SUMMARY OF AMENDMENTS
REVISED GUIDELINES ON SUBMISSION OF CORPORATE AND CAPITAL MARKET PRODUCT PROPOSALS
(Issued: 30 September 2026)

The following table provides a summary of key amendments to the revised *Guidelines on Submission of Corporate and Capital Market Product Proposals* (Guidelines) issued on 30 September 2026:

A. Overview

1. The Guidelines was revised to operationalise the simplified cross-listing framework (Simplified Dual IPO Listing Framework) established under the Memorandum of Understanding entered between the Securities and Futures Commission of Hong Kong and the Securities Commission Malaysia (SC) on 23 July 2026.
2. The key amendments relate to, among others, expanding the categories of 'Submitting Party' and types of proposals that may be submitted to the SC under the Simplified Dual IPO Listing Framework.
3. Housekeeping and editorial amendments were made throughout the Guidelines to provide greater clarity and consistency. These amendments include formatting and editorial changes such as renumbering and rephrasing of certain requirements and contents, standardisation of terminology, updating of information, and grammatical corrections.

B. Amendment Highlights

No.	Prior to 30 September 2026	Issued on 30 September 2026	Comments
Chapter 2: Applicability			
1)	Paragraph 2.04	Paragraph 2.04	Amended to include new Appendix 3 which prescribes the additional categories of 'Submitting Party' and the types of proposals that can be submitted.
2)	-	Paragraph 2.08	Inserted a new paragraph to clarify the non-applicability of a requirement on an 'adviser' for submission of relevant proposal to the SC pursuant to the Simplified Dual IPO Listing Framework.

B. Amendment Highlights			
No.	**Prior to 30 September 2026**	**Issued on 30 September 2026**	**Comments**
Chapter 4: Definitions			
3)	Definition	Definition	(a) Inserted new definitions of 'HK Simplified Dual IPO Listing Framework', 'HK Sponsor' and 'relevant proposal'. (b) Amended the following definitions: • 'specific proposal' to clarify that a recognised principal adviser may undertake a relevant proposal; and • 'Submitting Party' to include the party specified in Appendix 3 of the Guidelines.
Appendix 3: [Paragraph 2.04 (c) – Submitting Party and Types of Proposals that can be Submitted (except for proposals in Appendix 1 and 2)]			
4)	-	Appendix 3	New appendix included to expand the categories of 'Submitting Party' and the types of proposals that can be submitted to the SC.