


**Form WP1: Application for the Employment of Non-Malaysian Citizen under the Capital Markets and Services Act 2007 (by the principal)**
**PROFILE**

Entity Details	
Name of Principal	
Registration No.	
Regulated Activity	
Regulated Activity	
Contact Information	
Registered Address	
Website	
Headquarters/Main Office	
Contact Person of the Principal Company	
Salutation	
Name	
Designation	
Email	
Mobile No. (e.g. 01x-1234 1234) Include country code for overseas number	
Office No.	
Fax No.	

**ORGANISATION STRUCTURE**

Current Organisation Structure				
1.		<b>Professional<sup>1</sup></b>	<b>Skilled<sup>2</sup></b>	
	Non-Malaysian			
	Malaysian			
	Total			
2.		<b>Managerial</b>	<b>Executive</b>	<b>Non-Executive</b>
	Non-Malaysian			
	Malaysian			
	Total			
3.	Specify the number of positions occupied by Non-Malaysian that have been taken over by Malaysian			
	<b>Managerial</b>	<b>Executive</b>	<b>Non-Executive</b>	

<sup>1</sup> For the purpose of this form, "Professional" refers to a person who undertakes a regulated activity under the Capital Market and Services Act.

<sup>2</sup> For the purpose of this form, "Skilled" refers to a person who undertakes a supporting role in the operations of the intermediaries under the Capital Market and Services Act, which include but not limited to IT personnel, human resource personnel and translators.

Proposed Position to be Filled by Non-Malaysian							
1. Name of the non-Malaysian offered to fill this position. 2. Passport number 3. Country of issue 4. Date of birth	Designation	Nature of duties to be undertaken	Nature of academic qualifications and experience required for the position	Remuneration	From	To	Reasons for the employment of a Non-Malaysian for this position

**SUPPORTING DOCUMENT**

No.	Description
1	Organisation chart detailing positions filled and to be filled by non-Malaysian citizen, including names of the individual's existing employees and nationalities against their respective designations
2	Details of "under-studies" as set out in Appendix 1 of the Guidelines
3	A cover letter explaining the principal company's activities and justification for the individual's post
4	Other relevant documents

**ADDITIONAL INFORMATION**

Additional Information	
1. Is there any other information relevant to this submission?	Yes (please provide details)
2. Other relevant accompanying or supporting document(s).	

**DECLARATION**

True and Correct Information	
<input type="checkbox"/> We are aware that any representation to the Securities Commission Malaysia ("SC") by whatever means or in any form that includes false or misleading statement can result in the SC taking action under section 71 or 369 of the Capital Markets and Services Act 2007, or other provisions under the securities laws. In addition, it can adversely affect our application / submission and fit and proper standing to participate in the capital market industry.  <i>Section 71 is in relation to the submission of false or misleading statement with respect to an application for the granting or variation of licence. Contravention of section 71 may result in imprisonment for a term not exceeding five years and shall also be liable to a fine not exceeding one million ringgit upon conviction.</i>  <i>Section 369 is in relation to the submission of any false and misleading statement to the SC. Examples of information submitted to the SC include, but not limited to, notification and reporting to the SC, provision of supplementary information or clarification regarding a submission, and any other information the SC may request. Contravention of section 369 may result in imprisonment for a term not exceeding 10 years and shall also be liable to a fine not exceeding three million ringgit upon conviction.</i>	
<input type="checkbox"/> We hereby declare that all information provided in this submission, including its supporting materials, is true and correct, and the original signed version of this submission reflecting the same information provided is being kept at the named entity's business address or the principal address or a designated place approved by the SC at all times.	
Signature (Licensed Director / Director / Chief Executive)	Signature (Director / Authorised Signatory*)
Full Name :	Full Name :
Designation :	Designation :
Date :	Date :
*approved by the board of directors	

*Notes: Personal data provided by you in this form is required for purposes relating to the licensing, reporting or approving requirements under the Capital Markets and Services Act 2007 ("CMSA"). Failure to provide personal data required in this form will necessarily impair the issuance or continued grant of any licence or approval under the CMSA. Any personal data provided may also be disclosed to other supervisory or regulatory authorities for purposes of supervisory or regulatory oversight and compliance with the law. You are entitled, from time to time, to provide updated information relating to any personal data provided by contacting the SC's Authorisation and Licensing Department.*