

PART 6
FOREIGN EXEMPT SCHEME

Chapter 1

GENERAL

- 1.01 Section B, Part 6 of these Guidelines sets out specific requirements that must be complied with in relation to a Foreign Exempt Scheme under the Lodge and Launch framework.
- 1.02 A Foreign Exempt Scheme must comply with the *Guidelines for the Offering, Marketing and Distribution of Foreign Funds* prior to being lodged and launched in Malaysia.
- 1.03 The Responsible Party and Lodgement Party of a Foreign Exempt Scheme must be—
- (a) a holder of a CMSL for the regulated activity of fund management in relation to portfolio management and is a related corporation to the operator; or
 - (b) the operator, if it holds a CMSL for the regulated activity of fund management in relation to portfolio management.

Chapter 2

LODGEMENT

- 2.01 The Lodgement Party must lodge all information and documents as set out in the Lodgement Kit.

- 2.02 The lodgement of such information and documents must be made via e-mail or in any other manner as may be specified by the SC.

Chapter 3

CONTINUOUS OBLIGATIONS

Annual Submission of Statistical Information and Documents

3.01 The Responsible Party is required to provide information as specified in the Lodgement Kit to the SC for the Foreign Exempt Scheme's annual submission within 14 business days after 31 December of each year.

Cessation of distribution

3.02 The Responsible Party must notify the SC of the cessation of the Foreign Exempt Scheme distribution in Malaysia.