



Form 29: Monitoring Officer's Report for Temporary Licence Holder(s) (to be completed by monitoring officer)

PROFILE

Personal Details	
Name of Principal	
Monitoring Officer Details	
Nationality	
NRIC No.	
Old IC No.	
Salutation	
Full Name	
Other Name	
Date of Birth	
Place of Birth (country)	
Place of Birth (city/town)	
Present country/ies of citizenship	
Gender	
Designation	
Email	
Home No.	
Mobile No.	
Office No.	
Fax No.	

TEMPORARY LICENCE

No filled information

ADDITIONAL INFORMATION

No filled information

SUPPORTING DOCUMENT

No.	Description
1	Other relevant documents

DECLARATION**True and Correct Information**

I am aware that any representation to the Securities Commission Malaysia ("SC") by whatever means or in any form that includes false or misleading statement can result in the SC taking action under section 71 or 369 of the Capital Markets and Services Act 2007, or other provisions under the securities laws. In addition, it can adversely affect our (i) application or submission; and (ii) fit and proper standing to participate in the capital market industry.

Section 71 is in relation to the submission of false or misleading statement with respect to an application for the granting or variation of licence. Contravention of section 71 may result in imprisonment for a term not exceeding five years and shall also be liable to a fine not exceeding one million ringgit upon conviction.

Section 369 is in relation to the submission of any false and misleading statement to the SC. Examples of information submitted to the SC include, but not limited to, notification and reporting to the SC, provision of supplementary information or clarification regarding a submission, and any other information the SC may request. Contravention of section 369 may result in imprisonment for a term not exceeding 10 years and shall also be liable to a fine not exceeding three million ringgit upon conviction.

I hereby declare that all information provided in this submission, including its supporting materials, is true and correct, and the original signed version of this submission reflecting the same information provided is being kept at the named entity's business address or the principal address or a designated place approved by the SC at all times.

Signature (Monitoring Officer)

Full Name :

Date :

Notes: Personal data provided by you in this form is required for purposes relating to the licensing, reporting or approving requirements under the Capital Markets and Services Act 2007 ("CMSA"). Failure to provide personal data required in this form will necessarily impair the issuance or continued grant of any licence or approval under the CMSA. Any personal data provided may also be disclosed to other supervisory or regulatory authorities for purposes of supervisory or regulatory oversight and compliance with the law. You are entitled, from time to time, to provide updated information relating to any personal data provided by contacting the SC's Authorisation and Licensing Department.