

**FEE COMPUTATION CHECKLIST**

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| Management Company/Operator/PRS Provider | : |
| Proposal | : |

1. **Fees in respect of unit trust fund, ETF, REIT and foreign fund**

| **No.** | **Description** | **Fees (RM)** | **No. of** | | **Additional fees per fund (RM)** | **Amount**  **(RM)** |
| --- | --- | --- | --- | --- | --- | --- |
| **Application** | **Fund** |
|  | Establishment of unlisted fund (per fund) | 5,000 |  |  | - |  |
|  | Recognition of foreign unlisted fund (per fund) | 5,000 |  |  | - |  |
|  | Establishment of real estate investment trust (per fund) | 10,000 |  |  | 0.01% of market capitalisation or offer price of the units to be issued, subject to a maximum of RM800,000 (inclusive of fees for review of asset valuation, if any) |  |
|  | Recognition of foreign real estate investment trust (per unlisted fund) | 10,000 |  |  | - |  |
|  | Establishment of exchange-traded fund | 10,000 |  |  | - |  |
|  | Recognition of foreign exchange-traded fund (per unlisted fund) | 10,000 |  |  | - |  |
|  | Recognition of foreign listed fund (excluding real estate investment trust and exchange-traded fund) (per fund) | 10,000 |  |  | 0.01% of market capitalisation that is proposed to be listed, subject to a maximum of RM800,000 |  |
|  | Secondary listing of foreign listed fund | 10,000 |  |  | - |  |
|  | Appointment of fund management delegate not licensed by the Commission (per application) | 2,500 |  |  | - |  |
|  | Restructuring of management company (not applicable to holders of Capital Markets Services Licenses issued under the CMSA) (per application) | 2,000 |  |  | - |  |
|  | Exemption or variation from guidelines on:   1. form and content in relation to submission to the Commission; or 2. provisions other than form and content   (per exemption or variation, per fund) | 500  1,000 |  |  | - |  |
|  | Extension of time (per extension, per fund) | 1,000 |  |  | - |  |
|  | Application in relation to one or any combination of parts of the *Guidelines on Online Transactions and Activties in relation to Unit Trusts* (per application) | 1,000 |  |  | - |  |
|  | Submission of a revised proposal or application to revise an approved proposal | 10% of the fees payable for the original proposal other than the percentage charged in respect of market capitalisation |  |  | - |  |
|  | Other applications as specified by the Commission under subsection 212(7) CMSA | 1,000 |  |  | - |  |
| **Prospectus and Information Memorandum** | | | | | | |
|  | Registration of prospectus | 3,000 |  |  | 100 |  |
|  | Registration of prospectus for renewal of prospectus | 1,500 |  |  | 100 |  |
|  | Registration of supplementary or replacement prospectus | 1,500 |  |  | 100 |  |
|  | Deposit of information memorandum | 500 |  |  | - |  |
|  | Exemption or variation from guidelines (per exemption or variation, per fund) | 500 |  |  | - |  |
| **Deed** | | | | | | |
|  | Submission of deed (for listed fund) | 3,000 |  |  | 100 |  |
|  | Registration of deed | 1,500 |  |  | 100 |  |
|  | Registration of supplementary deed | 1,000 |  |  | - |  |
| **Product highlights sheet and other documents** | | | | | | |
|  | Lodgement of product highlights sheet   * Initial * Replacement (per replacement) | 500  100 |  |  | - |  |
|  | Lodgement of documents\* (per document) | 100 |  |  | - |  |
| **TOTAL** | | | | | |  |

\* Lodgement of documents includes prospectus, deed and annual report.

1. **Fees in respect of wholesale fund under the lodge and launch framework**

| **No.** | **Description** | **Fees (RM)** | **No. of** | | **Additional fees per fund (RM)** | **Amount**  **(RM)** |
| --- | --- | --- | --- | --- | --- | --- |
| **Application** | **Fund** |
|  | Exemption or variation from requirements under the guidelines on:   1. form and content in relation to any lodgement/submission to the Commission 2. provisions other than form and content   (per exemption or variation, per fund) | 500  1,000 |  |  | - |  |
|  | Other applications as specified by Commission under subsection 212(7) CMSA | 1,000 |  |  | - |  |
| **Items 3-6 are for information purposes only as such fees are to be paid online via LOLA Online Submission System** | | | | | | |
|  | Lodgement of wholesale fund (per fund) | 5,000 |  | | | |
|  | Revision to the lodgement form prior to launch | 500 |
|  | Lodgement of disclosure document or deposit of information memorandum, if any | 500 |
|  | Lodgement of product highlights sheet | 500 |
|  | Lodgement of replacement product highlights sheet | 100 |
| **TOTAL** | | | | | |  |

1. **Fees in respect of private retirement scheme**

| **No.** | **Description** | **Fees (RM)** | **No. of** | | **Additional fees per fund (RM)** | **Amount**  **(RM)** |
| --- | --- | --- | --- | --- | --- | --- |
| **Application** | **Scheme** |
|  | Establishment of private retirement scheme | 10,000 |  |  | 5,000 |  |
|  | Authorisation of additional funds under an approved private retirement scheme (per fund) | 5,000 |  |  | - |  |
|  | Registration of disclosure document | 5,000 |  |  | 500 |  |
|  | Registration of supplementary disclosure document | 2,500 |  |  | 100 |  |
|  | Registration of replacement disclosure document | 2,500 |  |  | 100 |  |
|  | Registration of deed | 1,500 |  |  | 100 |  |
|  | Registration of supplementary deed | 1,000 |  |  | - |  |
|  | Exemption or variation from guidelines (per exemption or variation, per fund) | 500 |  |  | - |  |
|  | Extension of time (per application, per fund) | 1,000 |  |  | - |  |
|  | Application in relation to one or any combination of parts of the *Guidelines on Online Transactions and Activties in relation to Unit Trusts* (per application) | 1,000 |  |  | - |  |
|  | Lodgment of documents (per document) | 100 |  |  | - |  |
|  | Appointment of adviser (per fund) | 2,500 |  |  | - |  |
| **TOTAL** | | | | | |  |

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| --- | --- |
| **Payment particulars**  The fees for any submissions must be made via SC’s electronic payment hub (e-PATH) (<https://path.sc.com.my/>)  Proof of payment generated by e-PATH must be provided and attached together with this fee checklist. | **For SC’s Internal Use:**  Correct fees  Incorrect fees:  \_\_\_\_\_\_\_\_\_\_  Additional fees  received:  \_\_\_\_\_\_\_\_\_\_ |