

CONTENT

CHAIRMAN'S MESSAGE HIGHLIGHTS 2020

PART 01 CAPITAL MARKET REVIEW AND OUTLOOK

- 4 Overview
- 4 Global Developments in 2020
- 12 Malaysian Capital Market
Developments in 2020
- 24 Outlook for 2021

INFOGRAPHIC AND ARTICLES

- 20 Increased Retail Participation
– A Detailed Snapshot

PART 02 ENSURING STABILITY AND CONTINUITY DURING COVID-19

- 28 Introduction
- 28 Fair and Orderly Market
- 29 Regulatory Relief Measures
- 38 Continuity of Intermediaries' Operations
- 40 Monitoring and Management of Risk
- 46 Investor Protection and Market Integrity

INFOGRAPHICS AND ARTICLES

- 31 Virtual General Meetings – Malaysia's
Experience

- 32 Relief Measures on Fundraising
- 39 Management of COVID-19 at the SC
- 43 Liquidity Risk Management for Funds
- 45 Global Co-ordination in Regulatory
Response to COVID-19
- 48 Creating Greater Prosperity for the
Region and Facilitating Economic
Recovery Post COVID-19

PART 03 MAINTAINING MARKET INTEGRITY AND GOOD GOVERNANCE

- 52 Introduction
- 52 Promoting Good Governance and
Conduct
- 60 Ensuring Market Integrity and Transparency
- 63 Greater Investor Empowerment
- 68 Achieving Swift and Targeted Enforcement
Action

INFOGRAPHICS AND ARTICLES

- 53 Highlights from the CG Monitor 2020
- 57 Thematic Review on Compliance with
Anti-Corruption Policies and Procedures
- 59 Supervisory Assessments and Thematic
Reviews
- 67 Virtual InvestSmart® Fest 2020
- 69 Enforcement Actions 2020

PART 04**ENABLING IMMEDIATE OPPORTUNITIES
AND FACILITATING RECOVERY**

- 78 Introduction
- 78 Broadening Access to the Capital Market via Technology
- 81 Digitising the Capital Market as an Engine for Growth
- 84 Facilitating Growth Opportunities for Market Intermediaries
- 88 Facilitating the Development of the Unit Trust Fund Industry
- 89 Promoting Vibrancy in the Capital Market
- 91 Aligning Values with Sustainable and Responsible Investments
- 95 Building Capabilities and Opportunities

INFOGRAPHICS AND ARTICLES

- 79 Sustaining MSME Fundraising via ECF and P2P Financing Markets
- 84 Fund Management Industry Digitisation Group
- 85 Members of FMDG
- 86 Revised Guidelines on Trust Deeds
- 87 Enhancing the Role of Capital Market Intermediaries
- 92 Waqf-Featured Fund Framework
- 96 SIDC Sustainable and Responsible Investment Conference 2020
- 97 Structured Training and Familiarisation Programmes in Support of PENJANA

PART 05**STATEMENTS, STATISTICS
AND ACTIVITIES**

- 102 Board Members
- 106 Executive Team
- 107 Shariah Advisory Council Members
- 108 Organisation Structure
- 110 Statement on Governance
- 119 Financial Statements
- 152 Corporate Proposals
- 157 Investment Management
- 163 Islamic Capital Market
- 171 Venture Capital and Private Equity
- 175 Equity Crowdfunding and Peer-to-Peer Financing
- 180 Authorisation and Licensing
- 185 Enforcement
- 191 Investor Empowerment Initiatives
- 195 Complaints and Enquiries
- 196 People
- 198 Acronyms and Abbreviations