

APPLICATION FORM FOR INVESTING ON SPECIFIED EXCHANGES

Section 1: Particulars of the Applicant

1. Corporation Name
2. Principal Place of Business
3. Name, Designation and Telephone Number of Contact Person

Section 2: Particulars of Foreign Market(s)

1. Name of the Futures Exchange and location
2. Types of Futures contracts to be traded

Section 3: Particulars of Foreign Broker(s)

1. Name(s) of foreign broker (Trading & Clearing).
2. Office/Correspondence Address
3. Telephone Number(s)
4. Name and e-mail address of Contact Person(s)
5. Exchange and Clearinghouse Membership
6. Please state the regulatory body with whom the foreign broker(s) are licensed to engage in futures broking activities.

Section 4: Operational Details

1. Please describe the procedures on placing of orders, confirmation of trades, payment and settlement with foreign brokers
2. Please describe the risk management procedures undertaken in trading futures contracts on Specified Exchanges

DECLARATION

I / We declare that all the information provided in this application is complete, true and correct.

The above declaration is signed by me/us as...(designation of director)...of the company pursuant to the authority granted to me/us by a resolution of the Board of Directors on ...(date of resolution)....

.....
Signature of Director

Date:

Name:

.....
Signature of Director/Company Secretary

Date:

Name: