

## SECURITIES COMMISSION ACT 1993

### SECURITIES COMMISSION (AMENDMENT OF SCHEDULE 4) ORDER 2001

IN exercise of the powers conferred by subsection 66(5) of the Securities Commission Act 1993 [Act 498], the Minister, on the recommendation of the Commission, makes the following order.

#### Citation and commencement

1. (1) This order may be cited as the **Securities Commission (Amendment of Schedule 4) Order 2001**.
- (2) Subparagraph 2(c) shall be deemed to have come into operation on 1 March 2001.

#### Amendment of Schedule 4

2. Schedule 4 to Securities Commission Act 1993 is amended -
  - (a) by substituting paragraph 5 with the following paragraph:

“5. All trades in debentures effected on a stock market of a tock exchange which is approved by the Minister pursuant to subsection 8(2) of the Securities Industry Act 1983.”;
  - (b) by substituting paragraph 6 with the following paragraph:

“6. All trades in debentures effected in the money market.”; and
  - (c) by inserting after paragraph 10 the following paragraph:

“11. An issue, offer or invitation made in relation to a foreign currency denominated debenture to:

    - (i) an underwriter under an underwriting or initial purchase agreement;
    - (ii) a unit trust scheme or prescribed investment scheme;
    - (iii) a person licensed as a dealer under the Securities Industry Act 1983;
    - (iv) a closed end fund approved by the Commission;
    - (v) a person licensed as a fund manager under the Securities Industry Act 1983 or a person declared to be an exempt fund manager under the Act;
    - (vi) a corporation with total net assets exceeding ten million ringgit or its equivalent currencies based on the last audited accounts;

(vii) a licensed offshore bank as defined under the Offshore Banking Act 1990;

(viii) an offshore insurer as defined under the Offshore Insurance 1990.”.

Made 19 July 2001

[PP(S)O.361 Jld.III/(25); PN(PU2) 523/II]

On behalf and in the name of the Minister of Finance

A handwritten signature in black ink, appearing to read 'Chan Kong Choy', with a long horizontal flourish extending to the right.

CHAN KONG CHOY  
Deputy Minister of Finance