

List of Licensed Capital Market Intermediaries and Representatives between 1993 to 1997 (pre-CMSA)

No	Company	Registration Number	Regulated Activity	Date Licence/Registered	Licence No	Status	Date Revoked/Surrendered/Expired/Ceased	Licensed Representative	Representative's Licence No	Status	Date Revoked/Surrendered/Expired/Ceased
1	ACS Futures Sdn Bhd	378285-M	Trading in Futures Contract	14-Apr-97	749/204-1	Revoked	21-Aug-97	Fakrolzaman Al Bahsar bin Zainal Abidin Ibrahim bin Mohamed	750/204-2 751/204-2	Revoked	3-Oct-97
2	Aras Capital Sdn Bhd	216269-T	Fund Management	26-Sep-95	PPS(s) 2/67	Expired	25-Sep-96	Nuzul Suffian bin Wan Haniff Azura Hanim binti Tajudin	PPS(s) 5/6357 PPS(s) 5/4608	Expired	25-Sep-96
3	Global Securities Advisory & Nominees Sdn Bhd	281536-A	Investment Advice	9-Feb-95	PPS(s) 3/62	Expired	8-Feb-97	Shawaluddin Md. Rashid	PPS(s) 6/156	Expired	8-Feb-97
4	Matheson PF Consultants (M) Sdn Bhd	134412-M	Investment Advice	29-Jun-94	PPS(s) 3/19	Expired	6-Jul-95	Eliot Edwin Sanft	PPS(S) 6/86	Expired	3-Aug-95
5	MGIC Futures Sdn Bhd	363641-P	Trading in Futures Contract	30-Aug-96	C/A0035/FB/96	Expired	30-Aug-98	Mohd Hatim bin Abdullah Chin Hock Soon Gunasegaran a/l Tharmalingam Chin Fook Seong	AFBR/0328/96 AFBR/0329/96 AFBR/0330/96 AFBR/0327/96	Surrendered	12-May-98
6	Ong Research (Malaysia) Sdn Bhd	240817-W	Investment Advice	8-Feb-94	PPS(s)3/59	Expired	7-Feb-96	Mohamad Amin Abdul Manap Ho Swee Fong	PPS(s) 6/124 PPS(s) 6/123	Expired	15-Nov-96
7	Sapient Resources Sdn Bhd	246286-M	Investment Advice	5-Oct-93	PPS(s)3/51	Expired	4-Oct-95	Roger Alan Tyler	PPS(s) 6/112	Expired	4-Oct-95
8	Sri Comm Options and Futures Sdn Bhd	257015-H	Trading in Futures Contract	9-Aug-93	C/A0047/FB/97	Surrendered	20-Nov-98	Zaha Rina Haji Zahari Michael Koh Beng Chye	P/A0569/FBR/97 P/A0570/FBR/97	Surrendered	20-Nov-98
9	Taklif Asset Management Sdn Bhd	315057-H	Fund Management	2-Jan-97	C/A0016/FM/96	Revoked	3-Apr-98	Najit Khan bin Rachan Din	P/A0045/FMR/96	Revoked	3-Apr-98