

**PARTICULARS OF DIRECTOR, MANAGEMENT TEAM AND
RESPONSIBLE PERSON OF DIGITAL ASSET CUSTODIAN**

SECTION I: ENTITY INFORMATION

Name of Entity

SECTION II: PROFILE OF INDIVIDUAL

Designation

Board of Director

Non-Independent Executive Director

Non-Independent Non-Executive Director

Independent Non-Executive Director

Chairman

Senior Management *(Please provide details below)*

Responsible Person

Personal Details

Salutation

Name *(as per NRIC /
passport)*

Other Name

Salutation

Date of Birth *(dd mmm yyyy)*

Gender

Male

Female

Nationality

Status

Bumiputera

Non-Bumiputera

Foreigner

National ID and Passport Details

For Malaysian

Old IC No.:

NRIC No.:

For Non-Malaysian
*(include details of all valid
passports)*

Passport No.:

Expiry Date *(dd mmm yyyy)*:

Place of Issue:

Do you have a valid work permit?

No

Yes *(Please provide details below)*

Address and Contact Details

Residential Address

E-mail

Contact No.

Home:

Mobile:

Office:

Fax:

Marital Status and Spouse Details
 Single
 Married *(please provide details below)*

Name of Spouse	For Malaysian		For Non-Malaysian	Occupation & Name of Employer <i>(if in employment)</i>	Details of Employer Address / Office No. / Fax No.
	Old IC No.	NRIC No.	Passport No. / Expiry Date <i>(dd mmm yyyy) / Place of Issue</i>		

SECTION IV: ACADEMIC OR PROFESSIONAL QUALIFICATIONS

Educational Institution / Professional Body	Year	Degree / Diploma / Certificate / Others
Other Qualifications <i>(please specify)</i>		

SECTION V: EMPLOYMENT HISTORY *(without gaps, in reverse chronological order)*

Date <i>(mmm yyyy)</i>		Full Name of Organisation <i>(state location if outside Malaysia)</i> and Designation Held <i>(state role / rank and division / department / unit)</i>	Description of Duties and Responsibilities	Capital markets- and/or financial services-related? <i>(Yes / No)</i>
From	To			

SECTION VI: DECLARATIONS**Directorship**

1) Is the individual a director of any other corporation, wherever incorporated?

 No
 Yes *(please provide details)*

Name of Corporation	Nature of Business	Place of Incorporation	Date of Appointment <i>(dd mmm yyyy)</i>	Nature of Appointment	
				<i>(independent or non-independent)</i>	<i>(executive or non-executive)</i>

Important: All fields are mandatory

Ownership				
2) Does the individual own, either directly or indirectly, 5% or more of the voting shares of any corporation, wherever incorporated?				
<input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please provide details)</i>				
Name of Corporation	Nature of Business	Place of Incorporation	% of Ownership	Paid-up Capital <i>(MYR and, if applicable, Non-MYR)</i>
Others				
3) Has the individual been, in Malaysia or elsewhere—				
a. authorised in any capacity, either to practise any profession or to carry on any occupation, trade or business, in or relating to the capital markets or financial services industry, for which authorisation is required? Notes: <i>i. Authorisation also includes licensing, registration, approval and membership.</i> <i>ii. If authorised by the SC, state the type of the regulated activity.</i> <i>iii. If authorised by other regulatory, supervisory or professional body, within or outside Malaysia, state (a) name of the competent authority, (b) type of authorisation, (c) name of entity, and (d) effective period. This includes unit trust scheme consultants and insurance agents. Ensure the employment history corresponds with the disclosures in this section.</i>				
<input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please provide details*)</i>				
b. refused the right to in any capacity, either to practise any profession or to carry on any occupation, trade or business, in or relating to the capital markets or financial services industry, for which authorisation is required? Note: <i>Authorisation also includes licensing, registration, approval and membership.</i>				
<input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please provide details*)</i>				

