

P.U.(A) 348/2011

SECURITIES COMMISSION ACT 1993

SECURITIES COMMISSION (DISAPPLICATION OF SCHEDULE 1) REGULATIONS 2011

IN exercise of the powers conferred by section 159 of the Securities Commission Act 1993 [Act 498], the Commission, with the approval of the Minister, makes the following regulations:

Citation and commencement

1. (1) These regulations may be cited as the **Securities Commission (Disapplication of Schedule 1) Regulations 2011**.

(2) These Regulations come into operation on 15 October 2011.

Disapplication of Schedule 1

2. For the purposes of Part IIIA of the Act, a public interest entity specified under Schedule 1 shall not include a holder of the Capital Markets Services Licence for the carrying on of the regulated activity of dealing in securities restricted to unit trust and is registered as a Corporate Unit Trust Adviser with a self-regulatory organization recognized by the Commission.

Made 5 October 2011

[KK/BPKA/K1/(S)/483/9/1; PN(PU²)523/IV]

TAN SRI ZARINAH ANWAR

Chairman

Securities Commission

Approved

DATO' SERI AHMAD HUSNI MOHAMAD HANADZLAH

Second Minister of Finance