IN exercise of the powers conferred by section 159 of the Securities Commission Act 1993 [Act 498], the Commission, with the approval of the Minister, makes the following regulations:

Citation and commencement

1. (1) These regulations may be cited as the Securities Commission (Disapplication of Schedule 1) Regulations 2011.

(2) These Regulations come into operation on 15 October 2011.

Disapplication of Schedule 1

2. For the purposes of Part IIIA of the Act, a public interest entity specified under Schedule 1 shall not include a holder of the Capital Markets Services Licence for the carrying on of the regulated activity of dealing in securities restricted to unit trust and is registered as a Corporate Unit Trust Adviser with a self-regulatory organization recognized by the Commission.

Made 5 October 2011

[KK/BPKA/K1/(S)/483/9/1; PN(PU2)523/IV]

TAN SRI ZARINAH ANWAR
Chairman
Securities Commission

Approved

DATO’ SERI AHMAD HUSNI MOHAMAD HANADZLAH
Second Minister of Finance