

SECURITIES INDUSTRY ACT 1983

SECURITIES INDUSTRY (EXEMPT DEALER) ORDER 2000

In exercise of the powers conferred by paragraph (d) in the definition of “exempt dealer” in subsection 2(1) of the Securities Industry Act 1983 [Act 280], the Minister makes the following order:

Citation

1. This order may be cited as the **Securities Industry (Exempt Dealer) Order 2000**.

Interpretation

2. For the purpose of this Order, “make markets” and “market making” mean undertakings in accordance with the rules of the Malaysian Exchange of Securities Dealing and Automated Quotation Berhad to ensure that there are at all times orders to buy and sell securities that are listed on the Malaysian Exchange of Securities Dealing and Automated Quotation Berhad.

MTDC Capital Technology & Research Sdn. Bhd. prescribed as an exempt dealer

3. MTDC Capital Technology & Research Sdn. Bhd. is declared to be an exempt dealer subject to the following terms and conditions:

- (a) the dealing in securities by MTDC Capital Technology & Research Sdn. Bhd. is by way of promotion and underwriting of securities, and market making, in accordance with the rules of the Malaysian Exchange of Securities Dealing and Automated Quotation Berhad;
- (b) the dealing in securities by MTDC Capital Technology & Research Sdn. Bhd. is limited to the activities as are specified by the Commission and does not involve dealing in securities on behalf of any other person; and
- (c) MTDC Capital Technology & Research Sdn. Bhd. shall be licensed as a licensed investment adviser and approved by the Commission to promote and underwrite securities, and to make markets, on the Malaysian Exchange of Securities Dealing and Automated Quotation Berhad.

Made 19 April 2000.

[S(8.02) 443-21; PN(PU²)135/VI]

DAIM ZAINUDDIN
Minister of Finance