

SUMMARY OF AMENDMENTS
GUIDELINES ON COMPLIANCE FUNCTION FOR FUND MANAGEMENT COMPANIES
(Revised: 21 December 2021)

The following table provides a summary of amendments made to the *Guidelines on Compliance Function for Fund Management Companies* revised on 21 December 2021:

A. General Amendments	
<ol style="list-style-type: none"> 1. Revised requirements relating to rebates and soft commissions to streamline with <i>Guidelines on Unit Trust Funds</i>. 2. Renumbering and rearrangement of current requirements in these Guidelines. 	

B. Specific Amendments			
No.	Reference in these Guidelines		Comments
	Prior to 21 December 2021	Revision dated 21 December 2021	
1.	Paragraph 11.15	Paragraph 11.15	Amended paragraph to streamline with the requirements on rebates and soft commission with the <i>Guidelines on Unit Trust Funds</i> .
2.	Paragraph 11.28	Paragraph 11.28	Amended paragraph to streamline with the requirement in Paragraph 11.15(iii).