

Chapter 4

LODGEMENT OF A PROSPECTUS

Documents to be submitted

- 4.01 For lodgement of a prospectus, the submitting party must ensure that the lodgement file comprises the following documents:
- (a) Cover letter signed by at least 1 of the directors of the management company in relation to an unlisted local fund, or two authorised signatories of the submitting party in relation to foreign fund and a listed local fund, specifying the following:
 - (i) Application to lodge the prospectus;
 - (ii) A declaration that the printed copy of the prospectus lodged with the SC is the same as the prospectus registered with the SC; and
 - (iii) A declaration that the electronic copy of prospectus is the same as the printed prospectus;
 - (b) Two printed copies of the prospectus in each language together with its application form;
 - (c) Lodgement checklist; and
 - (d) Lodgement fee and fee checklist.