

**SUMMARY OF AMENDMENTS
GUIDELINES ON SALES PRACTICES OF UNLISTED CAPITAL MARKET PRODUCTS
ISSUED ON 22 NOVEMBER 2021**

The following table provides a summary of key amendments to the *Guidelines on Sales Practices of Unlisted Capital Market Products* (Guidelines) which was revised on 22 November 2021.

Amendments to Specific Paragraphs		
Prior to 22 November 2021	Revised on 22 November 2021	Remarks
Part 1: Introduction		
Paragraph 1.06	Paragraph 1.06	<ul style="list-style-type: none"> Amended paragraph to give effect to the category of investors who acquire unlisted capital market products where the consideration is not less than two hundred and fifty thousand ringgit or its equivalent in foreign currencies for each transaction whether such amount is paid for in cash or otherwise. Amended Table to set out the applicability of product highlights sheet and suitability assessment requirements for investors who acquire unlisted capital market products where the consideration is not less than two hundred and fifty thousand ringgit or its equivalent in foreign currencies for each transaction whether such amount is paid for in cash or otherwise.
Paragraph 1.11	Paragraph 1.11	<ul style="list-style-type: none"> Amended definition of "retail investors" in light of new definition of "sophisticated investors" Amended definition of "sophisticated investor" to include investors who acquire unlisted capital market products where the consideration is not less than two hundred and fifty thousand ringgit or its equivalent in foreign currencies

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		for each transaction whether such amount is paid for in cash or otherwise.
Schedule 1: Qualifying Criteria of Sophisticated Investors		
Schedule 1	Deleted.	Schedule 1 removed as definition of sophisticated investors revised to reflect the amended Schedule 5 of the CMSA.