaysia or elsewhere, which at the time of his/her involvement, the entity had—
* To indicate the date of conviction / judgement / contravention / bankruptcy / discharged, nature of offence, jurisdiction where the offence was committed
a) been convicted of any offence, or are there any proceedings now pending that may lead to a conviction of any offence, involving bribery, fraud, dishonesty, mismanagement of a entity or violence?
b) had judgment involving findings of fraud, dishonesty, violence, misrepresentation, breach of contract, breach of fiduciary duty or professional negligence given against it in any civil proceedings, or are there any proceedings now pending that may lead to such a judgment or finding?
c) contravened any written law for protecting members of the public against financial loss due to dishonesty, incompetence, or malpractice by persons concerned in the provision of financial services or the management of companies, or against financial loss due to the conduct of discharged or undischarged bankrupts?
d) had a receiver and/or manager been appointed in respect of any of the assets of the said entity?
e) entered into a compromise or arrangement with creditors or members?
f) had a petition presented in a court for its winding up?
g) been subjected to any form of disciplinary proceedings or actions by, or, entered into a settlement with, any regulatory, supervisory or professional body?

ADDITIONAL INFORMATION

Additional Information	
1. Is there any other information relevant to this submission?	
2. Other relevant accompanying or supporting document(s).	

SUPPORTING DOCUMENTS

No.	Description
1	A copy of the individual's NRIC (for Malaysian citizen) or passport(s) (for non-Malaysian citizen) (must be crossed out with the remark "For the SC Use Only")
2	A recent passport size colour photograph
3	A copy of the individual's work permit (for non-Malaysian citizen)
4	Other relevant documents

DECLARATION

True and Correct Information	
<input type="checkbox"/> We are aware that any representation to the Securities Commission Malaysia ("SC") by whatever means or in any form that includes false or misleading statement can result in the SC taking action under section 369 of the Capital Markets and Services Act 2007 or other provisions under the securities laws. In addition, it can adversely affect our (i) application or submission; and (ii) fit and proper standing to participate in the capital market industry.	
<input type="checkbox"/> We hereby declare that all information provided in this submission, including its supporting materials, is true and correct, and the original signed version of this submission reflecting the same information provided is being kept at the named entity's business address or the principal address or a designated place approved by the SC at all times.	
Signature	
Full Name :	
Date :	
<input type="checkbox"/> In addition to the declaration above, on the basis of a due and diligent enquiry made of the background of the individual named in this form and other information available, we believe him/her to be of good character and reputation and to have the competence and experience to perform the functions of the specified role(s). The applicant is fit and proper in accordance with Schedule 1 of the Licensing Handbook.	
Signature (Director / Partner / Authorised Signatory*)	Signature (Director / Partner / Authorised Signatory*)
Full Name :	Full Name :
Designation :	Designation :
Date :	Date :

Notes: Personal data provided by you in this form is required for purposes relating to the licensing, reporting or approving requirements under the Capital Markets and Services Act 2007 ("CMSA"). Failure to provide personal data required in this form will necessarily impair the issuance or continued grant of any licence or approval under the CMSA. Any personal data provided may also be disclosed to other supervisory or regulatory authorities for purposes of supervisory or regulatory oversight and compliance with the law. You are entitled, from time to time, to provide updated information relating to any personal data provided by contacting the SC's Authorisation and Licensing Department.