Summary of Amendments to the Revised Licensing Handbook (Date of Issuance: 1 August 2018)

The following table provides a summary of amendments made to the revised *Licensing Handbook* issued on 1 August 2018:

A. General amendments made throughout the Licensing Handbook:

- 1. Following the issuance of Guidelines on Compliance with the Continuing Professional Education Requirement (CPE Guidelines), the content of Chapter 8 of the *Licensing Handbook* on CPE will be removed. Further, the requirement on CPE-Industry Transformation Initiative (ITI) compliance is also removed from the *Licensing Handbook* with the introduction of the enhanced CPE framework.
- 2. Removal of a fit and proper compliance report from Electronic Licensing Application (ELA) system to the Common Reporting (ComRep) platform, effective December 2018.

B.	B. Specific amendments				
No.	Reference in the Licensing Handbook				
	Prior to 1 August 2018	Revised version dated 1 August 2018	Comments		
1.	Paragraph 1.04 – Acronyms and Definitions	Paragraph 1.04 – Acronyms and Definitions	Insertion of new definition of ComRep.		
2.	Paragraph 4.06 – Table 5	Paragraph 4.06 – Table 5	Deletion of the requirement on CPE-Industry Transformation Initiative (ITI) with the introduction of the enhanced CPE framework.		
3.	Paragraph 6.02(1)	Paragraph 6.02(1)	Insertion of Form 6A as non-ELA submission.		
4.	Paragraph 6.05 (2)	Paragraph 6.05 (2)	Deletion of referencing to Chapter 8 as the same is deleted from the <i>Licensing Handbook</i> .		

	Reference in the Licensing Handbook		
No.	Prior to 1 August 2018	Revised version dated 1 August 2018	Comments
5.	Paragraph 6.05 (3)	Paragraph 6.05 (3)	Deletion of referencing to Chapter 8 as the same is deleted from the <i>Licensing Handbook</i> .
6.	Paragraph 6.05 (4)	-	Transferring the paragraph on submission of Form 6A to a new paragraph 6.05(A).
7.	Paragraph 6.05 (4) - Illustration	-	Deletion of illustration on submission of Form 6A as the same is captured in the FAQs of CPE Guidelines.
8.	-	Paragraph 6.05(A)	Insertion of the requirement to submit Fit and Proper Compliance Report (Form 6A) via ComRep platform.
9.	Paragraph 7.03 (6)	Paragraph 7.03 (6)	Insertion of the collection of 20 CPE points as part of licensing condition.
10.	Chapter 8	-	Deletion of Chapter 8 as the relevant provisions are incorporated to the new CPE Guidelines.
11.	Paragraph 11.02 (2)	-	This sub-paragraph is deleted to allow for a trading representative to act as a marketing representative.
12.	Appendix 3 – Supporting Documents; Form 6A	-	Deletion of Form 6A from Appendix 3 as the report submission will be made via ComRep platform.