Head

Authorisation and Licensing

Securities Commission Malaysia

3 Persiaran Bukit Kiara
Bukit Kiara
50490 Kuala Lumpur

[Insert name of the CMSL applicant]

**Declaration on the Physical Layout of the Business to Avoid Conflicts of Interest**

We refer to our application for [insert the relevant application] dated [insert date].

We, [insert name of the CMSL applicant], hereby declare and confirm that:

1. to ensure that there are no conflicts of interest between the front office and the back office, we have taken measures to arrange the physical structure and layout of our business appropriately to ensure the segregation of duties and functions between the operational functions and other functions of the business; and
2. we shall at all times comply with the relevant rules, directives, circulars and guidelines issued from time to time by the Securities Commission Malaysia in relation to conflict management.

Dated this \_\_\_\_\_\_\_\_\_\_ day of \_\_\_\_\_\_\_\_\_\_ 20\_\_\_

Signed \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

 [Insert name of the signatory]

(Executive Director / Head of Operations)

Date \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signed \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

 [Insert name of the signatory]

(Executive Director / Head of Compliance / Compliance Officer)

Date \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_