SCHEDULE 1

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PART 1

[Section 2]

(a)   a public listed company or a corporation listed on the stock exchange;

(b)   a bank licensed under the Financial Services Act 2013 *[Act 758]*;

(c)   an insurer licensed under the Financial Services Act 2013;

(d)   a takaful operator licensed under the Islamic Financial Services Act 2013 *[Act 759]*;

(e)   an Islamic bank licensed under the Islamic Financial Services Act 2013 *[Act 759]*;

(f)   a person prescribed as a prescribed financial institution under section 212 of the Financial Services Act 2013 or a person prescribed as a prescribed Islamic financial institution under section 223 of the Islamic Financial Services Act 2013;

[*Subs. P.U. (A) 112/2016*]

(g)   a development financial institution prescribed under the Development Financial Institutions Act 2002 *[Act 618]*;

(h)   a holder of the Capital Markets Services Licence for the carrying on of the regulated activities of dealing in securities, dealing in derivatives or fund management;

[(g) See P.U.(A) 348/2011)]

(i)   an exchange holding company approved under the securities laws;

(j)   an exchange approved under the securities laws;

(k)   a central depository approved under the securities laws;

(l)   a clearing house approved under the securities laws;

(m)   a self-regulatory organization recognized under the securities laws;

(n)   a private retirement scheme administrator approved under the securities laws;

(o)   a trade repository approved under the securities laws;

(p)   the Capital Market Compensation Fund Corporation;

(q)   any other person as the Minister may prescribe by order published in the *Gazette.*

Part 2

(a)   a private retirement scheme approved by the Commission under the Capital Markets and Services Act 2007;

(b)   a unit trust scheme approved, authorized or recognized by the Commission under the Capital Markets and Services Act 2007;

(c)   any other capital market funds as may be specified by the Commission.

[Subs.Act A1489/2015] [Ins.Act A1369/2010]