



Form 13: Notice of Change of Shareholders / Shareholdings

BACKGROUND

Brief details of the proposed change															
Reason for changing the shareholding structure															
Proposed Shareholders															
Level	Type	Name	Shareholder For	Before						After					
				Ordinary		Preference		Others		Ordinary		Preference		Others	
				No	%	No	%	No	%	No	%	No	%	No	%
Change of Ownership Details															
Effective Date															

ADDITIONAL INFORMATION

Additional Information	
1. Is there any other information relevant to this submission?	
2. Other relevant accompanying or supporting document(s).	

SUPPORTING DOCUMENT

No.	Description
1	Describe your overall ownership structure before and after the change in the form of a tree diagram depicting the following details: a. Shareholding structure; and b. Percentage of shareholdings of each shareholder.
2	Detailed write-up, which covers, among others— a. purchase consideration, source of funding, and other transaction details; and b. elaboration on how it may impact board composition, management, strategic direction, operations, reporting structure, and staff composition of the CMSL holder.
3	Lodgement under section 68 of the Companies Act 2016: Annual Return (Mandatory for the application of appointment of chief executive and/or licensed director, where applicable) (if not applicable, submit the lodgement under section 14 of the Companies Act 2016: Application for Registration of a Company)
4	Lodgement under section 105 of the Companies Act 2016: Instrument of Transfer of Shares
5	Other relevant documents

DECLARATION

True and Correct Information	
<input type="checkbox"/> We are aware that any representation to the Securities Commission Malaysia ("SC") by whatever means or in any form that includes false or misleading statement can result in the SC taking action under section 71 or 369 of the Capital Markets and Services Act 2007, or other provisions under the securities laws. In addition, it can adversely affect our (i) application or submission; and (ii) fit and proper standing to participate in the capital market industry. <i>Section 71 is in relation to the submission of false or misleading statement with respect to an application for the granting or variation of licence. Contravention of section 71 may result in imprisonment for a term not exceeding five years and shall also be liable to a fine not exceeding one million ringgit upon conviction.</i> <i>Section 369 is in relation to the submission of any false and misleading statement to the SC. Examples of information submitted to the SC include, but not limited to, notification and reporting to the SC, provision of supplementary information or clarification regarding a submission, and any other information the SC may request. Contravention of section 369 may result in imprisonment for a term not exceeding 10 years and shall also be liable to a fine not exceeding three million ringgit upon conviction.</i>	
<input type="checkbox"/> We hereby declare that all information provided in this submission, including its supporting materials, is true and correct, and the original signed version of this submission reflecting the same information provided is being kept at the named entity's business address or the principal address or a designated place approved by the SC at all times.	
Signature (Licensed Director / Director / Chief Executive)	Signature (Director / Authorised Signatory*)
Full Name :	Full Name :
Designation :	Designation :
Date :	Date :
*approved by the board of directors	

Notes: Personal data provided by you in this form is required for purposes relating to the licensing, reporting or approving requirements under the Capital Markets and Services Act 2007 ("CMSA"). Failure to provide personal data required in this form will necessarily impair the issuance or continued grant of any licence or approval under the CMSA. Any personal data provided may also be disclosed to other supervisory or regulatory authorities for purposes of supervisory or regulatory oversight and compliance with the law. You are entitled, from time to time, to provide updated information relating to any personal data provided by contacting the SC's Authorisation and Licensing Department.