

COMPLAINTS FORM

NOTICE:

This form must be filled in completely.

The Securities Commission (SC) will only act upon any complaint that discloses a breach or misconduct under the Malaysian securities laws or SC guidelines.

A. Your particulars

1. Name : _____

Address : _____

IC/Passport no. : _____

Telephone no. : _____

Fax no. : _____

Email : _____

Occupation : _____

Name of employer : _____

Employer's address : _____

Employer's telephone no. : _____

I am claiming protection under the Whistleblower Protection Act 2010

I am not claiming protection under the Whistleblower Protection Act 2010

If you are claiming protection under the Whistleblower Protection Act 2010, you must furnish all the particulars required under Part A and make a declaration as provided under Part C

B. Particulars of Your Complaint

2. Company involved	:	1)	2)
Address	:		
Telephone/fax no.	:		
Business activity:	:		

3. Individual involved	:	1)	2)

What is the relationship between this individual and the company in Paragraph 2 above?

Individual's address	:		
Telephone/fax no.	:		

4. Have you lodged a report or complaint to the police, other government agencies or any other statutory/regulatory authority?

- No
- I have lodged a complaint/report with:
 - Police Date: _____ Report ref. no: _____
 - Companies Commission Malaysia (CCM) Date: _____ Report ref. no: _____

C. Whistleblower Protection Act 2010 - Declaration

- I confirm that all information given to the SC is true.
- I declare that prior to this, I have not disclosed the subject matter of the complaint or any part thereof to any other person except to the following person(s):
 - (a) _____
 - (b) _____
 - (c) _____
- I agree to maintain confidentiality of all such information that has been disclosed to the SC and / or any part thereof at all times and shall not for any reasons whatsoever, disclose any information pertaining to this complaint to any other person after informing the SC.
- I understand and accept that any disclosures made to any other person relating to information pertaining to this complaint may affect my eligibility for protection under the Whistleblower Protection Act 2010.
- I understand and accept that if any disclosures made are found to be false or in breach of any other provisions under the Whistleblower Protection Act 2010, I may be charged and may lose the protection, if given, under the Whistleblower Protection Act 2010.
- I agree that I must be contactable at all times and shall notify the SC of any changes to my contact details as soon as possible.

REMINDER

- If you disclose information that has been disclosed to the SC and / or any part thereof, the SC has the right not to afford protection under the Whistleblower Protection Act 2010 or if protection has been afforded, to revoke the same.
- The SC will assess your eligibility for protection under the Whistleblower Protection Act 2010 and inform you if you are conferred the protection requested or otherwise.
- For further information on the Whistleblower Protection Act 2010, please refer to the SC's website at www.sc.com.my

Please forward this form and any additional information to:

*Investor Affairs & Complaints Department
Securities Commission Malaysia
No 3 Persiaran Bukit Kiara
Bukit Kiara
50490 Kuala Lumpur*

*Telephone: 03-6204 8999
Fax: 03-6204 8991
Email: aduan@seccom.com.my*

D. For Internal Use (to be completed for walk in complainants only)

Name and signature of officers receiving the complaint

Name:

Name: