

P.U. (A) 128/2009

CAPITAL MARKETS AND SERVICES ACT 2007

**CAPITAL MARKETS AND SERVICES (AMENDMENT OF SCHEDULE 3 AND 4) ORDER
2009**

IN exercise of the powers conferred by subsection 380(1) of the Capital Markets and Services Act 2007 [Act 671], the Minister, on the recommendation of the Commission, makes the following order:

Citation and commencement

1. (1) This order may be cited as the **Capital Markets and Services (Amendment of Schedule 3 and 4) Order 2009**.

(2) This Order comes into operation on 26 March 2009.

Amendment of Schedule 3

2. The Capital Markets and Services Act 2007 which is referred to as the “principal Act” in this Order is amended in Schedule 3, by substituting for paragraph 10 the following paragraph:

“10. Any person who carries on the regulated activity of dealing in securities for—

(a) his own account or for his related corporation through a holder of a Capital Markets Services Licence who carries on the business of dealing in securities; or

- (b) his own account or for his customers through a licensed bank for the purposes of lending of securities under the any guidelines issued by the Commission under subsection 377(1).”.

Amendment of Schedule 4

3. Part 1 of Schedule 4 to the principal Act is amended by inserting after subitem 1(i) the following subitem:

<i>Items</i>	<i>Activities</i>	<i>Categories of registered person</i>
“(j)	Lending or facilitating the lending of securities for their own account or for their customers under any guidelines issued by the Commission under subsection 377(1).	Licensed banks

Made 17 March 2009
 [KK/BPKA/K1(S)/483/128/1/1; PN (PU²)662/III]

TAN SRI NOR MD BIN YAKCOP
Second Finance Minister