

Tambahan No. 66 Perundangan (A) kepada Warta No. 13 - 1 hb Julai 2000.

Securities Commission Act 1993

P.U. (A) 239

Securities Commission (Fees) Regulations 2000

In exercise of the powers conferred by section 159 of the Securities Commission Act 1993 [Act 498], the Securities Commission, with the approval of the Minister, makes the following regulations:

Citation and commencement

1. (1) These regulations may be cited as the **Securities Commission (Fees) Regulations 2000**.

(2) These Regulations shall come into operation on 1 July 2000.

Fees in respect of registration of prospectus

2. (1) The fees set out in the First Schedule shall be payable to the Commission in respect of applications for registration of prospectuses by the Commission.

(2) The fees referred to in subregulation (1) shall be payable at the time an application for registration of a prospectus is submitted to the Commission.

(3) The fees referred to in subregulation (1) shall be payable whether the Commission registers or refuses to register the prospectus

Fees in respect of matters other than registration of prospectus

3. The fees set out in the Second Schedule shall be payable to the Commission in respect of such matters other than the registration of prospectuses.

Fees for acts of Commission

4. (1) The prescribed fees payable to the Commission in respect of the lodgement of a document with, or the registration of a document by, the Commission or in respect of any application for approval shall be paid at the time the document is delivered to the Commission for lodgement or registration or at the time the application for approval is submitted to the Commission.

(2) Where a fee is payable for or in respect of the lodgement of a document with or the registration of a document by, the Commission or in respect of any application for approval and the document submitted for lodgement or registration or the application for approval is submitted without the payment of the fee, the document shall be deemed not to have been lodged with or submitted for registration or the application shall be deemed not to have been submitted until the fee has been paid.

FIRST SCHEDULE

(Regulation 2)

SCHEDULE OF FEES PAYABLE IN RESPECT OF REGISTRATION OF PROSPECTUSES

<i>Serial No.</i>	<i>Description of Prospectus</i>	<i>Fees</i>
1.	Prospectuses in relation to securities other than unit trust schemes	RM5000
2.	Prospectuses in relation to unit trust schemes and prescribed investment schemes	RM1500
3.	Abridged prospectuses	RM1500

SECOND SCHEDULE
(Regulation 3)
**SCHEDULE OF FEES PAYABLE IN RESPECT OF MATTER OTHER THAN
REGISTRATION OF PROSPECTUSES**

<i>Serial No.</i>	<i>Matter</i>	<i>Fees</i>
1.	Registration of deed in relation to unit trust schemes and prescribed investment schemes	RM1500
2.	Approval of management company	RM1000
3.	Approval of trustee	RM500
4.	Lodging of documents	RM50
5.	Inspection by any person of any document lodged with the Commission	RM10
6.	A copy of or an extract from any document lodged with the Commission	RM1 per page
7.	Certified true copy of any document lodged with the Commission	RM5 per page

Made 26 June 2000.

[S(8. 02) 443-20; PN(PU²) 523/II]

ALI ABDUL KADIR
Chairman,
Securities Commission

Approved 26 June 2000.

[Perb. S(8. 02) 443-20 Sj. 5; PN(PU²) 523/II]

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Minister of Entrepreneur Development