4) Has the individual been, in Malaysia or elsewhere—
<p>a. been convicted of any offence, or are there any proceedings now pending that may lead to a conviction of any offence involving fraud or other dishonesty or violence?</p> <p><input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please provide details*)</i></p>
<p>b. had judgment involving findings of fraud or other dishonesty, or violence, misrepresentation, breach of contract, breach of fiduciary duty or professional negligence given against him/her in any civil proceedings, or are there any proceedings now pending that may lead to such a judgment or finding?</p> <p><input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please provide details*)</i></p>
<p>c. contravened any written law for protecting members of the public against financial loss due to dishonesty, incompetence, malpractice or negligence by persons concerned in the provision of financial services or the management of companies, or against financial loss due to the conduct of discharged or undischarged bankrupts?</p> <p><input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please provide details*)</i></p>
<p>d. been declared a bankrupt or entered into a composition or arrangement with creditors?</p> <p><input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please provide details*)</i></p>
<p>e. been subjected to any form of disciplinary proceedings or actions by, or, entered into a settlement with, any regulatory, supervisory or professional body?</p> <p><input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please provide details*)</i></p>
5) Has the individual been involved in the management of a corporation, in Malaysia or elsewhere, which at the time of his/her involvement, the corporation—
<p>a. been convicted of any offence, or are there any proceedings now pending, that may lead to a conviction of any offence, involving fraud or other dishonesty or violence?</p> <p><input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please provide details*)</i></p>
<p>b. had judgment involving findings of fraud or other dishonesty, or violence, misrepresentation, breach of contract, breach of fiduciary duty or professional negligence given against it in any civil proceedings, or are there any proceedings now pending that may lead to such a judgment or finding?</p> <p><input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please provide details*)</i></p>
<p>c. contravened any written law for protecting members of the public against financial loss due to dishonesty, incompetence, malpractice or negligence by persons concerned in the provision of financial services or the management of companies, or against financial loss due to the conduct of discharged or undischarged bankrupts?</p> <p><input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please provide details*)</i></p>
<p>d. had a receiver and/or manager been appointed in respect of any of the assets of the said corporation?</p> <p><input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please provide details*)</i></p>
<p>e. entered into a compromise or arrangement with creditors or members?</p> <p><input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please provide details*)</i></p>

Important: All fields are mandatory

f. had a petition presented in a court for its winding up? <input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please provide details*)</i>
g. been subjected to any form of disciplinary proceedings or actions by, or, entered into a settlement with, any regulatory, supervisory or professional body? <input type="checkbox"/> No <input type="checkbox"/> Yes <i>(please provide details*)</i>

* To indicate the date of conviction / judgement / contravention / bankruptcy / discharged, nature of offence and jurisdiction where the offence was committed (where applicable)

SECTION VII: OTHER INFORMATION
Is there any other additional information relevant to this application? <input type="checkbox"/> No <input type="checkbox"/> Yes <i>(if "Yes", please specify details)</i>

SECTION VIII: SUPPORTING DOCUMENTS <i>(All scanned documents must be clear and legible)</i>			
No.	Description	Submission <i>(please tick)</i>	
		Yes	N/A
1	A copy of the individual's NRIC* (for Malaysian citizen) or passport(s)* (for non-Malaysian citizen) <i>(must be crossed out with the remark "For the SC Use Only")</i>	<input type="checkbox"/>	
2	A recent passport size colour photograph	<input type="checkbox"/>	
3	Bankruptcy search result from the Malaysian Department of Insolvency or, if outside Malaysia, the competent authority <i>(no more than 31 days old as at the date of submission)</i>	<input type="checkbox"/>	
4	A copy of the individual's work permit* <i>(if applicable)</i>	<input type="checkbox"/>	<input type="checkbox"/>
5	Other relevant documents	<input type="checkbox"/>	<input type="checkbox"/>

* To be certified as a true copy by a notary public / solicitor / company secretary

SECTION IX: DECLARATION OF TRUTH AND ACCURACY OF INFORMATION

- I am aware that any representation to the Securities Commission Malaysia (SC) by whatever means or in any form that includes false or misleading statement can result in the SC taking action under section 369 of the *Capital Markets and Services Act 2007*, or other provisions under the securities laws. In addition, it can adversely affect the registration of Digital Asset Custodian’s application/ submission and fit and proper standing to participate in the capital market industry.

Section 369 is in relation to the submission of any false and misleading statement to the SC. Examples of information submitted to the SC include, but not limited to, notification and reporting to the SC, provision of supplementary information or clarification regarding a submission, and any other information the SC may request. Contravention of section 369 may result in imprisonment for a term not exceeding 10 years and shall also be liable to a fine not exceeding three million ringgit upon conviction.

- I hereby declare all information provided in this submission, including its supporting materials, is true and correct, and the original signed version of this submission reflecting the same information provided is being kept at the business address.

.....
Signature

Full Name:

Date (dd mmm yyyy):

Note: Personal data provided by you in this form is required for purposes relating to the licensing, reporting or approving requirements under the Capital Markets and Services Act 2007 (CMSA). Failure to provide personal data required in this form will necessarily impair the issuance or continued grant of any licence or approval under the CMSA. Any personal data provided may also be disclosed to other supervisory or regulatory authorities for purposes of supervisory or regulatory oversight and compliance with the law. You are entitled, from time to time, to provide updated information relating to any personal data provided by contacting the SC’s Authorisation and Licensing Department.