

Summary of Amendments on the Guidelines on Sales Practices of Unlisted Capital Market Products
Date of Issuance: 26 November 2019

The following table provides a summary of the key amendments to the *Guidelines on Sales Practices of Unlisted Capital Market Products* which was revised on 26 November 2019:

Amendments to specific paragraphs		
Prior to 19 July 2019	Revision on 26 November 2019	Remarks
Part 1: INTRODUCTION		
Definitions		
Paragraph 1.11 Definition of “unlisted capital market products”	Paragraph 1.11 Definition of “unlisted capital market products”	The definition of “unlisted capital market product” is amended to be consistent with the definition of “unlisted capital market products” under <i>the Guidelines on Unlisted Capital Market Products under the Lodge and Launch Framework</i>
Part 3: PRODUCT HIGHLIGHTS SHEET		
Content of PHS		
Subparagraph 3.06(j)(i) to (iii)	Subparagraph 3.06(j)(i) and (ii)	This paragraph has been amended to be consistent with the disclosure requirement as set out in <i>the Guidelines on Issuance of Corporate Bonds and Sukuk to Retail Investors</i>