



**Form R3: Notice of Cessation for Registered Person**

**PROFILE**

| <b>Entity Information</b>  |  |
|--|--|
| Name   |  |
| Registration No.   |  |
| <b>Contact Information</b>   |  |
| Registered Address   |  |
| Email  |  |
| Office No.   |  |
| Headquarters/Main Office   |  |
| Email  |  |
| Office No.   |  |
| <b>Registered Activity</b>   |  |
| Registered Activity  |  |
| <b>Cessation Details</b>   |  |
| Reason for Cessation   |  |
| Cessation Date   |  |
| Have you notified the clients of your intention to cease the regulated activity and taken adequate arrangements to meet all outstanding liabilities and obligations in respect of the regulated activity? Please provide details and elaborate measures the applicant has taken to safeguard the clients' assets, if any |  |
|  |  |

**ADDITIONAL INFORMATION**

| <b>Additional Information</b>                                  |                   |
|--|-------------------|
| 1. Is there any other information relevant to this submission? |                   |
| 2. Other relevant accompanying or supporting document(s).      |                   |
| <b>Other Documents</b>   |                   |
| Description  | Other Document(s) |
|  |                   |

**SUPPORTING DOCUMENT**

| No. | Description              |
|-----|--------------------------|
| 1   | Other relevant documents |

**DECLARATION**

**True and Correct Information**

We are aware that any representation to the Securities Commission Malaysia ("SC") by whatever means or in any form that includes false or misleading statement can result in the SC taking action under section 369 of the Capital Markets and Services Act 2007, or other provisions under the securities laws. In addition, it can adversely affect our (i) application or submission; and (i) fit and proper standing to participate in the capital market industry.

*Section 369 is in relation to the submission of any false and misleading statement to the SC. Examples of information submitted to the SC include, but not limited to, notification and reporting to the SC, provision of supplementary information or clarification regarding a submission, and any other information the SC may request. Contravention of section 369 may result in imprisonment for a term not exceeding 10 years and shall also be liable to a fine not exceeding three million ringgit upon conviction.*

We hereby declare that all information provided in this submission, including its supporting materials, is true and correct, and the original signed version of this submission reflecting the same information provided is being kept at the named entity's business address or a designated place approved by the SC at all times.

In addition to the declaration above, on the basis of a due and diligent enquiry made of the background of the applicant named in this form and other information available, we believe him/her to be of good character and reputation and to have the competence and experience to perform the functions of the specified role(s).

|  |  |
|--|--|
| Signature (Director / Authorised Signatory*) | Signature (Director / Chief Executive / Authorised Signatory*) |
| Full Name :                                  | Full Name :  |
| Designation :                                | Designation :  |
| Date :                                       | Date :   |

*Notes: Personal data provided by you in this form is required for purposes relating to the licensing, reporting or approving requirements under the Capital Markets and Services Act 2007 ("CMSA"). Failure to provide personal data required in this form will necessarily impair the issuance or continued grant of any licence or approval under the CMSA. Any personal data provided may also be disclosed to other supervisory or regulatory authorities for purposes of supervisory or regulatory oversight and compliance with the law. You are entitled, from time to time, to provide updated information relating to any personal data provided by contacting the SC's Authorisation and Licensing Department.*