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**Form 11: Notification on withdrawal of a Recognized Market Operator (RMO)**

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| **SECTION A: PROFILE** | |
| **Name of RMO** |  |
| **Registration No** |  |
| **Type of RMO** |  |
| **Registered Address** |  |
| **Business Address** |  |
| **Email** |  |
| **Office No** |  |
| **Fax No** |  |

|  |  |
| --- | --- |
| **SECTION B: DETAILS OF WITHDRAWAL** | |
| **Withdrawal Date** |  |
| **Reason for application for withdrawal of registration** |  |
| **Have you notified clients of your intention to withdraw the above regulated activity and taken adequate arrangements to meet all outstanding liabilities and obligations in respect of the above type of Recognized Market?**  Please provide details and elaborate on the measures the applicant has taken to safeguard the clients' assets, if any. |  |

**SUPPORTING DOCUMENTS**

|  |  |
| --- | --- |
| **No.** | **Description** |
| 1 | A copy of the written confirmation duly signed by the director, chief executive and responsible person that the withdrawal has been performed in accordance with relevant securities laws and SC’s guidelines, including paragraph 10.03 of *the Guidelines on Recognized Markets.* |
| 2 | Other relevant documents |

**ADDITIONAL INFORMATION**

|  |  |
| --- | --- |
| 1. Is there any other information relevant to this submission? |  |
| 1. Other relevant accompanying or supporting document(s). |  |

**DECLARATION**

|  |  |
| --- | --- |
| **True and Correct Information** | |
| We are aware that any representation to the Securities Commission Malaysia (SC) by whatever means or in any form that includes false or misleading statement can result in the SC taking action under section 369 of the *Capital Markets and Services Act 2007* (CMSA), or other provisions under the securities laws. In addition, it can adversely affect our (i) application or submission; and (ii) fit and proper standing to participate in the capital market industry.  *Section 369 is in relation to the submission of any false and misleading statement to the SC. Examples of information submitted to the SC include, but not limited to, notification and reporting to the SC, provision of supplementary information or clarification regarding a submission, and any other information the SC may request. Contravention of section 369 may result in imprisonment for a term not exceeding 10 years and shall also be liable to a fine not exceeding three million ringgit upon conviction.*  We hereby declare that all information provided in this submission, including its supporting materials, is true and correct, and the original signed version of this submission reflecting the same information provided is being kept at the named entity’s business address or the principal address or a designated place approved by the SC at all times. | |
|  |  |
| Signature (Director / Authorised Signatory\*) | Signature (Chief Executive / Responsible Person) |
| Full Name : | Full Name : |
| Designation : | Designation : |
| Date : | Date : |
| \*approved by the board of directors | |

Notes: Personal data provided by you in this form is required for purposes relating to the licensing, reporting or approving requirements under the CMSA. Failure to provide personal data required in this form will necessarily impair your registration status or any approval under the CMSA. Any personal data provided may also be disclosed to other supervisory or regulatory authorities for purposes of supervisory or regulatory oversight and compliance with the law. You are entitled, from time to time, to provide updated information relating to any personal data provided by contacting the SC’s Institution Supervision Department.