

**SUMMARY OF AMENDMENTS**  
**REVISED GUIDELINES ON ELECTRONIC CONTRACT NOTES**  
(Date of Issuance: 19 August 2024)

The following table provides a summary of key amendments to the revised *Guidelines on Electronic Contract Notes* (Guidelines) issued on 19 August 2024:

<b>A. Overview</b>	
1.	The Guidelines were revised to reflect consequential amendments and to ensure alignment of requirements to the revised <i>Guidelines on Technology Risk Management</i> .
2.	Housekeeping and editorial amendments throughout the Guidelines were made to provide greater clarity and consistency. These amendments include stylistic or formatting changes and necessary changes of an editorial nature.

<b>B. Amendment Highlights</b>			
No.	Prior to 19 August 2024	Revised Version Dated 19 August 2024	Comments
<b>Chapter 3: Definitions</b>			
1.	Definitions	Definitions	<p>Introduced definitions of “cyber incident”, “near miss event” and “technology incident” for the purposes of clarity.</p> <p>Deleted the definition of “contract note” as the term is defined in the <i>Capital Markets and Services Act 2007</i>.</p>

<b>B. Amendment Highlights</b>			
<b>No.</b>	<b>Prior to 19 August 2024</b>	<b>Revised Version Dated 19 August 2024</b>	<b>Comments</b>
<b>Chapter 4: Issuance and Delivery of Electronic Contract Notes</b>			
2.	Paragraph 4.09	Paragraph 4.09	Amended to ensure alignment of reporting requirements and terminology with the <i>Guidelines on Technology Risk Management</i> (GTRM).
3.	-	Paragraph 4.09A	Inserted new requirement to ensure alignment with the reporting requirements under the GTRM and to clarify the details required to be included in the report submitted to the Securities Commission.