SCHEDULE 1

PART 1

[Section 2]

(a) a public listed company or a corporation listed on the stock exchange;

(b) a bank licensed under the Financial Services Act 2013 [Act 758];

(c) an insurer licensed under the Financial Services Act 2013;

(d) a takaful operator licensed under the Islamic Financial Services Act 2013 [Act 759];

(e) an Islamic bank licensed under the Islamic Financial Services Act 2013 [Act 759];

(f) a person prescribed as a prescribed financial institution under section 212 of the Financial Services Act 2013 or a person prescribed as a prescribed Islamic financial institution under section 223 of the Islamic Financial Services Act 2013;

[Subs. P.U. (A) 112/2016]

(g) a development financial institution prescribed under the Development Financial Institutions Act 2002 [Act 618];

(h) a holder of the Capital Markets Services Licence for the carrying on of the regulated activities of dealing in securities, dealing in derivatives or fund management;

[(g) See P.U.(A) 348/2011)]
(i) an exchange holding company approved under the securities laws;

(j) an exchange approved under the securities laws;

(k) a central depository approved under the securities laws;

(l) a clearing house approved under the securities laws;

(m) a self-regulatory organization recognized under the securities laws;

(n) a private retirement scheme administrator approved under the securities laws;

(o) a trade repository approved under the securities laws;

(p) the Capital Market Compensation Fund Corporation;

(q) any other person as the Minister may prescribe by order published in the Gazette.

Part 2

(a) a private retirement scheme approved by the Commission under the Capital Markets and Services Act 2007;

(b) a unit trust scheme approved, authorized or recognized by the Commission under the Capital Markets and Services Act 2007;

(c) any other capital market funds as may be specified by the Commission.

[Subs.Act A1489/2015] [Ins.Act A1369/2010]