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***ACMF PROFESSIONAL MOBILITY - REGISTRATION FOR CROSS BORDER PUBLICATION OF RESEARCH REPORT***

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| SECTION I: INFORMATION ON FOREIGN LICENSED ENTITY | |
| Name of licensed entity |  |
| Previous Name (if any) |  |
| Place of Incorporation |  |
| Date of Incorporation (dd mmm yyyy) |  |
| Registered Address |  |
| Business Address |  |
| Company Website (if any) |  |
| **Contact person** | |
| **Name** |  |
| **Designation** |  |
| **Contact No.** |  |
| **Email Address** |  |

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| SECTION II: FOREIGN LICENSED ENTITY’S AUTHORISATION IN HOME JURISDICTION | |
| i. Country |  |
| ii. Type of Licence / Registration / Approval / Authorisation(Please state the Regulated Activity) |  |
| iii. Licence / Registration / Approval / Authorisation Number and Date Granted | Number:  Date: |
| iv. Scope of Activity in Home Jurisdiction | Giving Advice  Issue Research Report |

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| SECTION III: INFORMATION ON HOSTING PLATFORM | | |
| 1. **General Information** | | |
| Registered Name |  | |
| Previous Name (if any) |  | |
| Place of Incorporation |  | |
| Date of Incorporation (dd mmm yyyy) |  | |
| Registered Address |  | |
| Business Address |  | |
| Company Website (if any) |  | |
| Is the Hosting Platform a holder of Capital Markets Services Licence issued by SC? | Yes  CMSL number:    No  i. Please describe the nature of business    ii. Is the hosting platform is electronic or physical?  Electronic  Physical  Both  iii. Please provide details as to the operationalisation of the hosting platform including the name *(if different registered name from the above)*, method(s) of access to /dissemination of research report(s) on the platform and target groups etc. | |
| 1. **Principal Officer / Chief Executive of the Hosting Platform** | | |  |
| **Name** *(as per NRIC / passport)* | |  |
| **Designation** | |  |
| **Contact No.** | |  |
| **Email Address** | |  |
| **IC & Passport Details** | | **For Malaysian**  **Old IC No.:**        **NRIC No.:** |
| **For Non-Malaysian**  **Passport No.:**        **Expiry Date:**  **Country of Issue:** |
| 1. **Additional Contact Person of the Hosting Platform** | | |
| **Name** *(as per NRIC / passport)* | |  |
| **Designation** | |  |
| **Contact No.** | |  |
| **Email Address** | |  |
| **IC & Passport Details** | | **For Malaysian**  **Old IC No.:**        **NRIC No.:** |
| **For Non-Malaysian**  **Passport No.:**        **Expiry Date:**  **Country of Issue:** |
| **SECTION IV: OTHER INFORMATION** | | |
| Is there any other additional information relevant to this submission? | | |
| No  Yes (please provide details) | | |
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| **SECTION V: SUPPORTING DOCUMENTS**  *(please provide annexures for the following information. All scanned documents must be clear and easily legible)* | | | |
| **No.** | **Description** | **Yes** | **N/A** |
| 1. 3 | If the Hosting Platform is a non-CMSL holder, details of directors/managers of the company via company search with Companies Commission of Malaysia\* |  |  |
| 1. 4 | Declaration from the Foreign Licensed Entity and the Hosting Platform, attesting to the compliance of the requirements in accordance to paragraph 12.13 (b) to (e) of the Licensing Handbook |  |  |
| 1. 5 | A copy of a signed agreement between the Foreign Licensed Entity and the Hosting Platform for the Foreign Licensed Entity to issue and promulgate its research reports through the Hosting Platform in Malaysia |  |  |
| 1. 6 | Other relevant documents. Please specify: |  |  |

*\* To be certified true copy by the Companies Commission of Malaysia (Suruhanjaya Syarikat Malaysia)*

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| **SECTION VI: DECLARATIONS** | |
| * We hereby declare that all information provided in this submission, including its supporting materials, is true and correct, and the original signed version of this submission reflecting the same information provided is being kept at the named entity’s business address or the principal address or a designated place approved by the SC at all times. | |
| **Foreign Licensed Entity** | |
| …………………………………………………..  Signature  *(Director / Chief Executive / Authorised Signatory of the* ***Foreign Licensed Entity****)* | …………………………………………………..  Signature  *(Director / Chief Executive / Authorised Signatory of the* ***Foreign Licensed Entity****)* |
| Name: | Name: |
| Designation: | Designation: |
| Company Name: | Company Name: |
| Date *(dd mmm yyyy)*: | Date *(dd mmm yyyy)*: |
| **Hosting Platform** | |
| …………………………………………………..  Signature  *(Director / Chief Executive / Authorised Signatory of the* ***Hosting Platform****)* | …………………………………………………..  Signature  *(Director / Chief Executive / Authorised Signatory of the* ***Hosting Platform****)* |
| Name: | Name: |
| Designation: | Designation: |
| Company Name: | Company Name: |
| Date *(dd mmm yyyy)*: | Date *(dd mmm yyyy)*: |

*Note: Personal data provided by you in this form is required for purposes relating to the licensing, reporting or approving requirements under the Capital Markets and Services Act 2007 (“CMSA”). Failure to provide personal data required in this form will necessarily impair the issuance or continued grant of any licence or approval under the CMSA. Any personal data provided may also be disclosed to other supervisory or regulatory authorities for purposes of supervisory or regulatory oversight and compliance with the law. You are entitled, from time to time, to provide updated information relating to any personal data provided by contacting the Securities Commission Malaysia’s Authorisation and Licensing Department.*